

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

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1. Contract Purpose

- a. Title 37.2 of the Code of Virginia establishes the Virginia Department of Behavioral Health and Developmental Services, hereafter referred to as the Department, to support delivery of publicly funded community mental health, developmental, and substance abuse services and supports and authorizes the Department to fund those services.
- b. Sections 37.2-500 through 37.2-512 of the Code of Virginia require cities and counties to establish community services boards for the purpose of providing local public mental health, developmental, and substance abuse services; §§ 37.2-600 through 37.2-615 authorize certain cities or counties to establish behavioral health authorities that plan and provide those same local public services. In this contract, the community services board, local government department with a policy-advisory community services board, or behavioral health authority named in section 10 is referred to as the CSB. Section 37.2-500 or 37.2-601 of the Code of Virginia requires the CSB to function as the single point of entry into publicly funded mental health, developmental, and substance abuse services. The CSB fulfills this function for any person who is located in the CSB's service area and needs mental health, developmental, or substance abuse services.
- c. Sections 37.2-508 and 37.2-608 of the Code of Virginia and State Board Policy 4018 establish this contract as the primary accountability and funding mechanism between the Department and the CSB, and the CSB is applying for the assistance provided under Chapter 5 or 6 of Title 37.2 by submitting this contract to the Department.
- d. The CSB Administrative Requirements document is incorporated into and made a part of this contract by reference and includes or incorporates by reference ongoing statutory, regulatory, policy, and other requirements that are not contained in this contract. The CSB shall comply with all provisions and requirements in that document. If there is a conflict between provisions in that document and this contract, the language in this contract shall prevail. That document is available at <http://www.dbhds.virginia.gov/professionals-and-service-providers/csb-community-contracting>, the community contracting web page.
- e. The Department and the CSB enter into this contract for the purpose of funding services provided directly or contractually by the CSB in a manner that ensures accountability to the Department and quality of care for individuals receiving services and implements the mission of supporting individuals by promoting recovery, self-determination, and wellness in all aspects of life. The CSB and the Department agree as follows.

2. **Relationship:** The Department functions as the state authority for the public mental health, developmental, and substance abuse services system, and the CSB functions as the local authority for that system. The relationship between and the roles and responsibilities of the Department and the CSB are described in the Partnership Agreement between the parties, which is incorporated into and made a part of this contract by reference. The Agreement is available on the community contracting web page. This contract shall not be construed to establish any employer-employee or principal-agent relationship between employees of the CSB or its board of directors and the Department.

3. **Contract Term:** This contract shall be in effect for a term of two years, commencing on July 1, 2016 and ending on June 30, 2018 if, by mutual agreement of both parties pursuant to the provisions of § 37.2-508 of the Code of Virginia, it is renewed for an additional fiscal year with the insertion of revised Exhibits A, E, F, and G for FY 2018.

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4. Scope of Services

- a. **Services:** Exhibit A of this contract includes all mental health, developmental, and substance abuse services provided or contracted by the CSB that are supported by the resources described in section 5 of this contract. Services and certain terms used in this contract are defined in the current Core Services Taxonomy, which is incorporated into and made a part of this contract by reference and is on the community contracting web page.
- 1.) The CSB shall notify the Department before it begins providing a new category or subcategory or stops providing an existing category or subcategory of core services if the service is funded with more than 30 percent of state or federal funds or both. The CSB shall provide sufficient information to the Office of Support Services (OSS) in the Department for its review and approval of the change, and the CSB shall receive the Department's approval before implementing the new service or stopping the existing service. Pursuant to 12VAC35-105-60 of the *Rules and Regulations for Licensing Providers by the Department of Behavioral Health and Developmental Services*, the CSB shall not modify a licensed service without submitting a modification notice to the Office of Licensing in the Department at least 45 days in advance of the proposed modification.
 - 2.) The CSB operating a residential crisis stabilization program shall not increase or decrease the licensed number of beds in the program or close the program temporarily or permanently without informing the Office of Licensing and the OSS and receiving the Department's approval prior to implementing the change. The CSB shall ensure that, once it is fully operational, the program achieves an annual average utilization rate of at least 75 percent of available bed days as measured by data from CCS 3 service records and Community Automated Reporting System (CARS) service capacity reports. A residential crisis stabilization program shall accept any appropriate individuals under temporary detention orders (TDOs) and establish clinical criteria specifying the types of individuals under TDOs that it will accept. The CSB shall attach a copy of the criteria to this contract for the Department's review and approval.
- b. **Expenses for Services:** The CSB shall provide those services funded within the funds and for the expenses set forth in Exhibit A and documented in the CSB's financial management system. The CSB shall distribute its administrative and management expenses across the three program areas (mental health, developmental, and substance abuse services), emergency services, and ancillary services on a basis that is auditable and satisfies Generally Accepted Accounting Principles. CSB administrative and management expenses shall be reasonable and subject to review by the Department.
- c. **Continuity of Care:** The CSB shall follow the Continuity of Care Procedures in Appendix A of the CSB Administrative Requirements. The CSB shall comply with regional emergency services protocols.
- 1.) **Coordination of Developmental Disability Waiver Services:** The CSB shall provide case management services directly or through contracts to all individuals who are receiving services under Medicaid Developmental Disability Home and Community-Based Waivers (DD Waivers). In its capacity as the case manager for these individuals and in order to receive payment for services from the Department of Medical Assistance Services (DMAS), the CSB shall coordinate the development of service authorization requests for DD Waiver services and submit them to the Department for authorization, pursuant to the current DMAS/Department Interagency Agreement, under which the

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Department authorizes waiver services as a delegated function from the DMAS. As part of its specific case management responsibilities for individuals receiving DD Waiver services, the CSB shall coordinate and monitor the delivery of all services to individuals it serves, including monitoring the receipt of services in an individual's individual support plan (ISP) that are delivered by independent providers who are reimbursed directly by the DMAS, to the extent that the CSB is not prohibited from doing so by such providers (refer to the DMAS policy manuals for the DD Waivers). The CSB shall raise issues regarding its efforts to coordinate and monitor services provided by independent vendors to the applicable funding or licensing authority, such as the Department, DMAS, or Virginia Department of Social Services. In fulfilling this service coordination responsibility, the CSB shall not restrict or seek to influence an individual's choice among qualified service providers. This section does not, nor shall it be construed to, make the CSB legally liable for the actions of independent providers of DD Waiver services.

- 2.) **Linkages with Health Care:** When it arranges for the care and treatment of individuals in hospitals, inpatient psychiatric facilities, or psychiatric units of hospitals, the CSB shall assure its staff's cooperation with those hospitals, inpatient psychiatric facilities, or psychiatric units of hospitals, especially emergency rooms and emergency room physicians, in order to promote continuity of care for those individuals. Pursuant to subdivision A.4 of § 37.2-505, the CSB shall provide information using a template provided by the Department about its substance abuse services for minors to all hospitals in its service area that are licensed pursuant to Article 1 of Chapter 5 of Title 32.1.
- 3.) **Medical Screening and Medical Assessment:** When it arranges for the treatment of individuals in state hospitals or local inpatient psychiatric facilities or psychiatric units of hospitals, the CSB shall assure that its staff follows the most current *Medical Screening and Medical Assessment Guidance Materials*. The CSB staff shall coordinate care with emergency rooms, emergency room physicians, and other health and behavioral health providers to ensure the provision of timely and effective medical screening and medical assessment to promote the health and safety of and continuity of care for individuals receiving services.
- 4.) **Coordination with Local Psychiatric Hospitals:** When the CSB performed the preadmission screening and when referral to the CSB is likely upon the discharge of an individual admitted involuntarily, the CSB shall coordinate or, if it pays for the service, approve an individual's admission to and continued stay in a psychiatric unit or hospital and collaborate with that unit or hospital to assure appropriate treatment and discharge planning to the least restrictive setting and to avoid the use of these facilities when the service is no longer needed.
- 5.) **Targeted Case Management Services:** In accordance with the Community Mental Health Rehabilitative Services manual and the policy manuals for the DD Waivers issued by the DMAS, the CSB shall be the only provider of rehabilitative mental health case management services and shall have sole responsibility for targeted DD case management services, whether the CSB provides them directly or subcontracts them from another provider.
- 6.) **Choice of Case Managers:** Individuals receiving case management services shall be offered a choice of case managers to the extent possible, and this shall be documented by a procedure to address requests for changing a case manager or for receiving case management services at another CSB or from a contracted case management services

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provider. The CSB shall provide a copy of this procedure to the Department upon request. During its inspections, the Department's Licensing Office may verify this as it reviews services records and examines the procedure.

- 7.) **Access to Services:** The CSB shall not require an individual to receive case management services in order to receive other services that it provides, directly or contractually, unless it is permitted to do so by applicable regulations or the person is an adult with a serious mental illness, a child with or at risk of serious emotional disturbance, or an individual with a developmental disability or a substance use disorder, the person is receiving more than one other service from the CSB, or a licensed clinician employed or contracted by the CSB determines that case management services are clinically necessary for that individual. Federal Medicaid targeted case management regulations forbid using case management to restrict access to other services by Medicaid recipients or compelling Medicaid recipients to receive case management if they are receiving another service. The CSB shall not establish or implement policies that deny or limit access to services funded in part by state or local matching funds or federal block grant funds only because an individual: a.) is not able to pay for services, b.) is not enrolled in Medicaid, or c.) is involved in the criminal justice system.
- 8.) **PACT Criteria:** If the CSB receives state or federal funds for a Program of Assertive Community Treatment (PACT), it shall:
 - a.) Prioritize providing services to individuals with serious mental illnesses who are frequent recipients of inpatient services or are homeless;
 - b.) Achieve and maintain a caseload of 80 individuals receiving services after two years from the date of initial funding by the Department; and
 - c.) Participate in technical assistance recommended by the Department.
- 9.) **Virginia Psychiatric Bed Registry:** The CSB shall participate in and utilize the Virginia Psychiatric Bed Registry required by § 37.2-308.1 of the Code of Virginia to access local or state hospital psychiatric beds or residential crisis stabilization beds whenever necessary to comply with requirements in § 37.2-809 of the Code that govern the temporary detention process. If the CSB operates residential crisis stabilization services, it shall update information about bed availability included in the registry whenever there is a change in bed availability for the facility or, if no change in bed availability has occurred, at least daily.
- 10.) **Preadmission Screening:** The CSB shall provide preadmission screening services pursuant to § 37.2-505 or § 37.2-606, § 37.2-805, § 37.2-809 through § 37.2-813, § 37.2-814, and § 16.1-335 et seq. of the Code of Virginia and in accordance with the Continuity of Care Procedures in Appendix A of the CSB Administrative Requirements for any person who is located in the CSB's service area and may need admission for involuntary psychiatric treatment. The CSB shall ensure that persons it designates as preadmission screening clinicians meet the qualifications established by the Department per section 4.h and have received required training provided by the Department.
- 11.) **Discharge Planning:** The CSB shall provide discharge planning pursuant to § 37.2-505 or § 37.2-606 of the Code of Virginia and in accordance with State Board Policies 1035 and 1036, the Continuity of Care Procedures, and the current *Collaborative Discharge Protocols for Community Services Boards and State Hospitals - Adult & Geriatric or Child & Adolescent* and the *Training Center - Community Services Board Admission and Discharge Protocols for Individuals with Intellectual Disabilities* issued

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by the Department that are incorporated into and made a part of this contract by reference. The protocols are available on the Department's web site. The CSB shall monitor the state hospital extraordinary barriers to discharge list and strive to achieve community placements for individuals on the list for whom it is the case management CSB as soon as possible.

- d. Populations Served:** The CSB shall provide needed services to adults with serious mental illnesses, children with or at risk of serious emotional disturbance, individuals with intellectual disability, individuals with other developmental disabilities who are receiving services through the DD Waivers or are priority I or priority II on the DD Waiver waiting list, or individuals with substance use disorders to the greatest extent possible within the resources available to it for this purpose. These populations are defined in the current Core Services Taxonomy.
- c. Department of Justice Settlement Agreement Requirements:** The CSB agrees to comply with the following requirements in the Settlement Agreement for Civil Action No: 3:12cv00059-JAG between the U.S. Department of Justice and the Commonwealth of Virginia, entered in the U. S. District Court for the Eastern District of Virginia on August 23, 2012 [section IX.A, p. 36]. Sections identified in text or brackets refer to sections in the Agreement. Requirements apply to the target population in section III.B: individuals with intellectual or developmental disabilities who currently (i) reside in training centers, (ii) meet criteria for the DD Waiver waiting list, (iii) reside in a nursing home or an ICF, or (iv) receive Medicaid Home and Community-Based DD Waiver services.
- 1.) Case management services, defined in section III.C.5.b, shall be provided to all individuals receiving Medicaid Home and Community-Based Waiver services under the Agreement by case managers who are not directly providing or supervising the provision of Waiver services to those individuals [section III.C.5.c, p. 8].
 - 2.) For individuals receiving case management services pursuant to the Agreement, the individual's case manager shall meet with the individual face-to-face on a regular basis and shall conduct regular visits to the individual's residence, as dictated by the individual's needs [section V.F.1, page 26]. At these face-to-face meetings, the case manager shall: observe the individual and the individual's environment to assess for previously unidentified risks, injuries, needs, or other changes in status; assess the status of previously identified risks, injuries, needs, or other changes in status; assess whether the individual's individual support plan (ISP) is being implemented appropriately and remains appropriate for the individual; and ascertain whether supports and services are being implemented consistent with the individual's strengths and preferences and in the most integrated setting appropriate to the individual's needs. The case manager shall document in the ISP the performance of these observations and assessments and any findings, including any changes in status or significant events that have occurred since the last face-to-face meeting. If any of these observations or assessments identifies an unidentified or inadequately addressed risk, injury, need, or change in status, a deficiency in the individual's support plan or its implementation, or a discrepancy between the implementation of supports and services and the individual's strengths and preferences, then the case manager shall document the issue, convene the individual's service planning team to address it, and document its resolution.
 - 3.) Using a process developed jointly by the Department and VACSB Data Management Committee, the CSB shall report the number, type, and frequency of case manager contacts with individuals receiving case management services [section V.F.4, p. 27].

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- 4.) The CSB shall report key indicators, selected from relevant domains in section V.D.3 on page 24, from the case manager's face-to-face visits and observations and assessments [section V.F.5, p 27].
- 5.) The individual's case manager shall meet with the individual face-to-face at least every 30 days, and at least one such visit every two months must be in the individual's place of residence, for any individuals who [section V.F.3, pages 26 and 27]:
 - a.) Receive services from providers having conditional or provisional licenses;
 - b.) Have more intensive behavioral or medical needs as defined by the Supports Intensity Scale category representing the highest level of risk to individuals;
 - c.) Have an interruption of service greater than 30 days;
 - d.) Encounter the crisis system for a serious crisis or for multiple less serious crises within a three-month period;
 - e.) Have transitioned from a training center within the previous 12 months; or
 - f.) Reside in congregate settings of five or more individuals.

Refer to Enhanced Case Management Criteria Instructions and Guidance available at <http://www.dbhds.virginia.gov/settlement/Guidance%20Enhanced%20Case%20Management%20Criteria%2004252014.pdf> for additional information.
- 6.) Case managers shall give individuals a choice of service providers from which the individual may receive approved Waiver services, present all options of service providers based on the preferences of the individual, including CSB and non-CSB providers, and document this using the Virginia Informed Choice Form available at <http://www.dbhds.virginia.gov/library/developmental%20services/dds%20bulletin%2011.pdf>. [section III.C.5.c, p. 8].
- 7.) Case managers shall offer education about integrated community options to any individuals living outside of their own or their families' homes and, if relevant, to their authorized representatives or guardians [sec. III.D.7, p. 14]. Case managers shall offer this education at least annually and at the following times:
 - a.) at enrollment in a DD Waiver,
 - b.) when there is a request for a change in Waiver service provider(s),
 - c.) when an individual is dissatisfied with a current Waiver service provider,
 - d.) when a new service is requested,
 - e.) when an individual wants to move to a new location, or
 - f.) when a regional support team referral is made as required by the Virginia Informed Choice Form.
- 8.) CSB emergency services shall be available 24 hours per day and seven days per week, staffed with clinical professionals who shall be able to assess crises by phone and assist callers in identifying and connecting with local services, and, where necessary, to dispatch at least one mobile crisis team member adequately trained to address the crisis [section III.C.6.b.i.A, p. 9]. This requirement shall be met through the regional REACH program that is staffed 24 hours per day and seven days per week by qualified persons able to assess and assist individuals and their families during crisis situations and has mobile crisis teams to address crisis situations and offer services and support on site to individuals and their families within one hour in urban areas and two hours in rural areas as measured by the average annual response time [section III.C.6.b.ii, pages 9 and 10].

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Emergency services staff shall receive consistent training from the Department on the REACH crisis response system.

- 9.) Comply with State Board Policy 1044 (SYS) 12-1 Employment First [section III.C.7.b, p. 11]. This policy supports identifying community-based employment in integrated work settings as the first and priority service option offered by case managers and support coordinators to individuals receiving day support or employment services.
- 10.) CSB case managers shall liaison with the Department's regional community resource consultants in their regions [section III.E.1, p. 14].
- 11.) Case managers shall participate in discharge planning with individuals' personal support teams (PSTs) for individuals in training centers for whom the CSB is the case management CSB, pursuant to § 37.2-505 and § 37.2-837 of the Code of Virginia that requires the CSB to develop discharge plans in collaboration with training centers [section IV.B.6, p. 16].
- 12.) In developing discharge plans, CSB case managers, in collaboration with PSTs, shall provide to individuals and, where applicable, their authorized representatives, specific options for types of community placements, services, and supports based on the discharge plan and the opportunity to discuss and meaningfully consider these options [section IV.B.9, p. 17].
- 13.) CSB case managers and PSTs shall coordinate with specific types of community providers identified in discharge plans as providing appropriate community-based services for individuals to provide individuals, their families, and, where applicable, their authorized representatives with opportunities to speak with those providers, visit community placements (including, where feasible, for overnight visits) and programs, and facilitate conversations and meetings with individuals currently living in the community and their families before being asked to make choices regarding options [section IV.B.9.b, p. 17].
- 14.) CSB case managers and PSTs shall assist individuals and, where applicable, their authorized representatives in choosing providers after providing the opportunities described in subsection 13 above and ensure that providers are timely identified and engaged in preparing for individuals' transitions [section IV.B.9.c, p.17].
- 15.) Case managers shall provide information to the Department about barriers to discharge for aggregation and analysis by the Department for ongoing quality improvement, discharge planning, and development of community-based services [IV.B.14, p. 19].
- 16.) In coordination with the Department's Post Move Monitor, the CSB shall conduct post-move monitoring visits within 30, 60, and 90 days following an individual's movement from a training center to a community setting [section IV.C.3, p.19]. The CSB shall provide information obtained in these post move monitoring visits to the Department within seven business days after the visit.
- 17.) If it provides day support or residential services to individuals in the target population, the CSB shall implement risk management and quality improvement processes, including establishment of uniform risk triggers and thresholds that enable it to adequately address harms and risks of harms, including any physical injury, whether caused by abuse, neglect, or accidental causes [section V.C.1, p. 22].
- 18.) Using the protocol and real-time, web-based incident reporting system implemented by the Department, the CSB shall report any suspected or alleged incidents of abuse or

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neglect as defined in § 37.2-100 of the Code of Virginia, serious injuries as defined in 12 VAC 35-115-30, or deaths to the Department within 24 hours of becoming aware of them [section V.C.2, p. 22].

- 19.) Participate with the Department to collect and analyze reliable data about individuals receiving services under this Agreement from each of the following areas:
 - a.) safety and freedom from harm,
 - b.) physical, mental, and behavioral health and well being,
 - c.) avoiding crises,
 - d.) stability,
 - e.) choice and self-determination,
 - f.) community inclusion,
 - g.) access to services,
 - h.) provider capacity[section V.D.3, pgs. 24 & 25].
- 20.) Participate in the regional quality council established by the Department that is responsible for assessing relevant data, identifying trends, and recommending responsive actions in its region [section V.D.5.a, p. 25].
- 21.) Provide access to and assist the Independent Reviewer to assess compliance with this Agreement. The Independent Reviewer shall exercise his access in a manner that is reasonable and not unduly burdensome to the operation of the CSB and that has minimal impact on programs or services being provided to individuals receiving services under the Agreement [section VI.H, p. 30 and 31].
- 22.) Participate with the Department and its third party vendors in the implementation of the National Core Indicators (NCI) Surveys and Quality Service Reviews (QSRs) for selected individuals receiving services under the Agreement. This includes informing individuals and authorized representatives about their selection for participation in the NCI individual surveys or QSRs; providing the access and information requested by the vendor, including health records, in a timely manner; assisting with any individual specific follow up activities; and completing the web-based annual NCI provider survey [section V.I, p. 28].
- 23.) The CSB shall notify the community resource consultant (CRC) and regional support team (RST) in the following circumstances to enable the RST to monitor, track, and trend community integration and challenges that require further system development:
 - a.) within five calendar days of an individual being presented with any of the following residential options: an intermediate care facility, a nursing facility, a training center, or a group home with a licensed capacity of five beds or more;
 - b.) if the CSB is having difficulty finding services within 30 calendar days after the individual's enrollment in the waiver; or
 - c.) immediately when an individual is displaced from his or her residential placement for a second time[sections III.D.6 and III.E, p. 14].
- 24.) Case managers shall collaborate with the CRC to ensure that person-centered planning and placement in the most integrated setting appropriate to the individual's needs and consistent with his or her informed choice occur [section III.E.1-3, p. 14].

The Department encourages the CSB to provide the Independent Reviewer with access to its services and records and to individuals receiving services from the CSB; however, access shall be at the sole discretion of the CSB [section VI.G, p. 31].

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f. Emergency Services Availability: The CSB shall have at least one local telephone number, and where appropriate one toll-free number, for emergency services telephone calls that is available to the public 24 hours per day and seven days per week throughout its service area. The number(s) shall provide immediate access to a qualified emergency services staff member. Immediate access means as soon as possible and within no more than 15 minutes. If the CSB uses an answering service to fulfill this requirement, the service must be able to contact a qualified CSB emergency services staff immediately to alert the staff member that a crisis call has been received. Using (1) an answering service with no immediate transfer to a qualified CSB emergency services staff, (2) the CSB's main telephone number that routes callers to a voice mail menu, (3) 911, or (4) the local sheriff's or police department's phone number does not satisfy this requirement. The phone number(s) shall be disseminated widely throughout the service area, including local telephone books and appropriate local government and public service web sites, and shall be displayed prominently on the main page of the CSB's web site. The CSB shall implement procedures for handling emergency services telephone calls that ensure adequate emergency services staff coverage, particularly after business hours, so that qualified staff responds immediately to calls for emergency services, and the procedures shall include coordination and referral to REACH for individuals with developmental disabilities. The CSB shall provide the procedures for handling emergency services calls to the Department upon request.

g. Preadmission Screening Evaluations

- 1.) The purpose of preadmission screening evaluations is to determine whether the person meets the criteria for temporary detention pursuant to Article 16 of Chapter 11 of Title 16.1, Chapters 11 and 11.1 of Title 19.2, and Chapter 8 of Title 37.2 in the Code of Virginia and to assess the need for hospitalization or treatment. The evaluations shall be performed by certified preadmission screening clinicians. Preadmission screening evaluations are highly variable and individualized crisis assessments with clinical requirements that will vary based on the nature of the clinical presentation. However, the CSB shall ensure that all preadmission screening evaluations conducted by its staff include at a minimum:
 - a.) A review of past clinical and treatment information if available;
 - b.) Pertinent information from the clinical interview and collateral contacts or documentation of why this information was unavailable at the time of the evaluation;
 - c.) A documented risk assessment that includes an evaluation of the likelihood that, as a result of mental illness, the person will, in the near future, cause serious physical harm to himself or others as evidenced by recent behavior causing, attempting, or threatening harm and other relevant information, if any;
 - d.) Thorough and detailed documentation of the clinical disposition and rationale for it;
 - e.) Documentation of all hospitals contacted, including state hospitals;
 - f.) Documentation of contact with the staff's supervisor and CSB leadership about the evaluation when necessary and documentation of mandatory notification of CSB and Department leadership within 60 minutes once an ECO has expired without locating an appropriate bed; and
 - g.) Documentation of contact with REACH for all individuals presenting with a developmental disabilities (DD) diagnosis or a co-occurring DD diagnosis.
- 2.) Preadmission screening reports required by § 37.2-816 of the Code of Virginia shall comply with requirements in that section and shall state:

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- a.) whether the person has a mental illness, and whether there exists a substantial likelihood that, as a result of mental illness, the person will, in the near future,
 - (i) cause serious physical harm to himself or others as evidenced by recent behavior causing, attempting, or threatening harm and other relevant information, if any, or
 - (ii) suffer serious harm due to his lack of capacity to protect himself from harm or provide for his basic human needs;
 - b.) whether the person is in need of involuntary inpatient treatment;
 - c.) whether there is no less restrictive alternative to inpatient treatment; and
 - d.) the recommendations for that person's placement, care, and treatment including, where appropriate, recommendations for mandatory outpatient treatment.
- h. Certification of Preadmission Screening Clinicians:** The CSB and Department prioritize having emergency custody order or preadmission screening evaluations performed pursuant to Article 16 of Chapter 11 of Title 16.1, Chapters 11 and 11.1 of Title 19.2, and Chapter 8 of Title 37.2 in the Code of Virginia provided by the most qualified, knowledgeable, and experienced CSB staff. These evaluations are face-to-face clinical evaluations performed by designated CSB staff of persons in crisis who may be in emergency custody or who may need involuntary temporary detention or other emergency treatment. The CSB shall comply with the requirements in the current *Certification of Preadmission Screening Clinicians*, a document developed jointly by the Department and CSB representatives and made a part of this contract by reference, to enhance the qualifications, training, and oversight of CSB preadmission screening clinicians and increase the quality, accountability, and standardization of preadmission screening evaluations.
- i. Developmental Case Management Services**
- 1.) Case managers employed or contracted by the CSB shall meet the knowledge, skills and abilities qualifications in the Case Management Licensing Regulations, 12 VAC 35-105-1250. During its inspections, the Department's Licensing Office may verify this affirmation as it reviews personnel records.
 - 2.) Reviews of the individual support plan (ISP), including necessary assessment updates, shall be conducted with the individual quarterly or every 90 days and include modifications in the ISP when the individual's status or needs and desires change. During its inspections, the Department's Licensing Office may verify this as it reviews ISPs including those from a sample identified by the CSB of individuals who discontinued case management services.
 - 3.) Case managers shall ensure that all information about each individual in the electronic waiver management system is updated annually or whenever changes occur, including information about the individual's:
 - a.) full name,
 - b.) current address,
 - c.) CSB unique identifier,
 - d.) level of care information,
 - e.) living situation,
 - f.) terminations,
 - g.) transfers, and
 - h.) waiting list information.
 - 5.) Case managers and other CSB staff shall comply with the SIS[®] Administration Process and any changes in the process within 30 calendar days of notification of the changes.
 - 6.) Case managers shall notify the designated Department staff that an individual has been terminated from all DD waiver services within 10 business days of termination.

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- 7.) Case managers shall submit the Request to Retain a Slot form to the appropriate Department staff to hold a slot open within 10 business days of it becoming available.
 - 8.) Case managers shall complete the level of care tool for individuals requesting DD Waiver services within 60 calendar days of application for individuals expected to present for services within one year.
 - 9.) Case managers shall comply with the DD waitlist process and slot assignment process and implement any changes in the processes within 30 calendar days of written notice.
5. **Resources:** Exhibit A of this contract includes the following resources: state funds and federal funds appropriated by the General Assembly and allocated by the Department to the CSB; balances of unexpended or unencumbered state and federal funds retained by the CSB and used in this contract to support services; local matching funds required by § 37.2-509 or § 37.2-611 of the Code of Virginia to receive allocations of state funds; Medicaid Clinic, Targeted Case Management, Rehabilitative Services, and DD Home and Community-Based Waiver payments and any other fees, as required by § 37.2-504 or § 37.2-605 of the Code of Virginia; and any other funds associated with or generated by the services shown in Exhibit A. The CSB shall maximize billing and collecting Medicaid payments and other fees in all covered services to enable more efficient and effective use of the state and federal funds allocated to it.
- a. **Allocations of State General and Federal Funds:** The Department shall inform the CSB of its state and federal fund allocations in a letter of notification. The Department may adjust allocation amounts during the term of this contract. The Department may reduce restricted or earmarked state or federal funds during the contract term if the CSB reduces significantly or stops providing services supported by those funds as documented in Community Consumer Submission (CCS) or CARS reports. These reductions shall not be subject to provisions in sections 9.c or 9.f of this contract. The Commissioner or his designee shall communicate all adjustments to the CSB in writing. Allocations of state and federal funds shall be based on state and federal statutory and regulatory requirements, provisions of the Appropriation Act, State Board policies, and previous allocation amounts.
 - b. **Disbursement of State or Federal Funds:** Continued disbursement of semi-monthly payments of restricted or earmarked state or federal funds by the Department to the CSB may be contingent on documentation in the CSB's CCS and CARS reports that it is providing the services supported by these funds.
 - c. **Conditions on the Use of Resources:** The Department can attach specific conditions or requirements for use of funds, separate from those established by other authorities, only to the state and federal funds that it allocates to the CSB and the 10 percent local matching funds that are required to obtain the CSB's state fund allocations.
6. **CSB Responsibilities**
- a. **State Hospital Bed Utilization:** In accordance with § 37.2-508 or § 37.2-608 of the Code of Virginia, the CSB shall develop jointly with the Department and with input from private providers involved with the public mental health, developmental, and substance abuse services system mechanisms, such as the Discharge Protocols, Extraordinary Barriers to Discharge lists, and regional utilization management procedures and practices, and employ these mechanisms collaboratively with state hospitals that serve it to manage the utilization of state hospital beds. Utilization will be measured by bed days received by individuals for whom the CSB is the case management CSB.

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The CSB shall implement procedures or utilize existing local or regional protocols to ensure appropriate management of each admission to a state hospital under a civil temporary detention order recommended by the CSB's preadmission screening clinicians to identify the cause of the admission and the actions the CSB may take in the future to identify alternative facilities. The CSB shall provide copies of the procedures and analyses to the Department upon request.

b. Quality of Care

- 1.) **Department CSB Performance Measures:** CSB staff shall monitor the CSB's outcome and performance measures in Exhibit B, identify and implement actions to improve its ranking on any measure on which it is below the benchmark, and present reports on the measures and actions at least quarterly during scheduled meetings of the CSB board of directors.
- 2.) **Quality Improvement and Risk Management:** The CSB shall develop, implement, and maintain a quality improvement plan, itself or in affiliation with other CSBs, to improve services, ensure that services are provided in accordance with current acceptable professional practices, and address areas of risk and perceived risks. The quality improvement plan shall be reviewed and updated at least every four years. The CSB shall develop, implement, and maintain, itself or in affiliation with other CSBs, a risk management plan or participate in a local government's risk management plan. The CSB shall work with the Department to identify how the CSB will address quality improvement activities.

The CSB shall implement, in collaboration with other CSBs in its region, the state hospitals and training centers serving its region, and private providers involved with the public mental health, developmental, and substance abuse services system, regional utilization management procedures and practices that reflect the Regional Utilization Management Guidance document that is incorporated into and made a part of this contract by reference and is available on the community contracting web page.
- 3.) **Critical Incidents:** The CSB shall implement procedures to insure that the executive director is informed of any deaths, serious injuries, or allegations of abuse or neglect when they are reported to the Department. The CSB shall provide a copy of its procedures to the Department upon request.
- 4.) **Individual Outcome and CSB Provider Performance Measures**
 - a.) **Measures:** Pursuant to § 37.2-508 or § 37.2-608 of the Code of Virginia, the CSB shall report the individual outcome and CSB provider performance measures in Exhibit B of this contract to the Department.
 - b.) **Individual CSB Performance Measures:** The Department may negotiate specific, time-limited measures with the CSB to address identified performance concerns or issues. The measures shall be included as Exhibit D of this contract.
 - c.) **Individual Satisfaction Survey:** Pursuant to § 37.2-508 or § 37.2-608 of the Code of Virginia, the CSB shall participate in the Annual Survey of Individuals Receiving MH and SA Outpatient Services, the Annual Youth Services Survey for Families (i.e., Child MH survey), and the annual Quality Services Reviews and the National Core Indicators Survey for individuals receiving DD Waiver services.
 - d.) **Strategic Prevention Framework (SPF):** The CSB, in partnership with local community coalitions, shall use the evidenced-based Strategic Prevention

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Framework (SPF) planning model to: complete a needs assessment using community, regional, and state data; build capacity to successfully implement prevention services; develop logic models and a strategic plan with measurable goals, objectives, and strategies; implement evidenced-based programs, practices, and strategies that are linked to data and target populations; evaluate program management and decision making for enabling the ability to reach outcomes; plan for the sustainability of prevention outcomes; and produce evidence of cultural competence throughout all aspects of the SPF process.

- e.) **Logic Models:** The logic models shall identify individual- (i.e., youth, families, and parents), community-, and population-level strategies (e.g. environmental approaches). One logic model shall outline CSB federal substance abuse block grant (SABG) prevention set aside-funded services. The other model(s) shall be the CSB partnership coalition's logic model(s) reflecting the collaborative relationship of the CSB with the coalition in the implementation of community-level and environmental approaches. The CSB shall use the Institute of Medicine model to identify target populations based on levels of risk – universal, selective, and indicated. Substance abuse prevention services may not be delivered to persons who have substance use disorders in an effort to prevent continued substance use. The CSB shall utilize the six CSAP evidenced-based strategies: information dissemination, education and skill building, alternatives, problem identification and referral, community-based process, and environmental approaches. Community-based process and coalitions and environmental approaches are keys to achieving successful outcomes and are Department priorities. CSBs shall use evidence-based prevention programs, practices, and strategies. Seventy-five percent of SABG prevention set aside-funded services shall be programs, practices, or strategies included in a federal list of evidence-based interventions. A minimum of 25 percent of SABG prevention set aside-funded services shall be the community-based process and coalition strategy and 25 percent shall be environmental strategies.
- f.) **Prevention Services Evaluations:** The CSB shall work with OMNI Institute, the Department's evaluation contractor, to develop an evaluation plan for its SABG prevention set aside-funded prevention services.
- g.) **SYNAR Activities and Merchant Education:** In July 1992, Congress enacted P.L. 102-321 section 1926, the SYNAR Amendment, to decrease youth access to tobacco. To stay in compliance with the SABG, states must meet and sustain the merchant retail violation rate (RVR) under 20 percent or face penalties to the entire SABG, including funds for treatment. Merchant education involves educating local merchants about the consequences of selling tobacco products to youth. This strategy has been effective in keeping state RVR rates under the required 20 percent. The CSB shall conduct merchant education activities with all merchants deemed by the Alcoholic Beverage Control Board to be in violation of selling tobacco products to youth in the CSB's service area. Other merchants shall be added if deemed to be at higher risk due to factors such as being in proximity to schools. The CSB, itself or in collaboration with the local coalition, shall continuously update the verified list of tobacco retailers, including all retailers selling vapor products, by conducting store audits. The CSB shall conduct store audits of and merchant education with 100 percent of tobacco retailers in its service area over a two year period. Beginning in FY 2003, the Department allocated \$10,000 annually to the CSB to complete SYNAR-related tasks. All store audit and merchant education activities shall be

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documented in the Counter Tools system and recorded in the Social Solutions Efforts to Outcomes – (ETO) Prevention Data System. Tobacco education programs for youth with the goal of reducing prevalence or use are not to be identified as SYNAR activities.

- h.) Recovery Orientation:** The CSB shall implement a plan for assessing and increasing its recovery orientation over time in accordance with Section 5: Advancing the Vision of the Partnership Agreement and shall administer the Recovery Oriented Systems Indicators (ROSI) Consumer Survey (42 items) with a statistically valid sample of five percent or a minimum of 70, whichever is larger, of individuals with serious mental illness receiving mental health services from the CSB and the ROSI Provider Survey (23 item Administrative Profile) biennially and report on its recovery orientation to the Department by the last business day of March in odd-numbered years.
- 5.) Case Management Services Training:** The CSB shall ensure that all direct and contract staff that provide case management services have completed the case management curriculum developed by the Department and that all new staff complete it within 30 days of employment. The CSB shall ensure that developmental case managers complete the ISP training modules developed by the Department within 60 days of their availability on the Department's web site.
- 6.) Developmental Case Management Services Organization:** The CSB shall structure its developmental case management services so that it does not provide case management and DD Waiver services to the same individual to ensure the independence of services from case management and avoid perceptions of undue case management influence on service choices by individuals.
- 7.) Program and Service Reviews:** The Department may conduct or contract for reviews of programs or services provided or contracted by the CSB under this contract to examine their quality or performance at any time as part of its monitoring and review responsibilities or in response to concerns or issues that come to its attention, as permitted under 45 CFR § 164.512 (a), (d), and (k) (6) (ii) and as part of its health oversight functions under § 32.1-127.1:03 (D) (6) and § 37.2-508 or § 37.2-608 of the Code of Virginia or with a valid authorization by the individual receiving services or his authorized representative that complies with the Human Rights Regulations and the HIPAA Privacy Rule. The CSB shall provide ready access to any records or other information necessary for the Department to conduct program or service reviews or investigations of critical incidents.
- 8.) Response to Complaints:** Pursuant to § 37.2-504 or § 37.2-605 of the Code of Virginia, the CSB shall implement procedures to satisfy the requirements for a local dispute resolution mechanism for individuals receiving services and to respond to complaints from individuals receiving services, family members, advocates, or other stakeholders as expeditiously as possible in a manner that seeks to achieve a satisfactory resolution and advises the complainant of any decision and the reason for it. The CSB shall acknowledge complaints that the Department refers to it within five business days of receipt and provide follow up commentary on them to the Department within 10 business days of receipt. The CSB shall post copies of its procedures in its public spaces and on its web site and provide copies to all individuals when they are admitted for services and provide a copy to the Department upon request.

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- 9.) **Access to Substance Abuse Treatment for Opioid Abuse:** The CSB shall ensure that individuals requesting treatment for opioid drug abuse, including prescription pain medications, regardless of the route of administration, receive rapid access to appropriate treatment services within 14 days of making the request for treatment or 120 days after making the request if the CSB has no capacity to admit the individual on the date of the request and within 48 hours of the request it makes interim services, as defined in 45 CFR § 96.126, available until the individual is admitted.

c. Reporting Requirements

- 1.) **CSB Responsibilities:** For purposes of reporting to the Department, the CSB shall comply with State Board Policy 1037 and shall:
- a.) provide monthly Community Consumer Submission (CCS) extracts that report individual characteristic and service data to the Department, as required by § 37.2-508 or § 37.2-608 of the Code of Virginia, the federal Substance Abuse and Mental Health Services Administration, and Part C of Title XIX of the Public Health Services Act - Block Grants, § 1943 (a) (3) and § 1971 and § 1949, as amended by Public Law 106-310, and as permitted under 45 CFR §§ 164.506 (c) (1) and (3) and 164.512 (a) (1) and (d) of the HIPAA regulations and §32.1-127.1:03.D (6) of the Code of Virginia, and as defined in the current CCS Extract Specifications and Design Specifications, including the current Business Rules, that are available on the community contracting web page and are incorporated into and made a part of this contract by reference;
 - b.) follow the current Core Services Taxonomy and CCS Extract Specifications and Design Specifications, including the current Business Rules, when responding to reporting requirements established by the Department;
 - c.) complete the National Survey of Substance Abuse Treatment Services (N-SSATS) annually that is used to compile and update the National Directory of Drug and Alcohol Abuse Treatment Programs and the on-line Substance Abuse Treatment Facility Locator;
 - d.) follow the user acceptance testing process described in Appendix D of the CSB Administrative Requirements for new CCS 3 releases and participate in the user acceptance testing process when requested to do so by the Department;
 - e.) report service data on substance abuse prevention services provided by the CSB that are supported wholly or in part by the SABG set aside for prevention services through the ETO Prevention Data System, as outlined in approved data matrices and reporting deadlines, including quarterly reporting; report service data on state-funded mental health first aid and suicide prevention services through ETO, but report funding, expenditure, and cost data on these services through CARS per section 2.a.); and report service, funding, expenditure, and cost data on any other mental health prevention services through CCS 3 and CARS;
 - f.) supply information to the Department's Forensics Information Management System for individuals adjudicated not guilty by reason of insanity (NGRI), as required under § 37.2-508 or § 37.2-608 of the Code of Virginia and as permitted under 45 CFR §§ 164.506 (c) (1) and (3), 164.512 (d), and 164.512 (k) (6) (ii);
 - g.) report data and information required by the current Appropriation Act; and

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h.) report data identified collaboratively by the Department and the CSB working through the Virginia Association of Community Services Boards Data Management Committee on the regional REACH program if the CSB is the fiscal agent for this program.

2.) Routine Reporting Requirements: The CSB shall account for all services, funds, expenses, and costs accurately and submit reports to the Department in a timely manner using current CARS, CCS, or other software provided by the Department. All reports shall be provided in the form and format prescribed by the Department. The CSB shall provide the following information and meet the following reporting requirements:

- a.) types and service capacities of services provided, costs for services provided, and funds received by source and amount and expenses paid by program area and for emergency and ancillary services semi-annually in CARS, and state and federal block grant funds expended by core service with the end-of-the-fiscal year CARS report;
- b.) demographic characteristics of individuals receiving services and types and amounts of services provided to each individual monthly through the current CCS;
- c.) community waiting list information for the Comprehensive State Plan that is required by § 37.2-315 of the Code of Virginia, as permitted under § 32.1-127.1:03 (D) (6) of the Code of Virginia and 45 CFR § 164.512 (d) and (k) (6) (ii) (when required);
- d.) State Facility Discharge Waiting List Data Base reports using ACCESS software supplied by the Department;
- e.) Federal Balance Report (October 15);
- f.) PATH reports (mid-year and at the end of the fiscal year);
- g.) Report amounts of state, local, federal, Medicaid, other fees, other funds used to pay for services by core service in each program area and emergency and ancillary services in the end of the fiscal year CARS report; and
- h.) other reporting requirements in the current CCS Extract or Design Specifications.

3.) Subsequent Reporting Requirements: In accordance with State Board Policy 1037, the CSB shall work with the Department through the Virginia Association of Community Services Boards Data Management Committee (DMC) to ensure that current data and reporting requirements are consistent with each other and the current Core Services Taxonomy, the current CCS, and the Treatment Episode Data Set (TEDS) and other federal reporting requirements. The CSB also shall work with the Department through the DMC in planning and developing any additional reporting or documentation requirements beyond those identified in this contract to ensure that the requirements are consistent with the current taxonomy, the current CCS, and the TEDS and other federal reporting requirements.

4.) Data Elements: The CSB shall work with the Department through the DMC to standardize data definitions, periodically review existing required data elements to eliminate elements that are no longer needed, minimize the addition of new data elements to minimum necessary ones, review CSB business processes so that information is collected in a systematic manner, and support efficient extraction of required data from CSB electronic health record systems whenever this is possible.

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5.) Streamlining Reporting Requirements: The CSB shall work with the Department through the DMC to review existing reporting requirements including the current CCS to determine if they are still necessary and, if they are, to streamline and reduce the number of portals through which those reporting requirements are submitted as much as possible; to ensure reporting requirements are consistent with the current CCS Extract Specifications and Core Services Taxonomy; and to maximize the interoperability between Department and CSB data bases to support the electronic exchange of information and comprehensive data analysis.

- d. Providing Information:** The CSB shall provide any information requested by the Department that is related to the services, funds, or expenditures in this contract or the performance of or compliance with this contract in a timely manner, considering the type, amount, and availability of information requested. Provision of information shall comply with applicable laws and regulations governing confidentiality, privacy, and security of information regarding individuals receiving services from the CSB.
- e. Compliance Requirements:** The CSB shall comply with all applicable federal, state, and local laws and regulations, including those contained or referenced in the CSB Administrative Requirements and Exhibits F and J of this contract, as they affect the operation of this contract. Any substantive change in the CSB Administrative Requirements, except changes in statutory, regulatory, policy, or other requirements or in other documents incorporated by reference in it, which changes are made in accordance with processes or procedures associated with those statutes, regulations, policies, or other requirements or documents, shall constitute an amendment of this contract, made in accordance with applicable provisions of the Partnership Agreement, that requires a new contract signature page signed by both parties. If any laws or regulations that become effective after the execution date of this contract substantially change the nature and conditions of this contract, they shall be binding upon the parties, but the parties retain the right to exercise any remedies available to them by law or other provisions of this contract.

The CSB shall comply with the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the regulations promulgated thereunder by their compliance dates, except where the HIPAA requirements and applicable state law or regulations are contrary and state statutes or regulations are more stringent, as defined in 45 CFR § 160.202, than the related HIPAA requirements. The CSB shall ensure sensitive data, including HIPAA-protected health information, personally identifiable information, and other confidential data, exchanged electronically with the Department, its state hospitals and training centers, other CSBs, other providers, or persons meets the requirements in the FIPS 140-2 standard and is encrypted using a method supported by the Department. The Department will accept 256 bit encryption methods that are FIPS 140-2 compliant.

The CSB shall follow the procedures and satisfy the requirements in the Performance Contract Process and the Administrative Performance Standards in Exhibits E and I of this contract. The CSB shall document compliance with § 37.2-501 or § 37.2-602 of the Code of Virginia in Exhibit H of this contract.

- f. Regional Programs:** The CSB shall manage or participate in the management of, account for, and report on regional programs in accordance with the Regional Program Operating Principles and the Regional Program Procedures in Appendices E and F of the Core Services Taxonomy. The CSB agrees to participate in any utilization review or management activities conducted by the Department involving services provided through a regional

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program. Protected health information, personally identifiable information, or other information may be disclosed as permitted under 45 CFR §§ 164.506 (c) (1) and (3) and 164.512 (k) (6) (ii) of the HIPAA regulations and under §32.1-127.1:03.D (6) of the Code.

g. Intensive Care Coordination for the Comprehensive Services Act

- 1.) As the single point of entry into publicly funded mental health, developmental, and substance abuse services pursuant to § 37.2-500 of the Code of Virginia and as the exclusive provider of Medicaid rehabilitative mental health case management services and with sole responsibility for targeted DD case management services, the CSB is the most appropriate provider of intensive care coordination (ICC) services through the Comprehensive Services Act for At-Risk Youth and Families (CSA). The CSB and the local community policy and management team (CPMT) in its service area shall determine collaboratively the most appropriate and cost-effective provider of ICC services for children who are placed in or are at risk of being placed in residential care through the CSA program in accordance with guidelines developed by the State Executive Council and shall develop a local plan for ICC services that best meets the needs of those children and their families. If there is more than one CPMT in the CSB's service area, the CPMTs and the CSB may work together as a region to develop a plan for ICC services.
- 2.) If the CSB is identified as the provider of ICC services, it shall work in close collaboration with its CPMT(s) and family assessment and planning team(s) to implement ICC services, to assure adequate support for these services through local CSA funds, and to assure that all children receive appropriate assessment and care planning services. Examples of ICC activities include: efforts at diversion from more restrictive levels of care, discharge planning to expedite return from residential or facility care, and community placement monitoring and care coordination work with family members and other significant stakeholders. If it contracts with another entity to provide ICC services, the CSB shall remain fully responsible for ICC services, including monitoring the services provided under the contract.

- h. Electronic Health Record:** The CSB shall implement and maintain an electronic health record that has been fully certified and is listed by the Office of the National Coordinator for Health Information Technology - Authorized Testing and Certification Body to improve the quality and accessibility of services, streamline and reduce duplicate reporting and documentation requirements, obtain reimbursement for services, and exchange data with the Department and its state hospitals and training centers and other CSBs.
- i. Reviews:** The CSB shall participate in the periodic, comprehensive administrative and programmatic review of the CSB conducted by the Department to evaluate the CSB's compliance with requirements in the contract and CSB Administrative Requirements and the CSB's performance. The CSB shall address recommendations in the review report by the dates specified in the report or those recommendations may be incorporated in an Exhibit D.
- j. Consideration of Department Comments or Recommendations:** The executive director and CSB board members shall consider significant issues or concerns raised by the Commissioner of the Department at any time about the operations or performance of the CSB and shall respond formally to the Department, collaborating with it as appropriate, about these issues or concerns.

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7. Department Responsibilities

- a. **Funding:** The Department shall disburse state funds displayed in Exhibit A prospectively on a semi-monthly basis to the CSB, subject to the CSB's compliance with the provisions of this contract. Payments may be revised to reflect funding adjustments. The Department shall disburse federal grant funds that it receives to the CSB in accordance with the requirements of the applicable federal grant and, wherever possible, prospectively on a semi-monthly basis. The Department shall make these payments in accordance with Exhibit E of this contract.
- b. **State Facility Services**
- 1.) **Availability:** The Department shall make state facility services available, if appropriate, through its state hospitals and training centers when individuals located in the CSB's service area meet the admission criteria for these services.
 - 2.) **Bed Utilization:** The Department shall track, monitor, and report on the CSB's utilization of state hospital and training center beds and provide data to the CSB about individuals receiving services from its service area who are served in state hospitals and training centers as permitted under 45 CFR §§ 164.506 (c) (1), (2), and (4) and 164.512 (k) (6) (ii). The Department shall post state hospital and training center bed utilization by the CSB for all types of beds (adult, geriatric, child and adolescent, and forensic) and for TDO admissions and bed day utilization on its web site.
 - 3.) **Continuity of Care:** The Department shall manage its state hospitals and training centers in accordance with State Board Policy 1035 to support service linkages with the CSB, including adherence to the applicable provisions of the Continuity of Care Procedures, attached to the CSB Administrative Requirements as Appendix A, and the current *Collaborative Discharge Protocols for Community Services Boards and State Hospitals – Adult & Geriatric or Child & Adolescent* and the current *Training Center - Community Services Board Admission and Discharge Protocols for Individuals with Intellectual Disabilities*. The Department shall assure state hospitals and training centers use teleconferencing technology to the greatest extent practicable to facilitate the CSB's participation in treatment planning activities and fulfillment of its discharge planning responsibilities for individuals in state hospitals and training centers for whom it is the case management CSB.
 - 4.) **Medical Screening and Medical Assessment:** When working with CSBs and other facilities to arrange for treatment of individuals in the state hospital, the state hospital shall assure that its staff follows the most current *Medical Screening and Medical Assessment Guidance Materials*. The state hospital staff shall coordinate care with emergency rooms, emergency room physicians, and other health and behavioral health providers to ensure the provision of timely and effective medical screening and medical assessment to promote the health and safety of and continuity of care for individuals receiving services.
 - 5.) **Planning:** The Department shall involve the CSB, as applicable and to the greatest extent possible, in collaborative planning activities regarding the future role and structure of state hospitals and training centers.
 - 6.) **Recovery Orientation:** The Department shall ensure that each state hospital implements a plan for assessing and increasing its recovery orientation over time in accordance with Section 5: Advancing the Vision of the Partnership Agreement, and

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each state hospital shall report on its recovery orientation to the Department by the last business day of March in odd-numbered years.

- 7.) **Virginia Psychiatric Bed Registry:** The Department shall participate in the Virginia Psychiatric Bed Registry required by § 37.2-308.1 of the Code of Virginia, and state hospitals shall update information about bed availability included in the registry whenever there is a change in bed availability for the hospital or, if no change in bed availability has occurred, at least daily.

c. Quality of Care

- 1.) **Measures:** The Department in collaboration with the VACSB Data Management and Quality Assurance Committees shall identify individual outcome, CSB provider performance, individual satisfaction, individual and family member participation and involvement measures, and quality improvement measures, pursuant to § 37.2-508 or § 37.2-608 of the Code of Virginia, and shall collect information about these measures and work with the CSB to use them as part of the Continuous Quality Improvement Process described in Appendix E of the CSB Administrative Requirements to improve services.
- 2.) **Department CSB Performance Measures Data Dashboard:** The Department shall develop a data dashboard to display the CSB Performance Measures in Exhibit B, developed in collaboration with the CSB, and post it on its web site. The Department shall work with the CSB to identify and implement actions to improve the CSB's ranking on any outcome or performance measure on which it is below the benchmark.
- 3.) **Utilization Management:** The Department shall work with the CSB, state hospitals and training centers serving it, and private providers involved with the public mental health, developmental, and substance abuse services system to implement regional utilization management procedures and practices reflected in the Regional Utilization Management Guidance document that is incorporated into and made a part of this contract by reference.
- 4.) **Recovery Orientation:** The Department shall implement a plan for assessing and increasing its recovery orientation over time in accordance with Section 5: Advancing the Vision of the Partnership Agreement and shall report on its recovery orientation on its web site by the last business day of March in odd-numbered years. It shall work with the CSB within the resources available to support the CSB's efforts to assess and increase its recovery orientation over time and review and provide feedback to the CSB on its efforts.
- 5.) **Continuity of Care:** In order to fulfill its responsibilities related to discharge planning, the Department shall comply with § 37.2-837 of the Code of Virginia, State Board Policy 1036, the current *Collaborative Discharge Protocols for Community Services Boards and State Hospitals – Adult & Geriatric or Child & Adolescent* and the current *Training Center - Community Services Board Admission and Discharge Protocols for Individuals with Intellectual Disabilities*, and the Continuity of Care Procedures, included in the CSB Administrative Requirements as Appendix A.
- 6.) **Human Rights:** The Department shall operate the statewide human rights system described in the current *Rules and Regulations to Assure the Rights of Individuals Receiving Services from Providers Licensed, Funded, or Operated by the Department of Behavioral Health and Developmental Services*, monitor compliance with the human rights requirements in those regulations, and conduct reviews and investigations

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referenced in those regulations. The Department's human rights staff shall be available on a daily basis, including weekends and holidays, to receive reports of allegations of violations of the human rights of individuals receiving services from the CSB.

- 7.) **Licensing:** The Department shall license programs and services that meet the requirements in the current *Rules and Regulations for Licensing Providers by the Department of Behavioral Health and Developmental Services* and conduct licensing reviews in accordance with the provisions of those regulations. The Department shall respond in a timely manner to issues raised by the CSB regarding its efforts to coordinate and monitor services provided by independent providers licensed by the Department.

d. Reporting Requirements

- 1.) **Subsequent Reporting Requirements:** In accordance with State Board Policy 1037, the Department shall work with CSBs through the Virginia Association of Community Services Boards Data Management Committee (DMC) to ensure that current data and reporting requirements are consistent with each other and the current Core Services Taxonomy, the current Community Consumer Submission (CCS), and the Treatment Episode Data Set (TEDS) and other federal reporting requirements. The Department also shall work with CSBs through the DMC in planning and developing any additional reporting or documentation requirements beyond those identified in this contract to ensure that the requirements are consistent with the current taxonomy, the current CCS, and the TEDS and other federal reporting requirements.
- 2.) **Community Consumer Submission:** The Department shall collaborate with CSBs through the DMC in the implementation and modification of the current CCS, which reports individual characteristic and service data that is required under § 37.2-508 or § 37.2-608 of the Code of Virginia, the federal Substance Abuse and Mental Health Services Administration, and Part C of Title XIX of the Public Health Services Act - Block Grants, §1943 (a) (3) and § 1971 and § 1949, as amended by Public Law 106-310, to the Department and is defined in the current CCS Extract Specifications and Design Specifications, including the current Business Rules. The Department will receive and use individual characteristic and service data disclosed by the CSB through the CCS as permitted under 45 CFR §§ 164.506 (c) (1) and (3) and 164.512 (a) (1) of the HIPAA regulations and § 32.1-127.1:03.D (6) of the Code of Virginia and shall implement procedures to protect the confidentiality of this information pursuant to § 37.2-504 or § 37.2-605 of the Code of Virginia and HIPAA. The Department shall follow the user acceptance testing process described in Appendix D of the CSB Administrative Requirements for new CCS 3 releases.
- 3.) **Data Elements:** The Department shall work with CSBs through the DMC to standardize data definitions, periodically review existing required data elements to eliminate elements that are no longer needed, minimize the addition of new data elements to minimum necessary ones, review CSB business processes so that information is collected in a systematic manner, and support efficient extraction of required data from CSB electronic health record systems whenever this is possible.
- 4.) **Surveys:** The Department shall ensure that all surveys and requests for data have been reviewed for cost effectiveness and developed through a joint Department and CSB process. The Department shall comply with the Procedures for Approving CSB

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Surveys, Questionnaires, and Data Collection Instruments and Establishing Reporting Requirements, reissued by Commissioner James Stewart on March 4, 2011.

- 5.) Streamlining Reporting Requirements:** The Department shall work with CSBs through the DMC to review existing reporting requirements including the current CCS to determine if they are still necessary and, if they are, to streamline and reduce the number of portals through which those reporting requirements are submitted as much as possible; to ensure reporting requirements are consistent with the current CCS Extract Specifications and Core Services Taxonomy; and to maximize the interoperability between Department and CSB data bases to support the electronic exchange of information and comprehensive data analysis.
- e. Compliance Requirements:** The Department shall comply with all applicable state and federal statutes and regulations, including those contained or referenced in the CSB Administrative Requirements, as they affect the operation of this contract. Any substantive change in the CSB Administrative Requirements, except changes in statutory, regulatory, policy, or other requirements or in other documents incorporated by reference in it, which changes are made in accordance with processes or procedures associated with those statutes, regulations, policies, or other requirements or documents, shall constitute an amendment of this contract, made in accordance with applicable provisions of the Partnership Agreement, that requires a new contract signature page signed by both parties. If any laws or regulations that become effective after the execution date of this contract substantially change the nature and conditions of this contract, they shall be binding upon the parties, but the parties retain the right to exercise any remedies available to them by law or other provisions of this contract.

The Department and its state hospitals and training centers shall comply with the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and the regulations promulgated thereunder by their compliance dates, except where the HIPAA requirements and applicable state law or regulations are contrary and state statutes or regulations are more stringent, as defined in 45 CFR § 160.202, than the related HIPAA requirements. The Department and its state hospitals and training centers shall ensure that any sensitive data, including HIPAA-protected health information, personally identifiable information, and other confidential data, exchanged electronically with CSBs, other providers, or persons meets the requirements in the FIPS 140-2 standard and is encrypted using a method supported by the Department and CSB. The Department will use 256 bit encryption methods that are FIPS 140-2 compliant.

- f. Communication:** The Department shall provide technical assistance and written notification to the CSB regarding changes in funding source requirements, such as regulations, policies, procedures, and interpretations, to the extent that those changes are known to the Department. The Department shall resolve, to the extent practicable, inconsistencies in state agency requirements that affect requirements in this contract. The Department shall provide any information requested by the CSB that is related to performance of or compliance with this contract in a timely manner, considering the type, amount, and availability of the information requested. The Department shall issue new or revised policy, procedure, and guidance documents affecting CSBs via letters, memoranda, or emails from the Commissioner, Deputy Commissioner, or applicable Assistant Commissioner to CSB executive directors and other applicable CSB staff and post these documents in an easily accessible place on its web site within 10 business days of the date on which the documents are issued via letters, memoranda, or emails.

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- g. Regional Programs:** The Department may conduct utilization review or management activities involving services provided by the CSB through a regional program. If such activities involve the disclosure of protected health information, personally identifiable information, or other information, the information may be used and disclosed as permitted under 45 CFR §§ 164.506 (c) (1) and (3) and 164.512 (k) (6) (ii)) of the HIPAA regulations and §32.1-127.1:03.D (6) of the Code of Virginia. If the CSB's receipt of state funds as the fiscal agent for a regional program, as defined in the Regional Program Principles and the Regional Program Procedures in Appendices E and F of the current Core Services Taxonomy, including regional DAP, acute inpatient care (LIPOS), or state facility reinvestment project funds, causes it to be out of compliance with the 10 percent local matching funds requirement in § 37.2-509 of the Code of Virginia, the Department shall grant an automatic waiver of that requirement related to the funds for that regional program allocated to the other participating CSBs as authorized by that Code section and State Board Policy 4010.
 - h. Peer Review Process:** The Department shall implement a process in collaboration with volunteer CSBs to ensure that at least five percent of community mental health and substance abuse programs receive independent peer reviews annually, per federal requirements and guidelines, to review the quality and appropriateness of services. The Department shall manage this process to ensure that peer reviewers do not monitor their own programs.
 - i. Electronic Health Record:** The Department shall implement and maintain an electronic health record in its central office and state hospitals and training centers that has been fully certified and is listed by the Office of the National Coordinator for Health Information Technology - Authorized Testing and Certification Body to improve the quality and accessibility of services, streamline and reduce duplicate reporting and documentation requirements, obtain reimbursement for services, and exchange data with CSBs.
 - j. Reviews:** The Department shall review and take appropriate action on audits submitted by the CSB in accordance with the provisions of this contract and the CSB Administrative Requirements. The Department may conduct a periodic, comprehensive administrative and programmatic review of the CSB to evaluate the CSB's compliance with requirements in the contract and CSB Administrative Requirements and the CSB's performance. The Department shall present a report of the review to the CSB and monitor the CSB's implementation of any recommendations in the report.
 - k. Department Comments or Recommendations on CSB Operations or Performance:** The Commissioner of the Department may communicate significant issues or concerns about the operations or performance of the CSB to the executive director and CSB board members for their consideration, and the Department agrees to collaborate as appropriate with the executive director and CSB board members as they respond formally to the Department about these issues or concerns.
- 8. Subcontracting:** The CSB may subcontract any requirements in this contract. The CSB shall remain fully and solely responsible and accountable for meeting all of its obligations and duties under this contract, including all services, terms, and conditions, without regard to its subcontracting arrangements. Subcontracting shall comply with applicable statutes, regulations, and guidelines, including the Virginia Public Procurement Act. All subcontracted activities shall be formalized in written contracts between the CSB and subcontractors. The CSB agrees to provide copies of contracts or other documents to the Department on request.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

A subcontract means a written agreement between the CSB and another party under which the other party performs any of the CSB's obligations. Subcontracts, unless the context or situation supports a different interpretation or meaning, also may include agreements, memoranda of understanding, purchase orders, contracts, or other similar documents for the purchase of services or goods by the CSB from another organization or agency or a person on behalf of an individual. If the CSB hires an individual not as an employee but as a contractor (e.g., a part-time psychiatrist) to work in its programs, this does not constitute subcontracting under this section. CSB payments for rent or room and board in a non-licensed facility (e.g., rent subsidies or a hotel room) do not constitute subcontracting under this section, and the provisions of this section, except for compliance with the Human Rights regulations, do not apply to the purchase of a service for one individual.

- a. **Subcontracts:** The written subcontract shall, as applicable and at a minimum, state the activities to be performed, the time schedule and duration, the policies and requirements, including data reporting, applicable to the subcontractor, the maximum amount of money for which the CSB may become obligated, and the manner in which the subcontractor will be compensated, including payment time frames. Subcontracts shall not contain provisions that require a subcontractor to make payments or contributions to the CSB as a condition of doing business with the CSB.
- b. **Subcontractor Compliance:** The CSB shall require that its subcontractors comply with the requirements of all applicable federal and state statutes, regulations, policies, and reporting requirements that affect or are applicable to the services included in this contract. The CSB shall require that its subcontractors submit to the CSB all required CCS 3 data on individuals they served and services they delivered in the applicable format so that the CSB can include this data in its CCS 3 submissions to the Department. The CSB shall require that any agency, organization, or person with which it intends to subcontract services that are included in this contract is fully qualified and possesses and maintains current all necessary licenses or certifications from the Department and other applicable regulatory entities before it enters into the subcontract and places individuals in the subcontracted service. The CSB shall require all subcontractors that provide services to individuals and are licensed by the Department to maintain compliance with the Human Rights Regulations adopted by the State Board.

The CSB shall, to the greatest extent practicable, require all other subcontractors that provide services purchased by the CSB for individuals and are not licensed by the Department to develop and implement policies and procedures that comply with the CSB's human rights policies and procedures or to allow the CSB to handle allegations of human rights violations on behalf of individuals served by the CSB who are receiving services from such subcontractors. When it funds providers such as family members, neighbors, individuals receiving services, or others to serve individuals, the CSB may comply with these requirements on behalf of those providers, if both parties agree.
- c. **Subcontractor Dispute Resolution:** The CSB shall include contract dispute resolution procedures in its contracts with subcontractors.
- d. **Quality Improvement Activities:** The CSB shall, to the extent practicable, incorporate specific language in its subcontracts regarding the quality improvement activities of subcontractors. Each vendor that subcontracts with the CSB should have its own quality improvement system in place or participate in the CSB's quality improvement program.

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9. Terms and Conditions

- a. **Availability of Funds:** The Department and the CSB shall be bound by the provisions of this contract only to the extent of the funds available or that may hereafter become available for the purposes of the contract.
- b. **Compliance:** The Department may utilize a variety of remedies, including requiring a corrective action plan, delaying payments, reducing allocations or payments, and terminating the contract, to assure CSB compliance with this contract. Specific remedies, described in Exhibit I of this contract, may be taken if the CSB fails to satisfy the reporting requirements in this contract.
- c. **Disputes:** Resolution of disputes arising from Department contract compliance review and performance management efforts or from actions by the CSB related to this contract may be pursued through the dispute resolution process in section 9.f, which may be used to appeal only the following conditions:
 - 1.) reduction or withdrawal of state general or federal funds, unless funds for this activity are withdrawn by action of the General Assembly or federal government or by adjustment of allocations or payments pursuant to section 5 of this contract;
 - 2.) termination or suspension of the contract, unless funding is no longer available;
 - 3.) refusal to negotiate or execute a contract modification;
 - 4.) disputes arising over interpretation or precedence of terms, conditions, or scope of the contract; or
 - 5.) determination that an expenditure is not allowable under this contract.
- d. **Termination**
 - 1.) The Department may terminate this contract immediately, in whole or in part, at any time during the contract period if funds for this activity are withdrawn or not appropriated by the General Assembly or are not provided by the federal government. In this situation, the obligations of the Department and the CSB under this contract shall cease immediately. The CSB and Department shall make all reasonable efforts to ameliorate any negative consequences or effects of contract termination on individuals receiving services and CSB staff.
 - 2.) The CSB may terminate this contract immediately, in whole or in part, at any time during the contract period if funds for this activity are withdrawn or not appropriated by its local government(s) or other funding sources. In this situation, the obligations of the CSB and the Department under this contract shall cease immediately. The CSB and Department shall make all reasonable efforts to ameliorate any negative consequences or effects of contract termination on individuals receiving services and CSB staff.
 - 3.) In accordance with § 37.2-508 or § 37.2-608 of the Code of Virginia, the Department may terminate all or a portion of this contract, after unsuccessful use of the remediation process described in section 9.e and after affording the CSB an adequate opportunity to use the dispute resolution process described in section 9.f of this contract. A written notice specifying the cause shall be delivered to the CSB's board chairperson and executive director at least 75 days prior to the date of actual termination of the contract. In the event of contract termination under these circumstances, only payment for allowable services rendered by the CSB shall be made by the Department.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

- e. Remediation Process:** The remediation process mentioned in § 37.2-508 or § 37.2-608 of the Code of Virginia is an informal procedure that shall be used by the Department and the CSB to address a particular situation or condition identified by the Department or the CSB that may, if unresolved, result in termination of the contract, in whole or in part, in accordance with the provisions of section 9.d of this contract. The details of this remediation process shall be developed by the parties and added as an exhibit of this contract. This exhibit shall describe the situation or condition and include the performance measures that shall document a satisfactory resolution of the situation or condition.
- f. Dispute Resolution Process:** Disputes arising from any of the conditions in section 9.c of this contract shall be resolved using the following process.
- 1.) Within 15 days of the CSB's identification or receipt of a disputable action taken by the Department or of the Department's identification or receipt of a disputable action taken by the CSB, the party seeking resolution of the dispute shall submit a written notice to the Department's Director of Community Contracting, stating its desire to use the dispute resolution process. The written notice must describe the condition, nature, and details of the dispute and the relief sought by the party.
 - 2.) The Director of Community Contracting shall review the written notice and determine if the dispute falls within the conditions listed in section 9.c. If it does not, the Director of Community Contracting shall notify the party in writing within seven days of receipt of the written notice that the dispute is not subject to this dispute resolution process. The party may appeal this determination to the Commissioner in writing within seven days of its receipt of the Director's written notification.
 - 3.) If the dispute falls within the conditions listed in section 9.c, the Director of Community Contracting shall notify the party within seven days of receipt of the written notice that a panel will be appointed within 15 days to conduct an administrative hearing.
 - 4.) Within 15 days of notification to the party, a panel of three or five disinterested persons shall be appointed to hear the dispute. The CSB shall appoint one or two members; the Commissioner shall appoint one or two members; and the appointed members shall appoint the third or fifth member. Each panel member will be informed of the nature of the dispute and be required to sign a statement indicating that he has no interest in the dispute. Any person with an interest in the dispute shall be relieved of panel responsibilities and another person shall be selected as a panel member.
 - 5.) The Director of Community Contracting will contact the parties by telephone and arrange for a panel hearing at a mutually convenient time, date, and place. The panel hearing shall be scheduled not more than 15 days after the appointment of panel members. Confirmation of the time, date, and place of the hearing will be communicated to all parties at least seven days in advance of the hearing.
 - 6.) The panel members shall elect a chairman and the chairman shall convene the panel. The party requesting the panel hearing shall present evidence first, followed by the presentation of the other party. The burden shall be on the party requesting the panel hearing to establish that the disputed decision or action was incorrect and to present the basis in law, regulation, or policy for its assertion. The panel may hear rebuttal evidence after the initial presentations by the CSB and the Department. The panel may question either party in order to obtain a clear understanding of the facts.
 - 7.) Subject to provisions of the Freedom of Information Act, the panel shall convene in closed session at the end of the hearing and shall issue written recommended findings of

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

fact within seven days of the hearing. The recommended findings of fact shall be submitted to the Commissioner for a final decision.

- 8.) The findings of fact shall be final and conclusive and shall not be set aside by the Commissioner unless they are (1) fraudulent, arbitrary, or capricious; (2) so grossly erroneous as to imply bad faith; (3) in the case of termination of the contract due to failure to perform, the criteria for performance measurement are found to be erroneous, arbitrary, or capricious; or (4) not within the CSB's purview.
 - 9.) The final decision shall be sent by certified mail to both parties no later than 60 days after receipt of the written notice from the party invoking the dispute resolution process.
 - 10.) Multiple appeal notices shall be handled independently and sequentially so that an initial appeal will not be delayed by a second appeal.
 - 11.) The CSB or the Department may seek judicial review of the final decision to terminate or suspend the contract in the Circuit Court for the City of Richmond within 30 days of receipt of the final decision.
- g. Contract Amendment:** This contract, including all exhibits and incorporated documents, constitutes the entire agreement between the Department and the CSB. The services identified in Exhibit A of this contract may be revised in accordance with the performance contract revision instructions contained in Exhibit E of this contract. Other provisions of this contract may be amended only by mutual agreement of the parties, in writing and signed by the parties hereto.
- h. Liability:** The CSB shall defend or compromise, as appropriate, all claims, suits, actions, or proceedings arising from its performance of this contract. The CSB shall obtain and maintain sufficient liability insurance to cover claims for bodily injury and property damage and suitable administrative or directors and officers liability insurance. The CSB may discharge these responsibilities by means of a proper and sufficient self-insurance program operated by the state or a city or county government. The CSB shall provide a copy of any policy or program to the Department upon request. This contract is not intended to and does not create by implication or otherwise any basis for any claim or cause of action by a person or entity not a party to this contract arising out of any claimed violation of any provision of this contract, nor does it create any claim or right on behalf of any person to services or benefits from the CSB or the Department.
- i. Constitution of the CSB:** The resolutions or ordinances currently in effect that were enacted by the governing body or bodies of the local government or governments to establish the CSB are consistent with applicable statutory requirements in §§ 37.2-500, 37.2-501, and 37.2-502 or §§ 37.2-601, 37.2-602, and 37.2-603 of the Code of Virginia and accurately reflect the current purpose, roles and responsibilities, local government membership, number and type of CSB board member appointments from each locality, the CSB's relationship with its local government or governments, and the name of the CSB.
- j. Severability:** Each paragraph and provision of this contract is severable from the entire contract, and the remaining provisions shall nevertheless remain in full force and effect if any provision is declared invalid or unenforceable.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

10. Signatures: In witness thereof, the Department and the CSB have caused this performance contract to be executed by the following duly authorized officials.

**Virginia Department of Behavioral Health
And Developmental Services**

CSB

By: _____

Name: Jack W. Barber, M.D.

Title: Interim Commissioner

By: _____

Name: _____

Title: CSB Chairperson

Date: _____

Date: _____

**CITY OF HAMPTON
OFFICE OF THE CITY ATTORNEY**
Approved as to legal form and sufficiency
Date: 5/3/16
[Signature]
Assistant Deputy Attorney

By: _____

Name: _____

Title: CSB Executive Director

Date: _____

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

FY 2017 Exhibit A: Resources and Services

CSB: _____

Consolidated Budget (Pages AF-3 Through AF-8)				
Funding Sources	Mental Health (MH) Services	Developmental (DV) Services	Substance Abuse (SA) Services	TOTAL
State Funds				
Local Matching Funds				
Total Fees				
Transfer Fees (In)/Out				
Federal Funds				
Other Funds				
State Retained Earnings				
Federal Retained Earnings				
Other Retained Earnings				
Subtotal: Ongoing Funds				
State Funds One-Time				
Federal Funds One-Time				
Subtotal: One-Time Funds				
Total: All Funds				

Cost for MH, DV, SA Services				
Cost for Emergency Services (AP-4)				
Cost for Ancillary Services (AP-4)				
Total Cost for Services				

Local Match Computation	
Total State Funds	
Total Local Matching Funds	
Total State and Local Funds	
Total Local Match Percentage (Local ÷ Total State + Local Funds)	

CSB Administrative Percentage	
Administrative Expenses	
Total Cost for Services	
Administrative Percentage (Admin ÷ Total Expenses)	

Note: Exhibit A is submitted to the Department by the CSB electronically using the CARS software application.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

FY 2017 Exhibit A: Resources and Services

CSB: _____

Financial Comments

Comment 1	
Comment 2	
Comment 3	
Comment 4	
Comment 5	
Comment 6	
Comment 7	
Comment 8	
Comment 9	
Comment 10	
Comment 11	
Comment 12	
Comment 13	
Comment 14	
Comment 15	
Comment 16	
Comment 17	
Comment 18	
Comment 19	
Comment 20	
Comment 21	
Comment 22	
Comment 23	
Comment 24	
Comment 25	

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

FY 2017 Exhibit A: Resources and Services for Mental Health (MH) Services

CSB:

Funding Sources	Funds
<u>FEES</u>	
MH Medicaid Fees	
MH Fees: Other	
Total MH Fees	_____
MH Fees Transfer In/(Out)	
MH NET FEES	_____
<u>FEDERAL FUNDS</u>	
MH FBG SED Child & Adolescent (93.958)*	
MH FBG Young Adult SMI (93.958)*	
MH FBG SMI (93.958) ¹	
MH FBG SMI PACT (93.958) ¹	
MH FBG SMI SWVBH Board (93.958) ¹	
Total MH FBG SMI Funds*	_____
MH FBG Geriatrics (93.958)*	
MH FBG Peer Services (93.958)*	
Total MH FBG Adults Funds*	_____
MH Federal PATH (93.150)*	
MH Federal CABHI (93.243)*	
MH Federal CCBHC (93.829)*	
MH Other Federal - DBHDS*	
MH Other Federal - CSB*	
TOTAL MH FEDERAL FUNDS	_____
<u>STATE FUNDS</u>	
<u>Regional Funds</u>	
MH Acute Care (Fiscal Agent)* ²	
MH Acute Care Transfer In/(Out)	
MH Net Acute Care - Restricted	_____
MH Regional DAP (Fiscal Agent)* ²	
MH Regional DAP Transfer In/ (Out)	
MH Net Regional DAP - Restricted	_____
MH 2014 DAP (Fiscal Agent)* ²	
MH 2014 DAP Transfer In/(Out)	
MH Net 2014 DAP - Restricted	_____
MH Regional Residential DAP - Restricted	
MH Crisis Stabilization (Fiscal Agent)* ²	
MH Crisis Stabilization Transfer In/(Out)	
MH Net Crisis Stabilization - Restricted	_____
MH Recovery (Fiscal Agent)+	
MH Other Merged Regional Funds (Fiscal Agent)+	
MH Total Regional Transfer In/(Out)	
MH Net Unrestricted Regional Funds	_____
Total MH Net Regional State Funds	_____

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT
FY 2017 Exhibit A: Resources and Services for Mental Health (MH) Services

CSB: _____

Funding Sources	Funds
<u>Children's State Funds</u>	
MH Child & Adolescent Services Initiative*	
MH Children's Outpatient Services*	
Total MH Restricted Children's Funds	_____
MH State Children's Services‡	
MH Juvenile Detention‡	
MH Demo Project - System of Care (Child) ‡	
Total MH Unrestricted Children's Funds	_____
MH Crisis Response & Child Psychiatry (Fiscal Agent)*	
MH Crisis Response & Child Psychiatry Transfer In/(Out)	_____
MH Net Crisis Response & Child Psychiatry	_____
Total MH Children's State Funds	
<u>Other State Funds</u>	
MH Law Reform*	
MH Pharmacy - Medication Supports*	
MH Jail Diversion Services*	
MH Adult Outpatient Competency Restoration Services*	
MH CIT Assessment Sites*	
MH Expand Tele-psychiatry Capacity*	
MH Young Adult SMI*	
MH PACT*	
MH PACT Forensic Enhancement*	
MH Permanent Supportive Housing CABHI*	
MH Permanent Supportive Housing (non-CSBHI)*	
MH CCBHC State Portion*	
MH Expanded Community Capacity (Fiscal Agent)*	
MH Expanded Community Capacity Transfer In/(Out)	_____
MH Net Expanded Community Capacity	
MH First Aid and Suicide Prevention (Fiscal Agent)*	
MH First Aid and Suicide Prevention Transfer In/(Out)	_____
MH Net First Aid and Suicide Prevention	_____
Total MH Restricted Other State Funds	
MH State Funds‡	
MH State Regional Deaf Services‡	
MH State NGRI Funds‡	
MH Geriatric Services‡	
Total MH Unrestricted Other State Funds	_____
Total MH Other State Funds	_____
TOTAL MH STATE FUNDS	

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

FY 2017 Exhibit A: Resources and Services for Mental Health (MH) Services

CSB:

<u>Funding Sources</u>	<u>Funds</u>
<u>OTHER FUNDS</u>	
MH Other Funds*	
MH Federal Retained Earnings*	
MH State Retained Earnings*	
MH State Retained Earnings - Regional Programs*	
MH Other Retained Earnings*	_____
TOTAL MH OTHER FUNDS	
<u>LOCAL MATCHING FUNDS</u>	
MH Local Government Appropriations‡	
MH Philanthropic Cash Contributions‡	
MH In-Kind Contributions‡	
MH Local Interest Revenue‡	_____
TOTAL MH LOCAL MATCHING FUNDS	_____
TOTAL MH FUNDS	
<u>ONE-TIME FUNDS</u>	
MH FBG SMI (93.958)*	
MH FBG SED Child & Adolescent (93.958)*	
MH FBG Peer Services (93.958) *	
MH State Funds*	_____
TOTAL MH ONE-TIME FUNDS	_____
TOTAL MH ALL FUNDS	

¹ These funds are earmarked but not restricted; they are part of MH FBG SMI.

² MH acute care (LIPOS), regional DAP, and crisis stabilization funds are restricted, but each type of funds can be used for the other purposes in certain situations approved by the Department.

* These funds are restricted and expenditures of them are tracked and reported separately.

‡ These funds are earmarked but not restricted; expenditures are reported for the total amount.

+ Funds are earmarked in a pool of Regional Funds; expenditures are reported for the total amount.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT
FY 2017 Exhibit A: Resources and Services for Developmental (DV) Services

CSB: _____

Funding Sources	Funds
<u>FEES</u>	
DV Other Medicaid Fees	
DV Medicaid ICF/DD Waiver Fees	
DV Fees: Other	_____
Total DV Fees	
DV Fees Transfer In/(Out)	_____
DV NET FEES	
<u>FEDERAL FUNDS</u>	
DV Other Federal - DBHDS*	
DV Other Federal - CSB*	_____
TOTAL DV FEDERAL FUNDS	
<u>STATE FUNDS</u>	
DV State Funds ^{1‡}	
DV OBRA Funds‡	_____
Total DV Unrestricted State Funds	
DV Rental Subsidies*	
DV Crisis Stabilization (Fiscal Agent)*	
DV Crisis Stabilization Transfer In/(Out)	_____
DV Net Crisis Stabilization*	
DV Crisis Stabilization - Children (Fiscal Agent)*	
DV Crisis Stabilization - Children Transfer In/(Out)	_____
DV Net Crisis Stabilization - Children	_____
Total DV Restricted State Funds	_____
TOTAL DV STATE FUNDS	
<u>OTHER FUNDS</u>	
DV Workshop Sales*	
DV Other Funds*	
DV State Retained Earnings*	
DV State Retained Earnings - Regional Programs*	
DV Other Retained Earnings*	_____
TOTAL DV OTHER FUNDS	
<u>LOCAL MATCHING FUNDS</u>	
DV Local Government Appropriations‡	
DV Philanthropic Cash Contributions‡	
DV In-Kind Contributions‡	
DV Local Interest Revenue‡	_____
TOTAL DV LOCAL MATCHING FUNDS	_____
TOTAL DV All FUNDS	

¹ Includes former Family Support and Children's Family Support funds.
 * These funds are restricted and expenditures of them are tracked and reported separately.
 ‡ These funds are earmarked but not restricted; expenditures are reported for the total funds.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT
FY 2017 Exhibit A: Resources and Services for Substance Abuse (SA) Services

CSB: _____

Funding Sources	Funds
<u>FEES</u>	
SA Medicaid Fees	
SA Fees: Other	_____
Total SA Fees	
SA Fees Transfer In/(Out)	_____
SA NET FEES	
<u>FEDERAL FUNDS</u>	
SA FBG Alcohol/Drug Treatment (93.959)‡ ¹	
SA FBG SARPOS (93.959)‡	
SA FBG Jail Services (93.959)‡	
SA FBG Co-Occurring (93.959)‡	
SA FBG New Directions (93.959)‡	
SA FBG Recovery (93.959)‡	_____
Total SA FBG Alcohol/Drug Treatment Funds	
SA FBG Women (Includes LINK at 6 CSBs) (93.959)*	
SA FBG Prevention-Women (LINK) (93.959)*	_____
Total SA FBG Women Funds	
SA FBG Prevention (93.959) ²	
SA FBG Prevention-Family Wellness (93.959) ‡	_____
Total SA FBG Prevention Funds	
SA Federal CABHI (93.243)*	
SA Federal Strategic Prevention (93.243)*	
SA Other Federal - DBHDS*	
SA Other Federal - CSB*	_____
TOTAL SA FEDERAL FUNDS	
<u>STATE FUNDS</u>	
Regional Funds	
SA Facility Reinvestment (Fiscal Agent)*	
SA Facility Reinvestment Transfer In/(Out)	_____
SA Net Facility Reinvestment Funds	
Other State Funds	
SA Women (Includes LINK - 4 CSBs) ^{3*} (Restricted)	
SA Recovery Employment*	
SA Peer Support Recovery*	_____
Total SA Restricted Other State Funds	

¹ Includes former SA FBG Crisis Intervention. While SA FBG Alcohol/Drug Treatment funds are restricted, all of the following funds are also SA FBG Alcohol/Drug Treatment funds but are only earmarked; and the total amount of SA FBG Alcohol/Drug Treatment expenditures shall be tracked and reported.

² While SA FBG Prevention funds are restricted, these funds are also SA FBG Prevention funds but are only earmarked; and the total amount of SA FBG Prevention expenditures shall be tracked and reported.

³ Includes former SA Postpartum Women funds.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT
FY 2017 Exhibit A: Resources and Services for Substance Abuse (SA) Services

CSB: _____

Funding Sources	Funds
Unrestricted Other State Funds	
SA State Funds ^{4‡}	
SA Region V Residential‡	
SA Jail Services/Juvenile Detention‡	
SA MAT - Medically Assisted Treatment‡	
SA SARPOS‡	
SA Recovery‡	
SA HIV/AIDS‡	
Total SA Unrestricted Other State Funds	_____
Total SA Other State Funds	_____
TOTAL SA STATE FUNDS	
<u>OTHER FUNDS</u>	
SA Other Funds*	
SA Federal Retained Earnings*	
SA State Retained Earnings*	
SA State Retained Earnings - Regional Programs*	
SA Other Retained Earnings*	
TOTAL SA OTHER FUNDS	_____
<u>LOCAL MATCHING FUNDS</u>	
SA Local Government Appropriations‡	
SA Philanthropic Cash Contributions‡	
SA In-Kind Contributions‡	
SA Local Interest Revenue‡	
TOTAL SA LOCAL MATCHING FUNDS	_____
TOTAL SA FUNDS	_____
<u>ONE-TIME FUNDS</u>	
SA FBG Alcohol/Drug Treatment (93.959) *	
SA FBG Women (includes LINK - 6 CSs) (93.959) *	
SA FBG Prevention (93.959) *	
SA State Funds	
TOTAL SA ONE-TIME FUNDS	_____
TOTAL SA ALL FUNDS	_____

⁴ Includes former SA Facility Diversion funds.

* These funds are restricted, and expenditures of them are tracked and reported separately.

‡ These funds are earmarked but not restricted; expenditures are reported for the total of these funds.

FY 2017 AND FY 2018 COMMUNITY SERVICES PERFORMANCE CONTRACT

FY 2017 Exhibit A: Resources and Services

Supplemental Information

Reconciliation of Projected Resources and Core Services Costs by Program Area

CSB: _____

	MH Services	DV Services	SA Services	Emergency Services	Ancillary Services	Total
Total All Funds (Page AF-1)						
Cost for MH, DV, SA, Emergency, and Ancillary Services (Page AF-1)						
Difference						

Difference results from

Other: _____

Explanation of Other in Table Above

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FY 2017 Exhibit A: Resources and Services

CSB 100 Mental Health Services

CSB: _____

Form 11: Mental Health (MH) Services Program Area (100)			
Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
250 Acute Psychiatric Inpatient Services	Beds		
310 Outpatient Services	FTEs		
350 Assertive Community Treatment	FTEs		
320 Case Management Services	FTEs		
410 Day Treatment or Partial Hospitalization	Slots		
420 Ambulatory Crisis Stabilization Services	Slots		
425 Mental Health Rehabilitation	Slots		
430 Sheltered Employment	Slots		
465 Group Supported Employment	Slots		
460 Individual Supported Employment	FTEs		
501 MH Highly Intensive Residential Services	Beds		
510 Residential Crisis Stabilization Services	Beds		
521 Intensive Residential Services	Beds		
551 Supervised Residential Services	Beds		
581 Supportive Residential Services	FTEs		
610 Prevention Services	FTEs		
Totals			

Form 11 A: Pharmacy Medication Supports	Number of Consumers
803 Total Pharmacy Medication Supports Consumers	

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FY 2017 Exhibit A: Resources and Services

CSB 200 Developmental Services

CSB: _____

Form 21: Developmental (DV) Services Program Area (200)			
Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
310 Outpatient Services	FTEs		
320 Case Management Services	FTEs		
420 Ambulatory Crisis Stabilization Services	Slots		
425 Developmental Habilitation	Slots		
430 Sheltered Employment	Slots		
465 Group Supported Employment	Slots		
460 Individual Supported Employment	FTEs		
501 Highly Intensive Residential Services (Community-Based ICF/ID Services)	Beds		
510 Residential Crisis Stabilization Services	Beds		
521 Intensive Residential Services	Beds		
551 Supervised Residential Services	Beds		
581 Supportive Residential Services	FTEs		
610 Prevention Services	FTEs		
Totals			

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FY 2017 Exhibit A: Resources and Services

CSB 300 Substance Abuse Services

CSB: _____

Form 31: Substance Abuse (SA) Services Program Area (300)			
Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
250 Acute Substance Abuse Inpatient Services	Beds		
260 Community-Based Substance Abuse Medical Detoxification Inpatient Services	Beds		
310 Outpatient Services	FTEs		
313 Intensive Outpatient Services	FTEs		
335 Medication Assisted Treatment	FTEs		
320 Case Management Services	FTEs		
410 Day Treatment or Partial Hospitalization	Slots		
420 Ambulatory Crisis Stabilization Services	Slots		
425 Substance Abuse Rehabilitation	Slots		
430 Sheltered Employment	Slots		
465 Group Supported Employment	Slots		
460 Individual Supported Employment	FTEs		
501 Highly Intensive Residential Services (Medically Managed Withdrawal Services)	Beds		
510 Residential Crisis Stabilization Services	Beds		
521 Intensive Residential Services	Beds		
551 Supervised Residential Services	Beds		
581 Supportive Residential Services	FTEs		
610 Prevention Services	FTEs		
Totals			

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FY 2017 Exhibit A: Resources and Services

CSB 400 Emergency and Ancillary Services

CSB: _____

Form 01: Emergency and Ancillary Services (400)			
Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
100 Emergency Services	FTEs		
Ancillary Services			
318 Motivational Treatment Services	FTEs		
390 Consumer Monitoring Services	FTEs		
720 Assessment and Evaluation Services	FTEs		
620 Early Intervention Services	FTEs		
730 Consumer-Run Services			
Ancillary Services Totals			

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Exhibit B: Continuous Quality Improvement (CQI) Process and CSB Performance Measures

The Department shall continue to work with CSBs to achieve a welcoming, recovery-oriented, integrated services system, a transformed system for individuals receiving services and their families in which CSBs, state facilities, programs, and services staff, in collaboration with individuals and their families, are becoming more welcoming, recovery-oriented, and co-occurring disorder capable. The process for achieving this goal within limited resources is to build a system-wide CQI process in a partnership among CSBs, the Department, and other stakeholders in which there is a consistent shared vision combined with a measurable and achievable implementation process for each CSB to make progress toward this vision. Appendix E in the CSB Administrative Requirements provides further clarification for those implementation activities, so that each CSB can be successful in designing a performance improvement process at the local level.

Pursuant to Section 7: Accountability in the Community Services Performance Contract Partnership Agreement, the CSB provides the affirmations in Appendix E of the CSB Administrative Requirements of its compliance with the performance expectations and goals in that appendix. If the CSB cannot provide a particular affirmation, it shall attach an explanation to this exhibit with a plan for complying with the identified expectation or goal, including specific actions and target dates. The Department will review this plan and negotiate any changes with the CSB, whereupon, it will be part of this exhibit.

I. Exhibit B Performance Measures

The CSB agrees to monitor and collect data on the following Exhibit B performance measures and to report measures I.D and E using the Exhibit B Quarterly Performance Measures Report.

- A. The CSB agrees to monitor the percentage of individuals for whom the CSB is the identified case management CSB who keep a face-to-face (non-emergency) outpatient service visit within seven calendar days after having been discharged from a private psychiatric hospital or psychiatric unit in a public or private hospital following involvement in the civil involuntary admission process. This includes all individuals referred to the CSB upon discharge from a private psychiatric hospital or psychiatric unit in a public or private hospital who were under a temporary detention or an involuntary commitment order or who were admitted voluntarily from a commitment hearing. The Department agrees to monitor this measure for discharges from state hospitals through comparing AVATAR data on individuals discharged from state hospitals to the CSB with CCS data about their dates of mental health services after discharge from the state hospital. The Department agrees to monitor this measure for discharges from private hospitals through comparing CCS 3 data on individuals receiving inpatient services funded by the CSB and the next date on which those individuals received outpatient services after the end date for the inpatient services.
- B. The CSB agrees to monitor the percentage of adults (age 18 or older) in the Department of Justice Settlement Agreement population receiving case management services from the CSB whose case managers discussed integrated, community-based employment with them during their annual case management individual supports plan (ISP) meetings or updates. The Department agrees to monitor this measure through using CCS data and work with the CSB to increase this percentage. Refer to State Board Policy (SYS) 1044 Employment First for additional information and guidance. Integrated, community-based employment does not include sheltered employment.
- C. The CSB agrees to monitor the percentage of adults (age 18 or older) in the Department of Justice Settlement Agreement population receiving case management services from the CSB whose ISPs, developed or updated at the annual ISP meeting or update, included

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employment-related or employment-readiness goals. The Department agrees to monitor this measure through using CCS data and work with the CSB to increase this percentage. Employment-related or employment-readiness goals do not include sheltered employment or prevocational services.

- D. The CSB agrees to monitor and report in the quarterly Exhibit B Report the percentage of individuals in the Department of Justice (DOJ) Settlement Agreement population receiving case management services from the CSB whose case managers discussed community engagement opportunities with them during their most recent annual case management individual support plan (ISP) meeting or update. Community engagement is a DD Waiver service that supports and fosters the ability of an individual to acquire, retain, or improve skills necessary to build positive social behavior, interpersonal competence, greater independence, employability, and personal choice necessary to access typical activities and functions of community life such as those chosen by the general population; it does not include community opportunities with more than three individuals with disabilities.
- E. The CSB agrees to monitor and report in the quarterly Exhibit B Report the percentage of individuals in DOJ Settlement Agreement population receiving case management services from the CSB whose individual support plans (ISPs), developed or updated at the annual ISP meeting or update, contained community engagement goals.

II. Data Quality Feedback

The Department shall provide regular reports to the CSB on the completeness and validity of its CCS 3 individual and service data to improve data quality and integrity. When requested by the Department, the CSB executive director shall develop and submit a plan of correction to remedy persistent deficiencies in the CSB's CCS 3 submissions (e.g., a persistent fatal error rate of more than 10 percent of its CCS consumer records) and, upon approval of the Department, shall implement the plan of correction. Persistent deficiencies that are not resolved through this process shall be addressed with an Individual CSB Performance Measure in Exhibit D. If the CSB fails to satisfy requirements in the Exhibit D by the end of the contract term, the Department may impose a one-time, one percent reduction not to exceed \$15,000 of state funds apportioned for CSB administrative expenses on the CSB. See Exhibit I for more information.

III. CSB Performance Measures: The CSB and Department agree to use the CSB Performance Measures, developed by the Department in collaboration with the VACSB Data Management and Quality Assurance Committees and available on the Department's web site at www.dbhds.virginia.gov, to monitor outcome and performance measures for CSBs and improve the CSB's performance on measures where the CSB falls below the benchmark. These performance measures include:

- o intensity of engagement of individuals receiving mental health case management services,
- o intensity of engagement of individuals receiving substance abuse outpatient services,
- o intensity of engagement of children receiving mental health case management services,
- o retention of individuals in community substance abuse services,
- o percent of individuals receiving face-to-face developmental case management services in the DOJ Settlement Agreement population for enhanced case management services,
- o percent of individuals receiving in-home developmental case management services in the DOJ Settlement Agreement population for enhanced case management services,
- o adult civil temporary detention order (TDO) admissions to state hospitals per 100,000,

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- adult forensic TDO admissions to state hospitals per 100,000,
- adult civil TDO state hospital bed day utilization per 100,000,
- adult forensic TDO state hospital bed day utilization per 100,000,
- adult civil state hospital bed day utilization per 100,000,
- adult forensic state hospital bed day utilization per 100,000,
- health well being goal measure (DOJ measure),
- community inclusion goal measure (DOJ measure),
- choice and self-determination goal measure (DOJ measure),
- living arrangement measure (DOJ measure), and
- day activity measure (DOJ measure).

IV. Access to Substance Abuse Services for Pregnant Women

Source of Requirement	SABG Block Grant
Type of Measure	Aggregate
Data Needed For Measure	Number of Pregnant Women Requesting Service
	Number of Pregnant Women Receiving Services Within 48 Hours
Reporting Frequency	Annually
Reporting Mechanism	Performance Contract Reports (CARS)

Signature: In witness thereof, the CSB provides the affirmations in Appendix E of the CSB Administrative Requirements and agrees to monitor and collect data and report on the measures in sections I, III, IV, and V, comply with requirements in section II, and use data from the Department or other sources to monitor accomplishment of performance measures in this Exhibit and the expectations, goals, and affirmations in Appendix E, as denoted by the signatures of the CSB's Chairperson and Executive Director.

CSB

By: _____

By: _____

Name: _____

Name: _____

Title: CSB Chairperson

Title: CSB Executive Director

Date: _____

Date: _____

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FY 2017 Exhibit B Quarterly Performance Measures Report			
Date of Report:	Quarter: <input type="checkbox"/> First <input type="checkbox"/> Second <input type="checkbox"/> Third <input type="checkbox"/> Fourth Quarter		
CSB Name:	Contact Name:		
Contact Telephone Number:	E-Mail Address:		
Exh. B	Measure	Data	Data Reported
I.D	Percentage of individuals in the DOJ Settlement Agreement population receiving case management services from the CSB whose case managers discussed community engagement opportunities with them during their most recent annual case management individual support plan (ISP) meeting or update.		Number of individuals whose case managers discussed community engagement opportunities with them during their annual ISP reviews in this quarter. Number of individuals whose case managers conducted annual ISP reviews in this quarter. % Enter 1 st number ÷ by 2 nd number x 100.
I.E	Percentage of individuals in the DOJ Settlement Agreement population receiving case management services from the CSB whose individual support plans (ISPs), developed or updated at the annual ISP meeting or update, contained community engagement goals.		Number of individuals with ISPs reviewed in this quarter whose ISPs contained community engagement goals. Number of individuals whose case managers conducted annual ISP reviews in this quarter. % Enter 1 st number ÷ by 2 nd number x 100.

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Exhibit C: Discharge Assistance Program (DAP) Requirements

The Department and the CSB agree to implement the following requirements for management and utilization of all current regional state DAP funds to enhance monitoring of and financial accountability for DAP funding, decrease the number of individuals on state hospital extraordinary barriers to discharge lists (EBLs), and return the greatest number of individuals with long lengths of state hospital stays to their communities. These Exhibit C requirements do not apply to new state 2014 DAP funds, which the Department allocates for individualized discharge assistance program plans (IDAPPs) that it approves.

1. The Department shall work with the VACSB, representative CSBs, and regional managers to develop clear and consistent criteria for identification of individuals who would be eligible for IDAPPs and acceptable uses of regional state DAP funds and standard terminology that all CSBs and regions shall use for collecting and reporting data about individuals, services, funds, expenditures, and costs.
2. The CSB shall comply with the current Discharge Assistance Program Manual issued by the Department, which is incorporated into and made a part of this contract by reference. If there are conflicts or inconsistencies between the Manual and this contract, applicable provisions of the contract shall control.
3. All regional state DAP funds allocated within the region shall be managed by the regional management group (RMG) and the regional utilization management and consultation team (RUMCT) on which the CSB participates in accordance with Appendices E and F of Core Services Taxonomy 7.2.
4. The CSB, through the RMG and RUMCT on which it participates, shall ensure that other funds such as Medicaid payments are used to offset the costs of approved IDAPPs to the greatest extent possible so that regional state DAP funds can be used to implement additional IDAPPs to reduce EBLs.
5. On behalf of the CSBs in the region, the regional manager funded by the Department and employed by a participating CSB shall submit mid-year and end of the fiscal year reports to the Department in a format developed by the Department in consultation with regional managers that separately displays the total actual year-to-date expenditures of regional state DAP funds for ongoing IDAPPs and for one-time IDAPPs and the amounts of obligated but unspent regional state DAP funds.
6. The CSB and state hospital representatives on the RMG on which the CSB participates shall have authority to reallocate regional state DAP funds among CSBs from CSBs that cannot use them in a reasonable time to CSBs that need additional regional state DAP funds to implement more IDAPPs to reduce EBLs.
7. If CSBs in the region cannot expend at least 90 percent and obligate at least 95 percent of the total annual regional state DAP fund allocations on a regional basis by the end of the fiscal year, the Department may work with the RMG and participating CSBs to transfer regional state DAP funds to other regions to reduce EBLs to the greatest extent possible, unless the CSBs through the regional manager provide acceptable explanations for greater amounts of unexpended or unobligated regional state DAP funds.
8. On behalf of the CSBs in a region, the regional manager shall continue submitting the quarterly summary of IDAPPs to the Department in a format developed by the Department in consultation with regional managers that displays year-to-date information about ongoing and one-time IDAPPs, including data about each individual receiving DAP services, the amounts of regional state DAP funds approved for each IDAPP, the total number of IDAPPs that have been implemented, and the projected total net regional state DAP funds obligated for these IDAPPs.
9. The Department, pursuant to sections 6.f and 7.g of this contract, may conduct utilization reviews of the CSB or region at any time to confirm the effective utilization of regional state DAP funds and the implementation of all approved ongoing and one-time IDAPPs.

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Exhibit D: Individual CSB Performance Measures

Signatures: In witness thereof, the Department and the CSB have caused this performance contract amendment to be executed by the following duly authorized officials.

**Virginia Department of Behavioral Health
and Developmental Services**

CSB

By: _____

By: _____

Name: Jack W. Barber, M.D.
Title: Interim Commissioner

Name: _____
Title: CSB Chairperson

Date: _____

Date: _____

By: _____

Name: _____
Title: CSB Executive Director

Date: _____

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Exhibit E: Performance Contract Process

05-06-16: The Department distributes the FY 2017 and FY 2018 Performance Contract by this date electronically. An Exhibit D may list performance measures that have been negotiated with a CSB to be included in the contract. The Department's Information Services and Technology (IS&T) office distributes the FY 2017 and FY 2018 Community Services Performance Contract package software in the Community Automated Reporting System (CARS) to CSBs. The Department distributes the FY 2017 Letters of Notification to CSBs by this date electronically with enclosures that show tentative allocations of state and federal block grant funds.

06-24-16: Exhibit A and other parts of the FY 2017 and FY 2018 Community Services Performance Contract, submitted electronically in CARS, are due in the IS&T by this date. Tables 1 and 2 of the Performance Contract Supplement (also in CARS) shall be submitted with the contract. While a paper copy of the entire contract is not submitted, paper copies of the following completed pages with signatures where required are due in the Office of Support Services (OSS) by this date: signature pages of the contract body and Exhibit B, Exhibit D if applicable, Exhibit F (two pages), and Exhibit G. Contracts shall conform to Letter of Notification allocations of state and federal funds or amounts subsequently revised by or negotiated with the OSS and confirmed in writing and shall contain actual appropriated amounts of local matching funds. If the CSB cannot include the minimum 10 percent local matching funds in the contract, it shall submit a written request for a waiver of the matching funds requirement, pursuant to § 37.2-509 of the Code of Virginia and State Board Policy 4010, to the OSS with its contract. This requirement also applies to end of the fiscal year performance contract reports if the reports reflect less than the minimum 10 percent local matching funds.

During June and July, CSB Financial Analysts in the Department's Office of Fiscal and Grants Management (OFGM) prepare electronic data interchange (EDI) transfers for the first two semi-monthly payments (July) of state and federal funds for all CSBs and send the transfers to the Department of Accounts.

07-11-16: The IS&T distributes FY 2016 end of the fiscal year performance contract report software in CARS.

07-29-16: CSBs submit their Community Consumer Submission (CCS) consumer, type of care, and service extract files for June to the IS&T in time to be received by this date.

CSB Financial Analysts prepare EDI transfers for payments 3 and 4 (August) of state and federal funds and send the transfers to the Department of Accounts.

During August and September, CSB Financial Analysts prepare EDI transfers for payments 5 and 6 (September) of state and federal funds for CSBs whose contracts were received and determined to be complete by **08-12-16** and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts. Payments shall not be released without complete contracts, as defined in Exhibit E and item 1 of Exhibit I. For a CSB whose contract is received after this date, EDI transfers for these two semi-monthly payments will be processed when the contract is complete and funds will be disbursed with the next scheduled payment.

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08-19-16: Department staff complete reviews by this date of contracts received by the due date that are complete and acceptable. Contracts received after the due date shall be processed in the order in which they are received.

1. The OFGM analyzes the revenue information in the contract for conformity to Letter of Notification allocations and advises the CSB to revise and resubmit financial forms in Exhibit A of its contract if necessary.
2. The Offices of Mental Health and Substance Abuse, Child and Family, and Developmental Services review and approve new service proposals and consider program issues related to existing services based on Exhibit A.
3. The OSS assesses contract completeness, examines maintenance of local matching funds, integrates new service information, makes corrections and changes on the service forms in Exhibit A, negotiates changes in Exhibit A, and finalizes the contract for signature by the Commissioner. The OSS Community Contracting Director notifies the CSB when its contract is not complete or has not been approved and advises the CSB to revise and resubmit its contract.
4. The IS&T receives CARS and CCS submissions from CSBs, maintains the community services database, and processes signed contracts into that database as they are received from the OSS.

08-19-16: CSBs submit their complete CCS reports for total (annual) FY 2016 CCS service unit data to the IS&T in time to be received by this date. This later date for final CCS service unit data allows for the inclusion of all units of services delivered in that fiscal year that might not be in local information systems in July.

08-31-16: CSBs submit their CCS monthly consumer, type of care, and service extract files for July to the IT&S in time to be received by this date.

08-31-16: CSBs send complete FY 2016 end of the fiscal year performance contract reports electronically in CARS to the IS&T in time to be received by this date.

IS&T staff places the reports in a temporary data base for OSS and OFGM staff to access them. The OSS Community Contracting Director reviews services sections of the reports for correctness, completeness, consistency, and acceptability; resolves discrepancies with CSBs; and communicates necessary changes to CSBs. OFGM CSB Financial Analysts review financial portions of reports for arithmetic accuracy, completeness, consistency, and conformity with state funding actions; resolve discrepancies with CSBs; and communicate necessary changes to CSBs.

Once they complete their reviews of a CSB's reports, the OSS Community Contracting Director and OFGM CSB Financial Analysts notify the CSB to submit new reports reflecting only those approved changes to IS&T. CSBs submit new reports to correct errors or inaccuracies no later than **09-16-2016**. The Department will not accept CARS report corrections after this date. Upon receipt, the process described above is repeated to ensure the new reports contain only those changes identified by OFGM and OSS staff. If the reviews document this, OSS and OFGM staff approves the reports, and IS&T staff processes final report data into the Department's community services database.

Late report submission or submitting a report without correcting errors identified by the CARS error checking program may result in the imposition by the Department of a one-time, one percent reduction not to exceed \$15,000 of state funds apportioned for CSB administrative expenses. See Exhibit I for additional information.

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08-31-16: CSBs submit their 4th quarter FY 2016 Exhibit B Quarterly Performance Measures Reports to the OCC in time to be received by this date.

08-31-16: After the Commissioner signs it, the OSS sends a copy of the approved contract Exhibit A to the CSB with the signature page containing the Commissioner's signature. The CSB shall review this Exhibit A, which reflects all changes negotiated by Department staff; complete the signature page, which documents its acceptance of these changes; and return the completed signature page to the OSS Community Contracting Director.

During September and October, CSB Financial Analysts prepare EDI transfers for payments 7 and 8 (October) and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for payment 7 for CSBs with signed contracts that submitted their final FY 2016 CCS consumer, type of care, and service extract files by the due date and whose FY 2016 end of the fiscal year CARS reports and 4th quarter FY 2016 Exhibit B Quarterly Performance Measures Reports were received in the Department by the due date. Payments 7 and 8 shall not be released without a contract signed by the Commissioner and receipt of those CCS extract files, complete CARS reports as defined in item 2.a. of Exhibit I, and Exhibit B Reports.

09-30-16: CSBs submit their CCS monthly consumer, type of care, and service extract files for August to the IT&S in time to be received by this date.

During October and November, CSB Financial Analysts prepare EDI transfers for payments 9 and 10 (November), and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose complete CCS submissions for the first two months of FY 2016 and the completed contract signature page were received from the CSB.

10-17-16: CSBs submit Federal Balance Reports to the OFGM in time to be received by this date.

10-31-16: CSBs submit CCS monthly consumer, type of care, and service extract files for September to the IT&S and their 1st quarter Exhibit B Quarterly Performance Measures Reports to the OSS in time to be received by this date.

During November and December, CSB Financial Analysts prepare EDI transfers for payments 11 and 12 (December), and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts. Payments shall not be released without receipt of September CCS submissions and 1st quarter Exhibit B Quarterly Performance Measures Reports.

11-30-16: CSBs submit their CCS monthly consumer, type of care, and service extract files for October to the IT&S in time to be received by this date.

12-01-16: A. CSBs that are not local government departments or included in local government audits send one copy of the audit report for the preceding fiscal year on all CSB operated programs to the Department's Office of Budget and Financial Reporting (OBFR) by this date. A management letter and plan of correction for deficiencies must be sent with this report. CSBs submit a copy of C.P.A. audit reports for all contract programs for their last full fiscal year, ending on June 30, to the OBFR by this date. For programs with different fiscal years, reports are due three months after the

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end of the year. Management letters and plans of correction for deficiencies must be included with these reports.

- B.** Audit reports for CSBs that are local government departments or are included in local government audits are submitted to the Auditor of Public Accounts by the local government. Under a separate cover, the CSB must forward a plan of correction for any audit deficiencies that are related to or affect the CSB to the OBFR by this date. Also, to satisfy federal block grant sub-recipient monitoring requirements imposed on the Department under the Single Audit Act, a CSB that is a local government department or is included in its local government audit shall contract with the same CPA audit firm that audits its locality to perform testing related to the federal Mental Health Services and Substance Abuse Prevention and Treatment Block Grants. Alternately, the local government's internal audit department can work with the CSB and the Department to provide the necessary sub-recipient monitoring information.

If the CSB receives an audit identifying material deficiencies or containing a disclaimer or prepares the plan of correction referenced in the preceding paragraph, the CSB and the Department shall negotiate an Exhibit D that addresses the deficiencies or disclaimer and includes a proposed plan with specific timeframes to address them, and this Exhibit D and the proposed plan shall become part of this contract.

During December CSB Financial Analysts prepare EDI transfers for payment 13 (1st January), and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose FY 2016 end of the fiscal year performance contract reports have been verified as accurate and internally consistent, per items 2.b. through d. of Exhibit I, and whose CCS monthly extracts for October have been received. Payments shall not be released without verified reports and CCS submissions for October.

12-30-16: CSBs submit their CCS monthly consumer, type of care, and service extract files for November to the IT&S in time to be received by this date.

During January and early February, CSB Financial Analysts prepare EDI transfers for payments 14 through 16 (2nd January, February), and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose monthly CCS consumer, type of care, and service extract files for November were received by the end of December. Payments shall not be released without receipt of these monthly CCS submissions and receipt of audit reports with related management letters and plans of corrections (A at 12-01-16) or sub-recipient monitoring information and plans of corrections (B at 12-01-16).

01-13-17: IS&T distributes FY 2017 mid-year performance contract report software in CARS.

01-31-17: CSBs submit their CCS monthly consumer, type of care, and service extract files for December to the OIST and their 2nd quarter Exhibit B Quarterly Performance Measures Reports to the OSS in time to be received by this date.

02-16-17: CSBs send complete mid-year performance contract reports and a revised Table 1 in Exhibit H to the IS&T electronically in CARS within 45 calendar days after the end of the second quarter in time to be received by this date. IT&S staff places the reports on a shared drive for OSS and OFGM staff to access them. The offices review and act on the reports using the process described for the end of the fiscal year reports. When reports are acceptable, IS&T staff processes the data into the community services data base.

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During late February, CSB Financial Analysts prepare EDI transfers for payment 17 (1st March), and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose monthly CCS consumer, type of care, and service extract files for December and 2nd quarter Exhibit B Quarterly Performance Measures Reports were received by the end of January; payments shall not be released without these monthly CCS submissions and Exhibit B Reports.

During March, CSB Financial Analysts prepare EDI transfers for payments 18 and 19 (2nd March, 1st April) and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose complete FY 2017 mid-year performance contract reports were received by the due date. Payments shall not be released without complete reports, as defined in item 2.a. of Exhibit I.

02-28-17: CSBs submit their CCS monthly consumer, type of care, and service extract files for January to the IS&T in time to be received by this date.

03-31-17: CSBs submit their CCS monthly consumer, type of care, and service extract files for February to the IS&T in time to be received by this date.

During April and early May, CSB Financial Analysts prepare EDI transfers for payments 20 through 22 (2nd April, May) and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts for CSBs whose mid-year performance contract reports have been verified as accurate and internally consistent, per items 2.b. through d. of Exhibit I, and whose monthly CCS consumer, type of care, and service extract files for January and February were received by the end of the month following the month of the extract. Payments shall not be released without verified reports and these monthly CCS submissions.

04-28-17: CSBs submit their CCS monthly consumer, type of care, and service extract files for March to the IS&T and their 3rd quarter Exhibit B Quarterly Performance Measures Reports to the OSS in time to be received by this date.

During late May, CSB Financial Analysts prepare EDI transfers for payment 23 (1st June), and, after the OSS Community Contracting Director authorizes their release, send transfers to the Department of Accounts for CSBs whose monthly CCS consumer, type of care, and service extract files for March and 3rd quarter Exhibit B Quarterly Performance Measures Reports were received by the end of April. Payments shall not be released without these monthly CCS submissions and Exhibit B Reports.

05-31-17: CSBs submit their CCS monthly consumer, type of care, and service extract files for April to the IS&T in time to be received by this date.

During early June, CSB Financial Analysts prepare EDI transfers for payment 24 (2nd June) and, after the OSS Community Contracting Director authorizes their release, send the transfers to the Department of Accounts, after the Department has made any final adjustments in the CSB's state and federal funds allocations, for CSBs whose monthly CCS consumer, type of care, and service extract files for April were received by the end of May. Payments shall not be released without these monthly CCS submissions.

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- 06-30-17:** CSBs submit their CCS monthly consumer, type of care, and service extract files for May to the IS&T by this date.
- 07-13-17:** The IS&T distributes FY 2017 end of the fiscal year performance contract report software in CARS to CSBs.
- 07-31-17:** CSBs submit their CCS consumer, type of care, and service extract files for June to the OIST in time to be received by this date.
- 08-11-17:** CSBs submit their complete Community Consumer Submission (CCS) reports for total (annual) FY 2017 service units to the IS&T in time to be received by this date. This later date for final CCS service unit data, allows for the inclusion of all units of services delivered in the fiscal year that might not be in local information systems in July.
- 08-31-17:** CSBs send complete FY 2017 end of the fiscal year performance contract reports electronically in CARS to the IS&T in time to be received by this date. If the CSB cannot include the minimum 10 percent local matching funds in its reports and a waiver has not been granted previously in the fiscal year by the Department, it shall submit a written request for a waiver of the matching funds requirement, pursuant to § 37.2-509 of the Code of Virginia and State Board Policy 4010, to the OSS with its report.
- 09-01-17:** CSBs submit their 4th quarter Exhibit B Quarterly Performance Measures Reports in time to the OCC be received by this date.

Performance Contract Revision Instructions

The CSB may revise Exhibit A of its signed contract only in the following circumstances:

1. a new, previously unavailable category or subcategory of core services is implemented;
2. an existing category or subcategory of core services is totally eliminated;
3. a new program offering an existing category or subcategory of core services is implemented;
4. a program offering an existing category or subcategory of core services is eliminated;
5. new restricted or earmarked state or federal funds are received to expand an existing service or establish a new one;
6. state or federal block grant funds are moved among program (mental health, developmental, or substance abuse) areas or emergency or ancillary services (an exceptional situation);
7. allocations of state, federal, or local funds change; or
8. a major error is discovered in the original contract.

Revisions of Exhibit A shall be submitted using the CARS software and the same procedures used for the original performance contract.

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Exhibit F: Federal Compliances

Certification Regarding Salary: Federal Mental Health and Substance Abuse Prevention and Treatment Block Grants

Check One

- _____ 1. The CSB has no employees being paid totally with Federal Mental Health Block Grant funds or Federal Substance Abuse Block Grant (SABG) funds at a direct annual salary (not including fringe benefits and operating costs) in excess of Level II of the federal Executive Schedule.

- _____ 2. The following employees are being paid totally with Federal Mental Health or SABG funds at a direct annual salary (not including fringe benefits and operating costs) in excess of Level II of the federal Executive Schedule.

	<i>Name</i>	<i>Title</i>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____
6.	_____	_____

Assurances Regarding Equal Treatment for Faith-Based Organizations

The CSB assures that it is and will continue to be in full compliance with the applicable provisions of 45 CFR Part 54, Charitable Choice Regulations, and 45 CFR Part 87, Equal Treatment for Faith-Based Organizations Regulations, in its receipt and use of federal Mental Health Services and SABG funds and federal funds for Projects for Assistance in Transitions from Homelessness programs. Both sets of regulations prohibit discrimination against religious organizations, provide for the ability of religious organizations to maintain their religious character, and prohibit religious organizations from using federal funds to finance inherently religious activities.

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**Exhibit F: Federal Compliances
Assurances Regarding Restrictions on the Use of Federal Block Grant Funds**

The CSB assures that it is and will continue to be in full compliance with the applicable provisions of the federal Mental Health Services Block Grant (CFDA 93.958) and the federal Substance Abuse Block Grant (CFDA 93.959), including those contained in Appendix B of the CSB Administrative Requirements and the following requirements. Under no circumstances shall Federal Mental Health Services and Substance Abuse Block Grant (SABG) funds be used to:

1. provide mental health or substance abuse inpatient services¹;
2. make cash payments to intended or actual recipients of services;
3. purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment;
4. satisfy any requirement for the expenditure of non-federal funds as a condition for the receipt of federal funds;
5. provide individuals with hypodermic needles or syringes so that such individuals may use illegal drugs;
6. provide financial assistance to any entity other than a public or nonprofit private entity; or
7. provide treatment services in penal or correctional institutions of the state.

Also, no SABG prevention set-aside funds shall be used to prevent continued substance use by anyone diagnosed with a substance use disorder.

[Source: 45 CFR § 96.135]

Signature of CSB Executive Director

Date

- ¹ However, the CSB may expend SABG funds for inpatient hospital substance abuse services only when all of the following conditions are met:
- a. the individual cannot be effectively treated in a community-based, non-hospital residential program;
 - b. the daily rate of payment provided to the hospital for providing services does not exceed the comparable daily rate provided by a community-based, non-hospital residential program;
 - c. a physician determines that the following conditions have been met: (1) the physician certifies that the person's primary diagnosis is substance abuse, (2) the person cannot be treated safely in a community-based, non-hospital residential program, (3) the service can reasonably be expected to improve the person's condition or level of functioning, and (4) the hospital-based substance abuse program follows national standards of substance abuse professional practice; and
 - d. the service is provided only to the extent that it is medically necessary (e.g., only for those days that the person cannot be safely treated in a community-based residential program).

[Source: 45 CFR § 96.135]

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Exhibit G: Local Contact for Disbursement of Funds

1. Name of the CSB: _____

2. City or County designated
as the CSB's Fiscal Agent: _____

If the CSB is an operating CSB and has been authorized by the governing body of each city or county that established it to receive state and federal funds directly from the Department and act as its own fiscal agent pursuant to Subsection A.18 of § 37.2-504 of the Code of Virginia, do not complete items 3 and 4 below.

3. Name of the Fiscal Agent's City Manager or County Administrator or Executive:

Name: _____ Title: _____

4. Name of the Fiscal Agent's County or City Treasurer or Director of Finance:

Name: _____ Title: _____

5. Name, title, and address of the Fiscal Agent official or the name and address of the CSB if it acts as its own fiscal agent to whom checks should be electronically transmitted:

Name: _____ Title: _____

Address: _____

This information should agree with information at the top of the payment document e-mailed to the CSB, for example: Mr. Joe Doe, Treasurer, P.O. Box 200, Winchester, VA 22501.

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Exhibit I: Administrative Performance Standards

The CSB shall meet these administrative performance standards in submitting its performance contract, contract revisions, semi-annual performance contract reports in the Community Automated Reporting System (CARS), and monthly Community Consumer Submission (CCS) extracts to the Department.

1. The performance contract and any revisions submitted by the CSB shall be:
 - a. complete, that is all required information is displayed in the correct places and all required Exhibits, including applicable signature pages, are included;
 - b. consistent with Letter of Notification allocations or figures subsequently revised by or negotiated with the Department;
 - c. prepared in accordance with instructions in the Department-provided CARS software and any subsequent instructional memoranda; and
 - d. received by the due dates listed in Exhibit E of this contract.

If these performance contract standards are not met, the Department may delay future semi-monthly payments until satisfactory performance is achieved.

2. Semi-annual performance contract reports submitted by the CSB shall be:
 - a. complete, that is all required information is displayed in the correct places, all required data are included in the electronic CARS application reports, and any required paper forms that gather information not included in CARS are submitted;
 - b. consistent with the state and federal block grant funds allocations in the Letter of Notification or figures subsequently revised by or negotiated with the Department;
 - c. prepared in accordance with instructions;
 - d. (i) internally consistent and arithmetically accurate: all related expense, resource, and cost data are consistent, congruent, and correct within a report, and (ii) submitted only after errors identified by the CARS error checking programs are corrected; and
 - e. received by the due dates listed in Exhibit E of this contract.

If the CSB does not meet these standards for its semi-annual CARS reports, the Department may delay future semi-monthly payments until satisfactory performance is achieved. The Department may impose one-time reductions of state funds apportioned for CSB administrative expenses¹ on a CSB for its failure to meet the following standards in its end of the fiscal year CARS report:

- a one percent reduction not to exceed \$15,000 for failure to comply with standard 2.d; and
- a one percent reduction not to exceed \$15,000 for failure to comply with standard 2.e, unless an extension has been obtained from the Department through the process on the next page.

3. Monthly consumer, type of care, and service extract files shall be submitted by the end of the month following the month of the extract in accordance with the CCS Extract and Design Specifications, including the current Business Rules. The submissions shall satisfy the requirements in section II Data Quality Feedback of Exhibit B and the Data Quality Performance Expectation Affirmations in Appendix E of the CSB Administrative Requirements. If the CSB fails to meet the extract submission requirements in Exhibit E of this

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contract, the Department may delay semi-monthly payments until satisfactory performance is achieved.

4. If the Department negotiates an Exhibit D with a CSB because of unacceptable data quality, and the CSB fails to satisfy the requirements in Exhibit D by the end of the contract term, the Department may impose a one-time one percent reduction not to exceed a total of \$15,000 of state funds apportioned for CSB administrative expenses¹ on the CSB.
5. Substance abuse prevention units of service data and quarterly reports shall be submitted to the Department through the Social Solutions Efforts to Outcome (ETO) Prevention Data System.

¹ The Department will calculate state funds apportioned for CSB administrative expenses by multiplying the total state funds allocated to the CSB by the CSB's administrative percentage displayed on page AF-1 of the contract.

The CSB shall not allocate or transfer a one-time reduction of state funds apportioned for administrative expenses to direct service or program costs.

Process for Obtaining an Extension of the End of the Fiscal Year CARS Report Due Date

The Department will grant an extension only in very exceptional situations such as a catastrophic information system failure, a key staff person's unanticipated illness or accident, or a local emergency or disaster situation that makes it impossible to meet the due date.

1. It is the responsibility of the CSB to obtain and confirm the Department's approval of an extension of the due date within the time frames specified below. Failure of the CSB to fulfill this responsibility constitutes prima facie acceptance by the CSB of any resulting one-time reduction in state funds apportioned for administrative expenses.
2. As soon as CSB staff becomes aware that it cannot submit the end of the year CARS report in time to be received in the Department by 5:00 p.m. on the due date, the executive director must inform the Office of Support Services (OSS) Director or Community Contracting Director that it is requesting an extension of this due date. This request should be submitted as soon as possible and it shall be in writing, describe completely the reason(s) and need for the extension, and state the date on which the report will be received by the Department.
3. The written request for an extension must be received in the OSS no later than 5:00 p.m. on the fourth business day before the due date. A facsimile transmission of the request to the OSS fax number (804-371-0092), received by that time and date, is acceptable if receipt of the transmission is confirmed with a return facsimile memo from the OSS no later than 5:00 p.m. on the third business day before the due date. Telephone extension requests are not acceptable and will not be processed.
4. The OSS will act on all requests for due date extensions that are received in accordance with this process and will notify the requesting CSBs by facsimile transmission of the status of their requests by 5:00 p.m. on the second business day before the due date.

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Exhibit J: Other CSB Accountability Requirements

These requirements apply to the CSB board of directors or staff and the services included in this contract. Additional requirements are contained in the CSB Administrative Requirements.

I. Compliance with State Requirements

A. General State Requirements: The CSB shall comply with applicable state statutes and regulations, State Board regulations and policies, and Department procedures, including the following requirements.

1. Pursuant to § 2.2-3100.1 of the Code of Virginia, the CSB shall ensure that new board members are furnished with a copy of the State and Local Government Conflict of Interests Act by the executive director or his or her designee within two weeks following a member's appointment, and new members shall read and become familiar with provisions of the act. The CSB shall ensure board members and applicable CSB staff receive training on the act. If required by § 2.2-3115 of the Code, CSB board members and staff shall file annual disclosure forms of their personal interests and such other information as is specified on the form set forth in § 2.2-3118 of the Code. Board members and staff shall comply with the Conflict of Interests Act and policies adopted by the CSB.
2. Pursuant to § 2.2-3702 of the Code, the CSB shall ensure that new board members are furnished with a copy of the Virginia Freedom of Information Act by the executive director or his or her designee within two weeks following a member's appointment, and new members shall read and become familiar with provisions of the act. The CSB shall ensure board members and applicable staff receive training on the act. Board members and staff shall comply with the Freedom of Information Act and policies adopted by the CSB.

B. Protection of Individuals Receiving Services

1. **Human Rights:** The CSB shall comply with the current *Rules and Regulations to Assure the Rights of Individuals Receiving Services from Providers Licensed, Funded, or Operated by the Department of Behavioral Health and Developmental Services*. In the event of a conflict between any of the provisions in this contract and provisions in these regulations, the applicable provisions in the regulations shall apply. The CSB shall cooperate with any Department investigation of allegations or complaints of human rights violations, including providing any information needed for the investigation as required under state law and as permitted under 45 CFR § 164.512 (d) in as expeditious a manner as possible.
2. **Disputes:** The filing of a complaint or the use of the informal dispute resolution mechanism in the Human Rights Regulations by an individual or his or her family member or authorized representative shall not adversely affect the quantity, quality, or timeliness of services provided to that individual unless an action that produces such an effect is based on clinical or safety considerations and is documented in the individual's individualized services plan.
3. **Licensing:** The CSB shall comply with the *Rules and Regulations for Licensing Providers by the Department of Behavioral Health and Developmental Services*. The CSB shall establish a system to ensure ongoing compliance with applicable licensing regulations. CSB staff shall provide copies of the results of licensing reviews, including

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scheduled reviews, unannounced visits, and complaint investigations, to all members of the CSB board of directors in a timely manner and shall discuss the results at a regularly scheduled board meeting. The CSB shall adhere to any licensing guidance documents published by the Department.

C. CSB and Board of Directors Organization and Operations

1. The CSB's organization chart shall be consistent with the current board of directors and staff organization. The organization chart shall include the local governing body or bodies that established the CSB and the board's committee structure.
2. CSB bylaws shall be consistent with local government resolutions or ordinances establishing the CSB, board policies, and the CSB's organization chart and shall have been reviewed and revised within the last two years.
3. The board of directors and executive director shall develop a board member position description, including qualifications, duties and responsibilities, and time requirements that the CSB shall provide to local governments to assist them in board appointments.
4. The executive director shall provide new board members with training on their legal, fiduciary, regulatory, policy, and programmatic powers and responsibilities and an overview of the performance contract within one month of their appointment. New board members shall receive a board manual before their first board meeting with the information needed to be an effective board member.
5. The board of directors shall adopt policies governing its operations, including board-staff relationships and communications, local and state government relationships and communications, committee operations, attendance at board meetings, oversight and monitoring of CSB operations, quality improvement, conflict of interests, freedom of information, board member training, privacy, security, and employment and evaluation of and relationship with the executive director.
6. The board shall adopt an annual meeting schedule to assist board member attendance.
7. The board of directors shall comply with the Virginia Freedom of Information act in the conduct of its meetings, including provisions governing executive sessions or closed meetings, electronic communications, and notice of meetings.
8. The board of directors shall meet frequently enough (at least six times per year) and receive sufficient information from the staff to discharge its duties and fulfill its responsibilities. This information shall include quarterly reports on service provision, funds and expenditures, and staffing in sufficient detail and performance on the behavioral health and developmental performance measures and other performance measures in Exhibit B. Board members shall receive this information at least one week before a scheduled board meeting.

D. Reporting Fraud: Fraud is an intentional wrongful act committed with the purpose of deceiving or causing harm to another party. Upon discovery of circumstances suggesting a reasonable possibility that a fraudulent transaction has occurred, the CSB's Executive Director shall report this information immediately to any applicable local law enforcement authorities and the Department's Internal Audit Director. All CSB financial transactions that are the result of fraud or mismanagement shall become the sole liability of the CSB, and the CSB shall refund any state or federal funds disbursed by the Department to it that were involved in those financial transactions. The CSB shall ensure that new CSB board members receive training on their fiduciary responsibilities under applicable provisions of

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the Code of Virginia and this contract and that all board members receive annual refresher training on their fiduciary responsibilities.

E. Financial Management: The CSB shall comply with following requirements, as applicable.

1. To avoid any appearance of conflict or impropriety, the CSB shall provide complete annual financial statements to its Certified Public Accountant for audit.
2. Operating CSBs and the BHA shall rebid their CPA audit contracts at least every three years once the current CPA contracts expire.
3. All financial reports prepared by the CSB for the reliance of third parties shall be reviewed by a designated staff person before the reports are presented or submitted and the reviews shall be documented.
4. All checks issued by the CSB that remain outstanding after one year shall be voided.
5. All CSB bank accounts shall be reconciled regularly, and the reconciliations shall be approved by a designated staff person not involved in preparing the reconciliation.
6. A contract administrator shall be identified for each contract for the purchase of services entered into by the CSB, and every contract shall be signed by a designated staff person and each other party to the contract, where applicable.
7. Each write-off of account receivables for services to individuals shall be approved and documented by a designated staff person. The CSB shall maintain an accounts receivable aging schedule, and debt that is deemed to be uncollectable shall be written off periodically. The CSB shall maintain a system of internal controls including separation of duties to safeguard accounts receivable assets.
8. Each payroll shall be certified by a designated staff person who does not enter or process the CSB's payroll.
9. The CSB shall maintain documentation and reports for all expenditures related to the federal Mental Health Block Grant and federal Substance Abuse Prevention and Treatment Block Grant funds contained in Exhibit A sufficient to substantiate compliance with the restrictions, conditions, and prohibitions related to those funds.
10. The CSB shall maintain an accurate list of fixed assets as defined by the CSB. Assets that are no longer working or repairable or are not retained shall be excluded from the list of assets and written off against accumulated depreciation, and their disposition shall be documented by a designated staff person who does not have physical control over the assets. The current location of or responsibility for each asset shall be indicated on the list of fixed assets.
11. Access to the CSB's information system shall be controlled and properly documented. Access shall be terminated in a timely manner when a staff member is no longer employed by the CSB to ensure security of confidential information about individuals receiving services and compliance with the Health Insurance Portability and Accountability Act of 1996 and associated federal or state regulations.

F. Employment of a CSB Executive Director or BHA Chief Executive Officer (CEO)

1. When an operating CSB executive director or behavioral health authority (BHA) chief executive officer (CEO) position becomes vacant, the CSB or BHA board of directors shall conduct a broad and thorough public recruitment process that may include internal

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candidates and acting or interim executive directors. The CSB or BHA shall involve staff in the Department's Office of Support Services (OSS) in its recruitment and selection process in order to implement applicable provisions of § 37.2-504 or § 37.2-605 of the Code of Virginia and to ensure selection of the most qualified candidate. The CSB or BHA shall provide a current position description and salary and the advertisement for the position to the OSS for review and approval prior to advertising the position. The CSB or BHA board of directors shall invite OSS staff to meet with it to review the board's responsibilities and to review and comment on the board's screening criteria for applicants and its interview and selection procedures before the process begins. The CSB or BHA board of directors shall follow the steps outlined in the current CSB Executive Director Recruitment Process Guidance issued by the Department, adapting the steps to reflect its unique operating environment and circumstances where necessary, to have a professionally and legally defensible recruitment and selection process. Department staff shall work with the board of directors search committee to help it use the Guidance document in its process. The CSB or BHA board of directors shall include an OSS staff as a voting member of its search committee to provide the Department's perspective and feedback directly to the committee.

Prior to employing a new executive director or CEO, the CSB or BHA shall provide a copy of the application and resume of the successful applicant and the proposed salary to the OSS for review and approval for adherence to minimum qualifications and the salary range established by the Department pursuant to § 37.2-504 or § 37.2-605 and contained in the current CSB Executive Director Recruitment Process Guidance. If the CSB or BHA proposes employing the executive director or CEO above the middle of the salary range, the successful applicant shall meet the preferred qualifications in addition to the minimum qualifications. This review does not include Department approval of the selection or employment of a particular candidate for the position. Section 37.2-504 or § 37.2-605 of the Code of Virginia requires the CSB or BHA to employ its executive director or CEO under an annually renewable contract that contains performance objectives and evaluation criteria. The CSB or BHA shall provide a copy of this employment contract to the OSS for review and approval prior to employment of the new executive director or CEO or before the contract is executed.

2. When an administrative policy CSB executive director position becomes vacant, the CSB may involve staff in the Department's OSS in its recruitment and selection process in order to implement applicable provisions of § 37.2-504 or § 37.2-605 of the Code of Virginia. The CSB shall provide a current position description and the advertisement for the position to the OSS for review prior to the position being advertised pursuant to § 37.2-504 of the Code of Virginia. Prior to employing the new executive director, the CSB shall provide a copy of the application and resume of the successful applicant to the OSS for review and approval for adherence to minimum qualifications established by the Department pursuant to § 37.2-504. This review does not include Department approval of the selection or employment of a particular candidate for the position. While § 37.2-504 of the Code of Virginia does not require an administrative policy CSB to employ its executive director under an annually renewable contract that contains performance objectives and evaluation criteria, the CSB should follow this accepted human resource management practice.

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II. Compliance with Federal Requirements

A. General Federal Compliance Requirements: The CSB shall comply with all applicable federal statutes, regulations, policies, and other requirements, including applicable provisions of the federal Project for Assistance in Transition from Homelessness (CFDA 93.150), Mental Health Services Block Grant (CFDA 93.958), Substance Abuse Block Grant (CFDA 93.959), Virginia Road2Home Project (CFDA 93.243), and VA Strategic Prevention Framework Prescription Drug Abuse & Heroin Overdose Prevention (CFDA 93.243) and requirements contained in Appendix C of the CSB Administrative Requirements and the:

1. Federal Immigration Reform and Control Act of 1986; and
2. Confidentiality of Alcohol and Substance Abuse Records, 42 C.F.R. Part 2.

Non-federal entities, including CSBs, expending \$750,000 or more in a year of federal awards shall have a single or program-specific audit conducted for that year in accordance with Office of Management and Budget Uniform Administrative Requirements, Cost Principles, and Audit Requirements for federal awards – 2 CFR Chapter I, Chapter II, Part 200 et seq.

CSBs shall prohibit the following acts by themselves, their employees, and agents performing services for them:

1. the unlawful or unauthorized manufacture, distribution, dispensation, possession, or use of alcohol or other drugs; and
2. any impairment or incapacitation from the use of alcohol or other drugs, except the use of drugs for legitimate medical purposes.

Identifying information for these federal grants is listed below.

CFDA 93.150

Project for Assistance in Transition from Homelessness (PATH)

Federal Award Identification Number (FAIN): SM016047-16

Federal Award Period 09/01/2016 – 08/31/2017

Federal Awarding Agency: Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

CFDA 93.958

Community Mental Health Services - Mental Health Block Grant (MHBG)

Federal Award Identification Number (FAIN): SM010053-16

Federal Award Period 10/01/2015 - 09/30/2017

Federal Awarding Agency: Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

CFDA 93.959

Prevention and Treatment of Substance Abuse - Substance Abuse Block Grant (SABG)

Federal Award Identification Number (FAIN): TI010053-16

Federal Award Period 10/01/2015 - 09/30/2017

Federal Awarding Agency: Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Treatment

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CFDA 93.243

Virginia Road2Home Project (CABHI – Cooperative Agreement to Benefit Homeless Individuals)

Federal Award Identification Number (FAIN): TI026051

Federal Award Period 09/30/2016 – 09/29/2017

Federal Awarding Agency: Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Treatment

VA SPF PFS Prescription Drug Abuse & Heroin Overdose Prevention

Federal Award Identification Number (FAIN): SP020791

Federal Award Period 09/30/2016 – 09/29/2017

Federal Awarding Agency: Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Substance Abuse Prevention

B. Disaster Response and Emergency Service Preparedness Requirements: The CSB agrees to comply with section 416 of Public Law 93-288 (the Stafford Act) and § 44-146.13 through § 44-146.28 of the Code of Virginia regarding disaster response and emergency service preparedness. These Code sections authorize the Virginia Department of Emergency Management, with assistance from the Department, to execute the *Commonwealth of Virginia Emergency Operations Plan*, as promulgated through Executive Order 50 (2012).

Disaster behavioral health assists with mitigation of the emotional, psychological, and physical effects of a natural or man-made disaster affecting survivors and responders. Disaster behavioral health support is most often required by Emergency Support Function No. 6: Mass Care, Emergency Assistance, Temporary Housing, and Human Services; Emergency Support Function No. 8: Health and Medical Services; and Emergency Support Function No. 15: External Affairs. The CSB shall:

1. provide the Department with and keep current 24/7/365 contact information for disaster response points of contact at least three persons deep;
2. report to the Department all disaster behavioral health recovery and response activities related to a disaster;
3. comply with all Department directives coordinating disaster planning, preparedness, response, and recovery to disasters; and
4. coordinate with local emergency managers, local health districts, the Department, and all appropriate stakeholders in developing a Disaster Behavioral Health Annex template for each locality's Emergency Operations Plan.

The Disaster Behavioral Health Annex template shall address: listing behavioral health services and supports, internal to CSB and at other organizations in the community, available to localities during the preparedness, response, and recovery phases of a disaster or emergency event and designating staff to provide disaster behavioral health services and supports during emergency operations.

To implement this plan, the CSB shall:

1. Develop protocols and procedures for providing behavioral health services and supports during emergency operations;

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2. Seek to participate in local, regional, and statewide planning, preparedness, response, and recovery training and exercises;
3. Negotiate disaster response agreements with local governments and state facilities; and
4. Coordinate with state facilities and local health departments or other responsible local agencies, departments, or units in preparing all hazards disaster plans.

C. Federal Certification Regarding Lobbying for the Mental Health and Substance Abuse Block Grants: The CSB certifies, to the best of its knowledge and belief, that:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of the CSB, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the CSB shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The CSB shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, or cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 or more than \$100,000 for each failure.

III. Compliance with State and Federal Requirements

A. Employment Anti-Discrimination: The CSB shall conform to the applicable provisions of Title VII of the Civil Rights Act of 1964 as amended, the Equal Pay Act of 1963, Sections 503 and 504 of the Rehabilitation Act of 1973, the Vietnam Era Veterans Readjustment Act of 1974, the Age Discrimination in Employment Act of 1967, the Americans With Disabilities Act of 1990, the Virginians With Disabilities Act, the Virginia Fair Employment Contracting Act, the Civil Rights Act of 1991, regulations issued by Federal Granting Agencies, and other applicable statutes and regulations, including § 2.2-4310 of the Code of Virginia. The CSB agrees as follows.

1. The CSB will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or other basis prohibited by federal or state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the CSB. The CSB agrees to post in conspicuous places, available to

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employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.

2. The CSB, in all solicitations or advertisements for employees placed by or on behalf of the CSB, will state that it is an equal opportunity employer.
3. Notices, advertisements, and solicitations placed in accordance with federal law, rule, or regulation shall be deemed sufficient for the purpose of meeting these requirements.

B. Service Delivery Anti-Discrimination: The CSB shall conform to the applicable provisions of Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, the Americans With Disabilities Act of 1990, the Virginians With Disabilities Act, the Civil Rights Act of 1991, regulations issued by the U.S. Department of Health and Human Services pursuant thereto, other applicable statutes and regulations, and paragraphs 1 and 2 below.

1. Services operated or funded by the CSB have been and will continue to be operated in such a manner that no person will be excluded from participation in, denied the benefits of, or otherwise subjected to discrimination under such services on the grounds of race, religion, color, national origin, age, gender, or disability.
2. The CSB and its direct and contractual services will include these assurances in their services policies and practices and will post suitable notices of these assurances at each of their facilities in areas accessible to individuals receiving services.
3. The CSB will periodically review its operating procedures and practices to insure continued conformance with applicable statutes, regulations, and orders related to non-discrimination in service delivery.

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Uni			
Nature	Priority	Agcy	Dist	St/Bt	RA	Business	Caller Name	Close
160147614	07/05/2016 14:17:04	2 SCOTLAND RD	HA					
W911 LOW PRIORITY	3	HPD	CHE	206	60			MOR

Events Listed: 1

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160143905	07/01/2016 03:06:19	WOODLAND RD/W CHAMBERLIN AVE	HA		1290
VEHICLE STOP	3	HPD CHE 206 S 60			SI
160145139	07/02/2016 16:17:02	WOODLAND RD/W CHAMBERLIN AVE	HA		2205
PUBLIC ASSIST POLICE	3	HPD CHE 206 S 60			CA
160146627	07/04/2016 11:40:44	WOODLAND RD/W CHAMBERLIN AVE	HA		2206
KEEP CHECK	3	HPD CHE 206 S 60			CA
160157829	07/18/2016 02:23:40	WOODLAND RD/W CHAMBERLIN AVE	HA		1205
VEHICLE STOP	3	HPD CHE 206 S 60			AM
160160232	07/21/2016 02:39:13	WOODLAND RD/W CHAMBERLIN AVE	HA		1205
PURSUIT	1	HPD CHE 206 S 60			OS
160165695	07/28/2016 10:05:54	136 WOODLAND RD	HA		M002
MEDICAL ALARM	1	HFD 2 ST02			PT
160166568	07/29/2016 11:30:54	136 WOODLAND RD	HA		M002
MEDICAL ALARM	1	HFD 2 ST02			PT

Events Listed: 7

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Uni
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160151664	07/10/2016 08:36:17	4 DERRY RD	HA		2202
RUNAWAY	2	HPD CHE 206 S 60			RT
160152093	07/10/2016 20:21:33	4 DERRY RD	HA		850L
REPORT BY PHONE	3	HPD CHE 206 S 60			ADDT
160154674	07/14/2016 06:50:27	4 DERRY RD	HA		1202
RUNAWAY	2	HPD CHE 206 S 60			RT
160155837	07/15/2016 14:03:43	4 DERRY RD	HA		826L
REPORT BY PHONE	3	HPD CHE 206 S 60			ADDT
160157217	07/17/2016 04:21:44	4 DERRY RD	HA		M002
BREATHING PROBLEMS	2	HFD 2 ST02			PT
160157470	07/17/2016 14:53:25	4 DERRY RD	HA		M002
PEDESTRIAN ACCIDENT	1	HFD 2 ST02			PT
160157961	07/18/2016 09:13:55	13 DERRY RD	HA		527L
REPORT BY PHONE	3	HPD CHE 206 S 60			OS
160157963	07/18/2016 09:15:12	4 DERRY RD	HA		2208
DOMESTIC ASSAULT	1	HPD CHE 206 S 60			AL
160159748	07/20/2016 14:08:51	1 DERRY RD	HA		ANCO2
WILDLIFE	3	HPD CHE 206 S 60			OS

Events Listed: 9

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA	Business	Caller Name	Close
160148617	07/06/2016 16:59:43	301 W CHAMBERLIN AVE	HA		2212
FIGHT	1	HPD CHE 206 60 S	NORTH PHOEBUS TOWN HOMES		FI
160150249	07/08/2016 15:03:27	W CHAMBERLIN AVE/DERRY RD	HA		2206
KEEP CHECK	3	HPD CHE 206 60 S			CA
160150251	07/08/2016 15:05:36	W CHAMBERLIN AVE/DERRY RD	HA		2206
KEEP CHECK	3	HPD CHE 206 60 S			CA
160151443	07/09/2016 23:16:21	301 W CHAMBERLIN AVE	HA		1204
GUNSHOT	1	HPD CHE 206 60 S	NORTH PHOEBUS TOWN HOMES		LP
160152891	07/11/2016 21:18:24	311 W CHAMBERLIN AVE	HA		M002
TRAUMATIC INJURIES	1	HFD 2 ST02			PT
160153163	07/12/2016 09:53:04	301 W CHAMBERLIN AVE	HA		2206
KEEP CHECK	3	HPD CHE 206 60 S	NORTH PHOEBUS TOWN HOMES		CA
160154120	07/13/2016 13:28:40	307 W CHAMBERLIN AVE	HA		2214
DOMESTIC PROBLEM	1	HPD CHE 206 60 S			AL
160154136	07/13/2016 13:40:46	307 W CHAMBERLIN AVE	HA		DUP
160154150	07/13/2016 14:01:39	307 W CHAMBERLIN AVE	HA		M002
BREATHING PROBLEMS	1	HFD 2 ST02			PT
160156131	07/15/2016 20:16:19	W CHAMBERLIN AVE/SCOTLAND RD	HA		505L
KEEP CHECK	3	HPD CHE 206 60 S			CA
160157785	07/18/2016 00:28:28	267 W CHAMBERLIN AVE	HA		
W911 LOW PRIORITY	3	HPD CHE 206 60 S			MOR
160159943	07/20/2016 17:48:06	W CHAMBERLIN AVE/SCOTLAND RD	HA		505L
KEEP CHECK	3	HPD CHE 206 60 S			CA
160160035	07/20/2016 20:20:09	313 W CHAMBERLIN AVE	HA		1250
WILDLIFE	3	HPD CHE 206 60 S			AL

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA	Business	Caller Name	Close
160160300	07/21/2016 08:13:39	313 W CHAMBERLIN AVE	HA		ANCO9
PHONE MSG FOR ANCO	3	HPD CHE 206 60 S			GM
160160400	07/21/2016 10:32:27	301 W CHAMBERLIN AVE	HA		ANCO8
PHONE MSG FOR ANCO	3	HPD CHE 206 60 S	NORTH PHOEBUS TOWN HOMES		AL
160165297	07/27/2016 20:03:14	305 W CHAMBERLIN AVE	HA		543E
VEHICLE STOP	3	HPD CHE 206 60 S			AL

Events Listed: 16

Communications

List of Events (Short Format)

Incident #	Date/Time	Street					City	Additional Location Info	Prime Unit
Nature	Priority	Agcy	Dist	St/Bt	RA	Business	Caller Name	Close	
160155963	07/15/2016 16:05:19	142 CAMERON ST					HA	[REDACTED]	M004
BREATHING PROBLEMS	1	HFD	2	ST02			PT		
160163094	07/24/2016 19:53:05	CAMERON ST/LIBBY ST					HA	[REDACTED]	218
FIGHT	1	HPD	CHE	206	68		NR		

Events Listed: 2

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160147441	07/05/2016 10:29:01	195 LANGLEY AVE	HA		M001
FALLS	1	HFD 1 ST01			PA
160148096	07/06/2016 04:49:56	3 LANGLEY AVE	HA		03
SUSPICIOUS PERSON	2	HPD CHE 204 49 S			LP
160152877	07/11/2016 21:04:48	LANGLEY AVE/N KING ST	HA		DUP
160152878	07/11/2016 21:05:10	LANGLEY AVE/N KING ST	HA		001
BREATHING PROBLEMS	1	HFD 1 ST01			PT
160152880	07/11/2016 21:08:28	LANGLEY AVE/N KING ST	HA		DUP
160152881	07/11/2016 21:08:40	LANGLEY AVE/N KING ST	HA		03
ASSIST FIRE	3	HPD CHE 204 49 S			OS
160152883	07/11/2016 21:09:07	LANGLEY AVE/N KING ST	HA		DUP
160153156	07/12/2016 09:39:27	104 LANGLEY BLVD	LA		MOR
W911 LOW PRIORITY	3	HPD CHE 204 11 S			
160154572	07/14/2016 01:34:21	LANGLEY AVE/FOLEY ST	HA		1214
KEEP CHECK	3	HPD CHE 204 49 S			CA
160156020	07/15/2016 17:27:39	20 LANGLEY AVE	HA		M001
SICK PERSON	4	HFD 1 ST01			PT
160156604	07/16/2016 13:11:10	100 LANGLEY AVE	HA		2204
KEEP CHECK	3	HPD CHE 204 49 S	LANGLEY VILLAGE		CA
160156787	07/16/2016 17:01:24	LANGLEY AVE/N KING ST	HA		428L
VEHICLE STOP	3	HPD CHE 204 49 S			SI
160159997	07/20/2016 19:18:44	126 LANGLEY AVE	HA		M007
CONVULSIONS/SEIZURES	4	HFD 1 ST01			PT

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160161889	07/23/2016 04:51:59	20 LANGLEY AVE	HA		1007
SICK PERSON	5	HFD 1 ST01			PT
160165027	07/27/2016 13:02:48	103 LANGLEY BLVD	LA		
W911 LOW PRIORITY	3	HPD CHE 204 11 S			MOR
160168117	07/31/2016 13:14:05	103 LANGLEY BLVD	LA		
W911 LOW PRIORITY	3	HPD CHE 204 11 S			MOR

Events Listed: 16

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160146369	07/04/2016 00:52:25	75 FOLEY ST	HA		
W911 LOW PRIORITY	3	HPD CHE 204 49 S			MOR
160149399	07/07/2016 16:03:39	60 FOLEY ST	HA		M001
FALLS	4	HFD 1 ST01			PT
160149452	07/07/2016 17:00:02	68 FOLEY ST	HA		2200
PHONE MESG FOR OFFICER	3	HPD CHE 204 49 S			AL
160154177	07/13/2016 14:40:24	42 FOLEY ST	HA		2202
ACCIDENT PROPERTY DAMAGE LOW	3	HPD CHE 204 49 S			LA
160155325	07/14/2016 21:25:05	51 FOLEY ST	HA		1213
CIVIL DISPUTE	1	HPD CHE 204 49 S			UL
160155330	07/14/2016 21:28:10	51 FOLEY ST	HA		
MENTAL PERSON	1	HFD 1 ST01			MOR
160155338	07/14/2016 21:39:43	51 FOLEY ST	HA		
					DUP
160167455	07/30/2016 12:47:34	61 FOLEY ST	HA		M007
CHEST PAIN	2	HFD 1 ST01			PT
160167928	07/31/2016 05:23:41	22 FOLEY ST	HA		1207
SUSPICIOUS VEHICLE	2	HPD CHE 204 49 S			LP

Events Listed: 9

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160148531	07/06/2016 15:32:30	1135 LASALLE AVE	HA		M006
HEAT COLD EXPOSURE	1	HFD 1 ST01	LINCOLN PARK HIGHRISE		PR
160154819	07/14/2016 10:50:52	1135 LASALLE AVE	HA		M001
UNCONCIOUS	1	HFD 1 ST01			PR

Events Listed: 2

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160148909	07/07/2016 00:29:16	2211 SHELL RD	HA	[REDACTED]	628L
KEEP CHECK	3	HPD WYT 107 H 86 SHELL GARDEN APARTMENTS			CA
160149640	07/07/2016 21:27:47	2211-12B SHELL RD	HA	[REDACTED]	1107
DOMESTIC PROBLEM	1	HPD WYT 107 H 86 SHELL GARDEN APARTMENTS			AL
160155680	07/15/2016 11:12:59	2211-7B SHELL RD	HA	[REDACTED]	2101
ASSAULT LOW PRIORITY	3	HPD WYT 107 H 86			RT
160155682	07/15/2016 11:13:42	2211-7B SHELL RD	HA	[REDACTED]	
DOMESTIC PROBLEM	1	HPD WYT 107 H 86			DUP.
160159414	07/20/2016 02:55:08	2211-10B SHELL RD	HA	[REDACTED]	M003
MEDICAL ALARM	1	HFD 3 ST03			PA

Events Listed: 5

Communications

List of Events (Short Format)

Incident #	Date/Time	Street					City	Additional Location Info	Prime Unit
Nature	Priority	Agcy	Dist	St/Bt	RA	Business	Caller Name	Close	
160146442	07/04/2016 03:15:13	2213 SHELL RD					HA	M001	
MAN DOWN UNK PROBLEM	1	HFD	3	ST03				PT	
160147883	07/05/2016 20:41:02	2213-5C SHELL RD					HA	MOR	
E911 HANGUP UNK TROUBLE	1	HPD	WYT	107	86				
160147897	07/05/2016 21:08:52	2213-5C SHELL RD					HA	1107	
LARCENY LOW PRIORITY	3	HPD	WYT	107	86			NR	

Events Listed: 3

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160146805	07/04/2016 16:44:08	603 LASALLE AVE	HA		2107
GUNSHOT	1	HPD WYT 107 H			NF
160146872	07/04/2016 18:24:41	603 LASALLE AVE	HA		2117
GUNSHOT	1	HPD WYT 107 H			NF
160152186	07/10/2016 23:06:36	603 LASALLE AVE	HA		1713
GUNSHOT	1	HPD WYT 107 H			CA
160152189	07/10/2016 23:11:04	603 LASALLE AVE	HA		DUP
160152193	07/10/2016 23:16:14	603 LASALLE AVE	HA		1713
SUSPICIOUS VEHICLE	2	HPD WYT 107 H			CA
160160896	07/21/2016 23:34:53	603-4 LASALLE AVE	HA		1105
PUBLIC NUISANCE	3	HPD WYT 107 H			AL

Events Listed: 6

Communications

List of Events (Short Format)

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160143887	07/01/2016 01:58:31	236 MICHIGAN DR	HA		1106
PUBLIC ASSIST POLICE	3	HPD WYT 107 H			OS
160144665	07/02/2016 00:28:34	473 MICHIGAN DR	HA		
W911 LOW PRIORITY	3	HPD WYT 107 H			MOR
160144697	07/02/2016 02:02:48	100-BLK MICHIGAN DR	HA		628L
KEEP CHECK	3	HPD WYT 107 H			CA
160145305	07/02/2016 19:15:02	100-BLK MICHIGAN DR	HA		628L
KEEP CHECK	3	HPD WYT 107 H			CA
160145657	07/03/2016 03:29:47	154 MICHIGAN DR	HA		SH09
BURGLAR ALAR	1	HPD WYT 107 H			AA
160146365	07/04/2016 00:47:24	409 MICHIGAN DR	HA		M001
BACK PAIN	4	HFD 1 ST01			PT
160147099	07/04/2016 22:24:13	98 MICHIGAN DR	HA		1108
GUNSHOT	1	HPD WYT 107 H	ASBURY APARTMENTS		NF
160147944	07/05/2016 22:23:35	475 MICHIGAN DR	HA		
W911 LOW PRIORITY	3	HPD WYT 107 H			MOR
160148290	07/06/2016 11:02:49	414 MICHIGAN DR	HA		M001
SICK PERSON	4	HFD 1 ST01			PT
160149295	07/07/2016 13:40:20	403 MICHIGAN DR	HA		M001
SICK PERSON	2	HFD 1 ST01			PT
160152216	07/11/2016 00:21:59	425 MICHIGAN DR	HA		1106
DOMESTIC PROBLEM	1	HPD WYT 107 H			VO
160152219	07/11/2016 00:33:24	425 MICHIGAN DR	HA		
					DUP
160153468	07/12/2016 17:20:17	187 MICHIGAN DR	HA		2103
DOMESTIC PROBLEM	1	HPD WYT 107 H			AM
160153536	07/12/2016 19:12:53	413 MICHIGAN DR	HA		2102
DOMESTIC PROBLEM	1	HPD WYT 107 H			AL

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160153592	07/12/2016 20:26:40	413 MICHIGAN DR	HA	[REDACTED]	1107
BURGLARY LOW PRIORITY	3	HPD WYT 107 H			RT
160153641	07/12/2016 21:26:36	413 MICHIGAN DR	HA	[REDACTED]	DUP
160153656	07/12/2016 21:34:26	413 MICHIGAN DR	HA	[REDACTED]	DUP
160153770	07/13/2016 02:06:26	400-BLK MICHIGAN DR	HA	[REDACTED]	628L
KEEP CHECK	3	HPD WYT 107 H			CA
160153794	07/13/2016 03:25:51	500-BLK MICHIGAN DR	HA	[REDACTED]	503L
KEEP CHECK	3	HPD WYT 107 H			CA
160153795	07/13/2016 03:27:58	178 MICHIGAN DR	HA	[REDACTED]	503L
SUSPICIOUS VEHICLE	2	HPD WYT 107 H			NV
160153965	07/13/2016 10:14:33	413 MICHIGAN DR	HA	[REDACTED]	2113
FIGHT	1	HPD WYT 107 H			AM
160154398	07/13/2016 20:27:30	98 MICHIGAN DR	HA	[REDACTED]	1107
WEAPONS LAW VIOLATION	3	HPD WYT 107 H	ASBURY APARTMENTS	[REDACTED]	RT
160154650	07/14/2016 05:16:32	413 MICHIGAN DR	HA	[REDACTED]	1108
PHONE MESG FOR OFFICER	3	HPD WYT 107 H			OS
160154659	07/14/2016 05:43:22	413 MICHIGAN DR	HA	[REDACTED]	1106
THREATENING LOW PRIORITY	3	HPD WYT 107 H			AL
160155063	07/14/2016 15:34:07	400-BLK MICHIGAN DR	HA	[REDACTED]	580L
KEEP CHECK	3	HPD WYT 107 H			CA
160155184	07/14/2016 17:56:37	326 MICHIGAN DR	HA	[REDACTED]	2108
BURGLARY LOW PRIORITY	3	HPD WYT 107 H			RT
160155191	07/14/2016 18:04:16	326 MICHIGAN DR	HA	[REDACTED]	DUP
160155426	07/15/2016 00:16:22	98 MICHIGAN DR	HA	[REDACTED]	1107
KEEP CHECK	3	HPD WYT 107 H	ASBURY APARTMENTS	[REDACTED]	ASST
160155560	07/15/2016 08:18:05	360 MICHIGAN DR	HA	[REDACTED]	M001
FALLS	4	HFD 1 ST01			PT
160156190	07/15/2016 21:50:48	400 MICHIGAN DR	HA	[REDACTED]	1107
KEEP CHECK	3	HPD WYT 107 H			CA

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160156307	07/16/2016 00:25:48	310 MICHIGAN DR	HA		M001
BREATHING PROBLEMS	1	HFD 1 ST01			PT
160156397	07/16/2016 05:48:50	400 MICHIGAN DR	HA		1107
KEEP CHECK	3	HPD WYT 107 H 42			CA
160156480	07/16/2016 09:43:27	116 MICHIGAN DR	HA		741E
DOMESTIC PROBLEM	1	HPD WYT 107 H 42			AL
160156593	07/16/2016 12:53:47	318 MICHIGAN DR	HA		741E
FRAUD LOW PRIORITY	3	HPD WYT 107 H 42			NR
160157058	07/16/2016 22:30:04	98 MICHIGAN DR	HA		1107
GUNSHOT	1	HPD WYT 107 H 42	ASBURY APARTMENTS		CA
160157066	07/16/2016 22:46:07	116 MICHIGAN DR	HA		1107
DOMESTIC PROBLEM	1	HPD WYT 107 H 42			VO
160157485	07/17/2016 15:21:02	187 MICHIGAN DR	HA		2102
DOMESTIC PROBLEM	1	HPD WYT 107 H 42			FI
160157509	07/17/2016 15:58:44	325 MICHIGAN DR	HA		2107
UNSPECIFIED ALARM	1	HPD WYT 107 H 42			AA
160157803	07/18/2016 00:59:10	400 MICHIGAN DR	HA		1107
KEEP CHECK	3	HPD WYT 107 H 42			CA
160158590	07/19/2016 02:15:50	400 MICHIGAN DR	HA		M001
FALLS	1	HFD 1 ST01			PT
160158827	07/19/2016 11:38:17	400 MICHIGAN DR	HA		2102
BURGLARY	1	HPD WYT 107 H 42			CM
160159529	07/20/2016 08:59:20	98 MICHIGAN DR	HA		ANCO9
KEEP CHECK	3	HPD WYT 107 H 42	ASBURY APARTMENTS		CA
160160080	07/20/2016 21:25:10	400 MICHIGAN DR	HA		1107
KEEP CHECK	3	HPD WYT 107 H 42			CA
160160130	07/20/2016 22:48:37	160 MICHIGAN DR	HA		1107
DOMESTIC PROBLEM	1	HPD WYT 107 H 42			ND
160160136	07/20/2016 22:57:42	400-BLK MICHIGAN DR	HA		1107
KEEP CHECK	3	HPD WYT 107 H 42			CA

Incident #	Date/Time	Street	City	Additional Location Info	Prime Unit
Nature	Priority	Agcy Dist St/Bt RA Business	Caller Name	Close	
160160317	07/21/2016 08:34:24	98 MICHIGAN DR	HA		ANCO9
KEEP CHECK	3	HPD WYT 107 H 42 ASBURY APARTMENTS			CA
160160848	07/21/2016 22:09:48	300-BLK MICHIGAN DR	HA		1117
SUSPICIOUS VEHICLE	2	HPD WYT 107 H 42			NF
160160964	07/22/2016 03:22:50	110 MICHIGAN DR	HA		SQ01
ELECTRONIC ALARM	1	HFD 1 ST01			MF
160162582	07/24/2016 01:09:01	406 MICHIGAN DR	HA		1108
DOMESTIC ASSAULT	1	HPD WYT 107 H 42			AM
160162666	07/24/2016 05:21:06	411 MICHIGAN DR	HA		
SUSPICIOUS PERSON	2	HPD WYT 107 H 42			DUP
160162679	07/24/2016 06:09:26	406 MICHIGAN DR	HA		1151
MENTAL PERSON	1	HPD WYT 107 H 42			OS
160162680	07/24/2016 06:13:25	406 MICHIGAN DR	HA		
MENTAL PERSON	1	HFD 1 ST01			MOR
160162891	07/24/2016 14:35:35	205 MICHIGAN DR	HA		741E
SUSPICIOUS PERSON	2	HPD WYT 107 H 42			LP
160162937	07/24/2016 15:41:26	406 MICHIGAN DR	HA		2190
DOMESTIC PROBLEM LOW	3	HPD WYT 107 H 42			OS
160163391	07/25/2016 09:08:24	98 MICHIGAN DR	HA		ANCO9
KEEP CHECK	3	HPD WYT 107 H 42 ASBURY APARTMENTS			CA
160163426	07/25/2016 10:08:40	98 MICHIGAN DR	HA		ANCO9
KEEP CHECK	3	HPD WYT 107 H 42 ASBURY APARTMENTS			OS
160163942	07/25/2016 21:51:10	400 MICHIGAN DR	HA		
KEEP CHECK	3	HPD WYT 107 H 42			PTCK
160164570	07/26/2016 18:59:58	406 MICHIGAN DR	HA		1117
WARRANT LOW PRIORITY	3	HPD WYT 107 H 42			NS
160164683	07/26/2016 22:47:06	400-BLK MICHIGAN DR	HA		628L
KEEP CHECK	3	HPD WYT 107 H 42			CA
160165014	07/27/2016 12:46:45	318 MICHIGAN DR	HA		2166
FOLLOWUP	3	HPD WYT 107 H 42			CA
160166344	07/29/2016 02:24:20	146 MICHIGAN DR	HA		1206
PROWLER	1	HPD WYT 107 H 42			NF

FY 2017 Community Services Performance Contract

FY 2017 Exhibit A: Resources and Services

Hampton-Newport News Community Services Board

Consolidated Budget (Pages AF-3 through AF-8)				
Funding Sources	Mental Health Services	Developmental Services	Substance Abuse Services	TOTAL
State Funds	16,807,077	2,260,460	3,116,492	22,184,029
Local Matching Funds	2,467,975	507,875	228,335	3,204,185
Total Fees	16,922,491	13,545,459	2,891,379	33,359,329
Transfer Fees In/(Out)	0	0	0	0
Federal Funds	1,665,108	0	2,164,314	3,829,422
Other Funds	0	0	0	0
State Retained Earnings	900,395	226,808	0	1,127,203
Federal Retained Earnings	71,593		55,634	127,227
Other Retained Earnings	0	0	0	0
Subtotal Ongoing Funds	38,834,639	16,540,602	8,456,154	63,831,395
State Funds One-Time	0		0	0
Federal Funds One-Time	0		0	0
Subtotal One -Time Funds	0	0	0	0
TOTAL ALL FUNDS	38,834,639	16,540,602	8,456,154	63,831,395
Cost for MH/DV/SA Services	35,491,270	17,697,070	7,080,199	60,268,539
Cost for Emergency Services (AP-4)				1,305,995
Cost for Ancillary Services (AP-4)				2,256,861
Total				63,831,395

Local Match Computation	
Total State Funds	22,184,029
Total Local Matching Funds	3,204,185
Total State and Local Funds	25,388,214
Total Local Match % (Local/Total State + Local)	12.62%

CSB Administrative Expenses	
Total Admin. Expenses	8,400,461
Total Expenses	63,831,395
Administrative Percent	13.16%

FY2017 Community Services Performance Contract
Exhibit A: Resources and Services
Hampton-Newport News Community Services Board
Financial Comments

Comment1	MH State Retained Earnings - Regional Programs totaling \$900,395
Comment2	includes \$250,000 of carryforward for LIPOS provided FY16 and billed FY17,
Comment3	\$85,000 in Flexible Funds for Housing, CABHI PSH funds \$175,000 and
Comment4	telepsychiatry funds \$390,395.
Comment5	MH Federal Retained Earnings of \$71,593 reflects 2016 PATH Performance Incentive
Comment6	awarded on June 3, 2016 and CABHI MH \$57,917
Comment7	Updated DV Crisis Stab to reflect amount approved after budget of \$119,375.
Comment8	DV State Retained Earnings of \$4,000 reflects Public Guardianship Program
Comment9	of \$2,000 awarded May 31, 2016 and \$2,000 awarded on June 13, 2016
Comment10	for support of consumer BB.
Comment11	DV State Retained Earnings -Regional Prog of \$222,808 reflects one-time payment
Comment12	based on letter from Connie Cochran on June 13, 2016 (fencing for REACH home)
Comment13	and SEVT funds of \$172,808.
Comment14	SA Federal Retained Earnings \$55,634 - CABHI SA
Comment15	
Comment16	Per conversation with Kevin Howard on 6/24/16 the following amounts are not
Comment17	included in the Revenue for this PC: \$875,000 transferred from Eastern State
Comment18	to assist in the implementation of the ESH Bed Utilization Project and
Comment19	\$2,017,789 in available funds for the Gateway Contract and Incentive Plan
Comment20	includes \$500,040 received prior year and \$1,517,749 transferred from WTCSB.
Comment21	
Comment22	
Comment23	
Comment24	
Comment25	

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Mental Health (MH) Services

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
<u>FEES</u>	
MH Medicaid Fees	14,117,212
MH Fees: Other	2,805,279
Total MH Fees	<u>16,922,491</u>
MH Transfer Fees In/(Out)	0
MH Net Fees	<u>16,922,491</u>
<u>FEDERAL FUNDS</u>	
MH FBG SED Child & Adolescent (93.958)	137,496
MH FBG Young Adult SMI (93.958)	0
MH FBG SMI (93.958)	0
MH FBG SMI PACT (93.958)	0
MH FBG SMI SWVBH Board (93.958)	0
Total MH FBG SMI Funds	<u>0</u>
MH FBG Geriatrics (93.958)	500,000
MH FBG Peer Services (93.958)	0
Total MH FBG Adult Funds	<u>500,000</u>
MH Federal PATH (93.150)	18,032
MH Federal CABHI (93.243)	493,117
Federal CCBHC (93.829)	0
MH Other Federal - DBHDS	0
MH Other Federal - CSB	516,463
Total MH Federal Funds	<u>1,665,108</u>
<u>STATE FUNDS</u>	
<u>Regional Funds</u>	
MH Acute Care (Fiscal Agent)	1,170,263
MH Acute Care Transfer In/(Out)	0
MH Net Acute Care - Restricted	<u>1,170,263</u>
MH Regional DAP (Fiscal Agent)	0
MH Regional DAP Transfer In/(Out)	841,209
MH Net Regional DAP - Restricted	<u>841,209</u>
MH Regional Residential DAP - Restricted	0
MH Crisis Stabilization (Fiscal Agent)	2,132,028
MH Crisis Stabilization - Transfer In/(Out)	-809,771
Total Net MH Crisis Stabilization - Restricted	<u>1,322,257</u>

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Mental Health (MH) Services

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
MH Recovery (Fiscal Agent)	0
MH Other Merged Regional Funds (Fiscal Agent)	5,362,664
MH Total Regional Transfer In/(Out)	<u>-590,043</u>
Total MH Net Unrestricted Regional State Funds	4,772,621
Total MH Net Regional State Funds	8,106,350
<u>Children State Funds</u>	
MH Child & Adolescent Services Initiative	425,484
MH Children's Outpatient	75,000
Total MH Restricted Children's Funds	<u>500,484</u>
MH State Children's Services	25,000
MH Juvenile Detention	111,724
MH Demo Proj-System of Care (Child)	0
Total MH Unrestricted Children's Funds	<u>136,724</u>
MH Crisis Response & Child Psychiatry (Fiscal Agent)	1,248,046
MH Crisis Response & Child Psychiatry Transfer In/(Out)	<u>-682,911</u>
Total MH Net Restricted Crisis Response & Child Psychiatry	565,135
Total State MH Children's Funds (Restricted for Children)	1,202,343
<u>Other State Funds</u>	
MH Law Reform	397,790
MH Pharmacy - Medication Supports	858,836
MH Jail Diversion Services	155,747
MH Adult Outpatient Competency Restoration Svcs	0
MH CIT-Assessment Sites	133,053
MH Expand Telepsychiatry Capacity	40,000
MH Young Adult SMI	0
MH PACT	662,500
MH PACT - Forensic Enhancement	200,000
MH PSH - CABHI	350,000
MH Permanent Supportive Housing (Non-CABHI)	0
CCBHC - State Portion	0
MH Expanded Community Capacity (Fiscal Agent)	1,946,439
MH Expanded Community Capacity Transfer In/(Out)	<u>-1,203,976</u>
Total MH Net Expanded Community Capacity	742,463
MH First Aid and Suicide Prevention (Fiscal Agent)	0
MH First Aid and Suicide Prevention Transfer In/(Out)	<u>0</u>
Total MH Net First Aid and Suicide Prevention	0
Total MH Restricted Other State Funds	3,540,389

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Mental Health (MH) Services

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
MH State Funds	3,787,995
MH State Regional Deaf Services	170,000
MH State NGRI	0
MH Geriatrics Services	0
Total MH Unrestricted Other State Funds	3,957,995
Total MH Other State Funds	7,498,384
TOTAL MH STATE FUNDS	16,807,077
<u>OTHER FUNDS</u>	
MH Other Funds	0
MH Federal Retained Earnings	71,593
MH State Retained Earnings	0
MH State Retained Earnings - Regional Prog	900,395
MH Other Retained Earnings	0
Total MH Other Funds	971,988
<u>LOCAL MATCHING FUNDS</u>	
MH Local Government Appropriations	2,467,975
MH Philanthropic Cash Contributions	0
MH in-Kind Contributions	0
MH Local Interest Revenue	0
Total MH Local Matching Funds	2,467,975
Total MH Funds	38,834,639
<u>MH ONE TIME FUNDS</u>	
MH FBG SMI (93.958)	0
MH FBG SED Child & Adolescent (93.958)	0
MH FBG Peer Services (93.958)	0
MH State Funds	0
Total One Time MH Funds	0
Total MH All Funds	38,834,639

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Developmental Services (DV)

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
<u>FEES</u>	
DV Other Medicaid Fees	9,759,071
DV Medicaid ICF/ID	2,952,462
DV Fees: Other	833,926
Total DV Fees	13,545,459
DV Transfer Fees In/(Out)	0
DV NET FEES	13,545,459
<u>FEDERAL FUNDS</u>	
DV Other Federal - DBHDS	0
DV Other Federal - CSB	0
Total DV Federal Funds	0
<u>STATE FUNDS</u>	
DV State Funds	141,085
DV OBRA	0
Total DV Unrestricted State Funds	141,085
DV Rental Subsidies	0
DV Crisis Stabilization (Fiscal Agent)	2,119,375
DV Crisis Stabilization Transfer in(Out)	0
DV Net Crisis Stabilization	2,119,375
DV Crisis Stabilization-Children (Fiscal Agent)	0
DV Crisis Stabilization-Children Transfer in(Out)	0
DV Net Crisis Stabilization -Children	0
Total DV Restricted State Funds	2,119,375
Total DV State Funds	2,260,460
<u>OTHER FUNDS</u>	
DV Workshop Sales	0
DV Other Funds	0
DV State Retained Earnings	4,000
DV State Retained Earnings-Regional Prog	222,808
DV Other Retained Earnings	0
Total DV Other Funds	226,808
<u>LOCAL MATCHING FUNDS</u>	
DV Local Government Appropriations	507,875
DV Philanthropic Cash Contributions	0
DV In-Kind Contributions	0
DV Local Interest Revenue	0
Total DV Local Matching Funds	507,875
Total DV Funds	16,540,602

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Substance Abuse (SA) Services

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
<u>FEES</u>	
SA Medicaid Fees	1,621,066
SA Fees: Other	1,270,313
Total SA Fees	2,891,379
SA Transfer Fees In/(Out)	0
SA NET FEES	2,891,379
<u>FEDERAL FUNDS</u>	
SA FBG Alcohol/Drug Trmt (93.959)	960,728
SA FBG SARPOS (93.959)	122,888
SA FBG Jail Services (93.959)	0
SA FBG Co-Occurring (93.959)	28,651
SA FBG New Directions (93.959)	0
SA FBG Recovery (93.959)	0
Total SA FBG A/D Trmt Funds	1,112,267
SA FBG Women (Includes LINK at 6 CSBs) (93.959)	266,305
SA FBG Prevention-Women (LINK) (93.959)	0
Total SA FBG Women	266,305
SA FBG Prevention (93.959)	217,253
SA FBG Prev-Family Wellness (93.959)	94,710
Total SA FBG Prevention	311,963
SA Federal CABHI (93.243)	473,779
SA Federal Strategic Prevention (93.243)	0
SA Other Federal - DBHDS	0
SA Other Federal - CSB	0
TOTAL SA FEDERAL FUNDS	2,164,314
<u>STATE FUNDS</u>	
<u>Regional Funds</u>	
SA Facility Reinvestment (Fiscal Agent)	476,036
SA Facility Reinvestment Transfer In/(Out)	-476,036
SA Net Facility Reinvestment	0
<u>Other State Funds</u>	
SA Women (Includes LINK at 4 CSBs) (Restricted)	486,320
SA Recovery Employment	0
SA Peer Support Recovery	0
Total SA Restricted Other State Funds	486,320

FY 2017 Community Services Performance Contract Financial Summary

Exhibit A: Resources and Services

Substance Abuse (SA) Services

Hampton-Newport News Community Services Board

<u>Funding Sources</u>	<u>Funds</u>
SA State Funds	2,111,786
SA Region V Residential	108,732
SA Jail Services/Juv Detention	174,719
SA MAT - Medically Assisted Treatment	0
SA SARPOS	57,737
SA Recovery	0
SA HIV/AIDS	177,198
Total SA Unrestricted Other State Funds	2,630,172
Total SA Other State Funds	3,116,492
TOTAL SA STATE FUNDS	3,116,492
<u>OTHER FUNDS</u>	
SA Other Funds	0
SA Federal Retained Earnings	55,634
SA State Retained Earnings	0
SA State Retained Earnings-Regional Prog	0
SA Other Retained Earnings	0
Total SA Other Funds	55,634
<u>LOCAL MATCHING FUNDS</u>	
SA Local Government Appropriations	228,335
SA Philanthropic Cash Contributions	0
SA In-Kind Contributions	0
SA Local Interest Revenue	0
Total SA Local Matching Funds	228,335
Total SA Funds	8,456,154
<u>SA ONE-TIME FUNDS</u>	
SA FBG Alcohol/Drug Trmt (93.959)	0
SA FBG Women (includes LINK-6 CSBs) (93.959)	0
SA FBG Prevention (93.959)	0
SA State Funds	0
Total SA One-Time Funds	0
Total All SA Funds	8,456,154

FY 2017 Community Services Performance Contract

Local Government Tax Appropriations

Hampton-Newport News Community Services Board

City/County	Tax Appropriation
Newport News City	1,523,523
Hampton City	1,680,662
Total Local Government Tax Funds:	3,204,185

FY 2017 Community Services Performance Contract

FY 2017 Exhibit A: Resources and Services

Supplemental Information

Reconciliation of Projected Revenues and Utilization Data Core Services Costs by Program Area

Hampton-Newport News Community Services Board

	MH Services	DV Services	SA Services	Emergency Services	Ancillary Services	Total
Total All Funds (Page AF-1)	38,834,639	16,540,602	8,456,154			63,831,395
Cost for MH, DV, SA, Emergency, and Ancillary Services (Page AF-1)	35,491,270	17,697,070	7,080,199	1,305,995	2,256,861	63,831,395
Difference	3,343,369	-1,156,468	1,375,955	-1,305,995	-2,256,861	0

Difference results from

Other: 0

Explanation of Other in Table Above:

FY 2017 Community Services Performance Contract
FY 2017 Exhibit A: Resources and Services
CSB 100 Mental Health Services
Hampton-Newport News Community Services Board

Report for Form 11

Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
250 Acute Psychiatric Inpatient Services	17 Beds	777	\$4,591,964
310 Outpatient Services	39.5 FTEs	6462	\$7,636,663
350 Assertive Community Treatment	15.7 FTEs	105	\$1,588,767
320 Case Management Services	57 FTEs	2282	\$6,008,343
410 Day Treatment or Partial Hospitalization	424 Slots	969	\$8,407,678
420 Ambulatory Crisis Stabilization Services	4 Slots	358	\$1,169,398
425 Mental Health Rehabilitation	43 Slots	90	\$765,064
510 Residential Crisis Stabilization Services	11 Beds	479	\$2,362,599
551 Supervised Residential Services	12 Beds	12	\$965,144
581 Supportive Residential Services	18.9 FTEs	240	\$1,995,650
Totals		11,774	\$35,491,270

Form 11A: Pharmacy Medication Supports	Number of Consumers
803 Total Pharmacy Medication Supports Consumers	662

FY 2017 Community Services Performance Contract
FY 2017 Exhibit A: Resources and Services
CSB 200 Developmental Services
Hampton-Newport News Community Services Board

Report for Form 21

Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
320 Case Management Services	35 FTEs	1037	\$3,273,599
420 Ambulatory Crisis Stabilization Services	10 Slots	210	\$1,108,913
425 Developmental Habilitation	120 Slots	129	\$2,584,456
501 Highly Intensive Residential Services (Community-Based ICF/ID Services)	11 Beds	11	\$2,991,462
510 Residential Crisis Stabilization Services	6 Beds	64	\$1,653,416
521 Intensive Residential Services	56 Beds	56	\$5,752,450
581 Supportive Residential Services	5.75 FTEs	11	\$332,774
Totals		1,518	\$17,697,070

FY 2017 Community Services Performance Contract
FY 2017 Exhibit A: Resources and Services
CSB 300 Substance Abuse Services
Hampton-Newport News Community Services Board

Report for Form 31

Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
310 Outpatient Services	14.9 FTEs	1550	\$2,264,995
335 Medication Assisted Treatment Services	10.25 FTEs	342	\$1,425,268
320 Case Management Services	7 FTEs	313	\$1,007,282
425 Substance Abuse Rehabilitation	20 Slots	59	\$404,229
501 Highly Intensive Residential Services (Medically Managed Withdrawal Services)	12 Beds	72	\$1,554,627
610 Prevention Services	0 FTEs		\$423,798
Totals		2,336	\$7,080,199

FY 2017 Community Services Performance Contract
FY 2017 Exhibit A: Resources and Services
CSB 400 Emergency and Ancillary Services
Hampton-Newport News Community Services Board

Report for Form 01

Core Services	Projected Service Capacity	Projected Numbers of Individuals Receiving Services	Projected Total Service Costs
100 Emergency Services	13.45 FTEs	4183	\$1,305,995
390 Consumer Monitoring Services	12 FTEs	284	\$1,105,569
720 Assessment and Evaluation Services	5.45 FTEs	1842	\$1,151,292
Totals		6,289	\$3,562,856

FY 2017 Community Services Performance Contract

Table 1: Board of Directors Membership Characteristics

Name of CSB:	Hampton-Newport News Community Services Board		
Total Appointments:	<input type="text" value="15"/>	Vacancies:	<input type="text" value="1"/> Filled Appointments: <input type="text" value="14"/>
Number of Individuals Who Previously Receives Services:	<input type="text" value="0"/>		
Number of Individuals Currently Receiving Services:	<input type="text" value="1"/>		
Number of Family Members:	<input type="text" value="5"/>		

FY 2017 Community Services Performance Contract

Exhibit D: CSB Board of Directors Membership List

Hampton-Newport News Community Services Board

Name	Address	Phone Number	Start Date	End Date	Term No.
Golden Bethune-Hill	1 Ambassadors Drive Hampton, VA 23666	(757) 594-4060	7/1/2014	6/30/2017	1
Isabella Cieszynski	700 Town Center, Ste 300 Newport News, VA 23607	(757) 926-1350	7/1/2006	6/30/2017	3
Beatrice Dahlen	6306 Huntington Ave Newport News, VA 23607	(757) 247-0841	7/1/2011	6/30/2017	2
Arva Davidson	13 Howard Cr Newport News, VA 23601	(757) 596-0765	7/1/2015	6/30/2018	1
James Gray	20 Fields Drive Hampton, VA 23664	(757) 788-0300	7/1/2015	6/30/2018	1
Elva Hunt	4 Blacksmithy Lane Newport News, VA 23602	(757) 788-0300	7/1/2015	6/30/2018	1
Venerria Lucas-Thomas	Newport News, VA		7/1/2016	6/30/2019	1
Thomas Morehouse III	2222 Chesapeake Ave Hampton, VA 23661	(757) 247-0020	7/1/2013	6/30/2019	2
June Owens	45 Tiller Drive Newport News, VA 23602	(757) 877-7798	7/1/2013	6/30/2019	2
B. J. Roberts	135 High Court Lane Hampton, VA 23669	(757) 727-6203	7/1/2011	6/30/2017	2
Wanda Rogers	1320 Lasalle Ave Hampton, VA 23669	(757) 727-1955	10/1/2008	6/30/2018	3
Turner Spencer	19 Estate Dr Hampton, VA 23666	(757) 826-5960	1/1/2008	6/30/2018	3
Mary Stewart	748 Rook Cresset Ct Newport News, VA 23602	(757) 874-7753	7/1/2013	6/30/2019	2
Timothy Supler	16 River Road Newport News, VA 23601	(757) 595-1654	7/1/2011	6/30/2017	2

FY 2017 Community Services Performance Contract

Table 2: Board Management Salary Costs

Name of CSB:	Hampton-Newport News Community Services Bo	FY 2017			Tenure
Table 2a:		FY 2017	Salary Range	Budgeted Tot.	
Management Position Title		Beginning	Ending	Salary Cost	(yrs)
Executive Director		\$170,000.00	\$176,800.00	\$176,800.00	0.00

Table 2: Integrated Behavioral and Primary Health Care Questions

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FY 2017 Community Services Performance Contract

Hampton-Newport News Community Services Board

Table 2: Board Management Salary Costs

Explanations for Table 2a						

Table 2b: Community Service Board Employees

1.	2.	3.	4.	5.	6.	7.
No. of FTE CSB Employees	MH	DEV	SA	SAOPA	ADMIN	TOTAL
Consumer Service FTEs	284.85	215.76	54.25	27.16		582.02
Peer Staff Service FTEs	7.00	0.00	1.00	2.00		10.00
Support Staff FTEs	61.18	39.37	23.54	9.57	94.77	228.43
TOTAL FTE CSB Employees	353.03	255.13	78.79	38.73	94.77	820.45

Golden Bethune-Hill
1 Ambassadors Drive
Hampton, VA 23666

Beatrice Dahlen
6306 Huntington Ave
Newport News, VA 23607

James Gray
20 Fields Drive
Hampton, VA 23664

Venerria Lucas-Thomas
Newport News, VA

June Owens
45 Tiller Drive
Newport News, VA 23602

Wanda Rogers
1320 Lasalle Ave
Hampton, VA 23669

Mary Stewart
748 Rock Creset Ct
Newport News, VA 23602

Isabella Cieszynski
700 Town Center, Ste 300
Newport News, VA 23607

Arva Davidson
13 Howard Cr
Newport News, VA 23601

Elva Hunt
4 Blacksmythe Lane
Newport News, VA 23602

Thomas Morehouse III
2222 Chesapeake Ave
Hampton, VA 23661

B. J. Roberts
135 High Court Lane
Hampton, VA 23669

Turner Spencer
19 Estate Dr
Hampton, VA 23666

Timothy Supler
16 River Road
Newport News, VA 23601