#### MEMORANDUM

Subject:	Application to FEMA's 2022 Flood Mitigation Assistance Grant, Central Newmarket Creek Study
Cc:	Brian DeProfio, Assistant City Manager; Terry O'Neill, Director of Community Development; Jason Mitchell, Director of Public Works; Hui-Shan Walker, Emergency Management Coordinator; Scott Smith, Senior Civil Engineer
From:	Carolyn Heaps-Pecaro, Resiliency Officer
То:	Mary Bunting, City Manager
Date:	October 5 <sup>th</sup> , 2022

Enclosed in the attached routing package is a grant application requesting \$810,000 in FEMA grant funding for a planning project to study the area of Newmarket Creek encompassing the Paula Maria Apartment Complex. The project area is directed to the floodplain of Newmarket Creek where it is bounded by Lassiter Drive to the north, Big Bethel Road to the east, Wildwood Drive to the south and Abraham Court to the West. If funded, the project would be jointly implemented together with the City of Newport News, which has agreed to participate and provided an enclosed letter of support to accompany this application.

Despite significant focus on mitigating flooding in the Newmarket Creek watershed in general – including through the Resilient Hampton Newmarket Creek Water Plan and USACE CAP 205 Study – flooding is expected to remain a major threat to citizens in this area. While the Big Bethel Blueway Project is expected to produce modest reductions in the impact of flooding on properties abutting its banks, it will not be sufficient to mitigate local flooding issues entirely. Additionally, while the CAP study will propose to acquire and elevate properties in this vicinity, the scope is limited and it will not recommend any interventions north of Newmarket Creek.

Staff believe additional efforts to identify project and program opportunities which can be funded by FEMA is the best course of action to protect communities in this area that will remain at risk. Notably, this 11-acre study area contains 118 repetitive or severe repetitive loss properties, 72 of which are in the City of Hampton. Flood insurance claims are valued at more than \$20.3 million, according to data from FEMA. Loss here is particularly impactful due to high levels of social vulnerability of residents. Compared to the cities as a whole, these neighborhoods have lower median household incomes, higher unemployment rates, older populations, a greater percentage of disabled residents, and a higher percentage of individuals identifying as persons of color.

In the enclosed application, staff propose addressing these challenges by conducting a targeted and holistic, community-engaged study of the area in an effort to build resilience on either side of the City line. We propose hiring a consultant team to support implementation of this study, which is expected to require approximately 36 months to complete. Work will include surveying and data collection; H&H modeling, environmental and historical studies; community outreach and engagement, compliance and reporting activities; benefit-cost analyses; and project scope development.

The required match for this project is anticipated to be \$90,000. If the grant is awarded, staff recommend that the two cities work together to establish a Memorandum of Understanding which includes an agreement for cost sharing. Funding for the City of Hampton, which would be the primary grant awardee, is proposed to be sourced from the 2019 Stormwater Bond Fund.

There are multiple forms that require the signature of the City Manager to complete the grant application. These are flagged in the attached documentation.

Should you have any questions or concerns, please don't hesitate to contact me at <u>carolyn.heaps-pecaro@hampton.gov</u> or Scott Smith at <u>scott.smith@hampton.gov</u>



## **Grant Routing Sheet**

## \*COMPLETED GRANT OVERVIEW PROPOSAL MUST BE ATTACHED BEFORE ROUTING IS INITIATED\*

Date	Routing Initiated:		Application Due Date:	
Originating Department: Submitter's Name:			Department No.:	420/805
			Direct Telephone No. ()	
	I Address:			28-5221
Grant				
Other				
BEFO	RE COMPLETING AN APPI	LICATION:		
1.	READ THE GENERAL INSTR	UCTIONS.	(Submitter's Initials)	
2.	COMPLETE GRANT PROPO	SAL OVERVIEW.	(Submitter's Initials)	
3.	DEPARTMENT HEAD		See attached	
•••	ORIGINATING DEPT.	Print Name	Signature	Date
4.	ASSISTANT CITY		See attached	
MANAGER	Print Name	Signature	Date	
<u>BEFO</u> 5.	ELECTRONIC): ALL DOC	ENTATION PACKAGE	FOR REVIEW TO INCLUDE (EITHER HA THE GRANT, INCLUDING, BUT NC NT DOCUMENTS, PRIMARY GRANT (	DT LIMITED TO
6.	COMPLETE APPLICATION	EXCEPT NECESSARY SI	GNATURES. (Submi	tter's Initials)
7.	CITY ATTORNEY		See attached	
		Print Name	Signature	Date
8.	<b>BUDGET DIVISION</b>		See attached	
		Print Name	Signature	Date
9.	HUMAN RESOURCES	Print Name	Signature	Date
			0.9.10.010	2 61 0

10.	FINANCE DEPARTMENT		See attached	
	-	Print Name	Signature	Date
11.	RISK MANAGEMENT			
		Print Name	Signature	Date

## AFTER GRANT IS AWARDED:

- ✓ After the grant award has been received, and if there are no changes to the application or condition(s), the grant may now be placed in Granicus for City Council action.
- ✓ Reference "Quick Tips for Submitting Grants City Council Agenda"



If there are <u>ANY</u> changes to <u>ANY</u> component of the grant, consult with your department's City Attorney for advice on whether to re-route the grant for second approval or continue with the placement of the grant on the Council agenda.

## Heaps-Pecaro, Carolyn

From: Sent: To: Subject: Attachments: DeProfio, Brian Thursday, October 6, 2022 9:13 AM Heaps-Pecaro, Carolyn Re: Request for Approval - Grant Application for Central Newmarket Creek Study image001.png; Routing Package\_FMA Central Newmarket Creek Study.pdf

I am fine with this request. Thanks

Sent from my iPad

On Oct 5, 2022, at 6:56 PM, Heaps-Pecaro, Carolyn <carolyn.heaps-pecaro@hampton.gov> wrote:

Good evening,

Attached to this email is a draft grant application routing package for the 2022 FEMA Flood Mitigation Assistance program. The purpose of the application is to support a Project Scoping Study for the area of Newmarket Creek around the Paula Maria Village and neighboring communities, together with the City of Newport News. A letter of support from the Newport News City Manager is enclosed in the package. The application seeks \$810,000 in federal funding, with \$90,000 in required non-federal match, which would be utilized to contract a consulting team in support of this study.

This grant application is **due to VDEM no later than 5pm on October 13<sup>th</sup>**. We kindly request your review and feedback/approval of the attached document at your soonest convenience, so that we can proceed with obtaining the City Manager's signature on required forms, should the City decide to proceed with the application.

Thank you, and please let Scott Smith or me know if you have any questions.

Best, Carolyn

## **Carolyn Heaps-Pecaro**

Resiliency Officer Resilient Hampton | Community Development Department 22 Lincoln St, 5<sup>th</sup> floor, Hampton VA, 23669 Phone: Direct (757) 728-5221 | Main (757) 727-6140

Visit us on the web: <u>www.hampton.gov</u>

## Heaps-Pecaro, Carolyn

From: Sent: To: Cc: Subject: Green, Lori Tuesday, October 11, 2022 10:45 AM Heaps-Pecaro, Carolyn; O'Neill, Terry Smith, Scott RE: Request for Approval - Grant Application for Central Newmarket Creek Study

# Hi Carolyn, pardon the delay... the Budget Division approves of the grant application for Central Newmarket Creek Study.

Thank you.

Lori Green | Budget Manager | Direct Dial: (757) 727-6377



**CONFIDENTIALITY NOTICE:** This communication and any files or attachments transmitted with it may contain information that is confidential, privileged and exempt from disclosure under applicable law. It is intended solely for the use of the intended recipient. If you are not the intended recipient, you are hereby notified that any unauthorized review, use, disclosure, dissemination, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the sender by reply e-mail and destroy all copies of the original message. Additionally, we will take the appropriate action to avoid sending you an unintended e-mail in the future. Thank you for your cooperation.

From: Heaps-Pecaro, Carolyn <carolyn.heaps-pecaro@hampton.gov>
Sent: Tuesday, October 11, 2022 8:39 AM
To: O'Neill, Terry <toneill@hampton.gov>; Green, Lori <lgreen@hampton.gov>
Cc: Smith, Scott <scott.smith@hampton.gov>
Subject: RE: Request for Approval - Grant Application for Central Newmarket Creek Study
Importance: High

Dear Terry and Lori,

I am writing to follow-up for your review of the attached grant application. Since the deadline is Thursday and tomorrow is a council day, I am kindly flagging it for your attention today, if at all possible. Please let me or Scott know if you would like to discuss briefly, we are happy to answer any questions.

Best, Carolyn

**Carolyn Heaps-Pecaro** Resiliency Officer Resilient Hampton | Community Development Department Phone: Direct (757) 728-5221 | Main (757) 727-6140

## From: Heaps-Pecaro, Carolyn

Sent: Monday, October 10, 2022 10:29 AM

To: O'Neill, Terry <<u>toneill@hampton.gov</u>>; Mitchell, Jason L. <<u>jmitchell@hampton.gov</u>>; DeProfio, Brian <<u>bdeprofio@hampton.gov</u>>; Kmetz, Veronica <<u>veronica.kmetz@hampton.gov</u>>; Green, Lori <<u>lgreen@hampton.gov</u>>; King, Angela <<u>angela.king@hampton.gov</u>>; Drewry, Tim <<u>tim.drewry@hampton.gov</u>>;
Cc: Walker, Hui-Shan <<u>hui-shan.walker@hampton.gov</u>>; Pointer, Gwen <<u>gwen.pointer@hampton.gov</u>>; Smith, Scott <<u>scott.smith@hampton.gov</u>>;

Subject: RE: Request for Approval - Grant Application for Central Newmarket Creek Study

## Dear all,

I hope you are well today. I am writing to follow-up on this email request for approval of the attached grant application for the FEMA Flood Mitigation Assistance program for a project scoping study of the Central Newmarket Creek area. Based on feedback received to date, I have updated the attached package to: (1) indicate that the geographic area of study covered by the H&H analysis and mitigation projects may be larger than the target study area in which we are aiming to see benefits achieved; (2) indicate in the grant proposal overview form that this application will NOT commit us to incurring future costs beyond the scope of the grant; and (3) enclose the SF-LLL lobbying disclosure form.

We are awaiting a response from the following individuals:

- Terry O'Neill
- Jason Mitchell
- Lori Green
- We have been in touch with the CAO but have not yet received approval

Please note this application is due to VDEM this Thursday, October 13<sup>th</sup> and must be reviewed and signed by the City Manager before submission.

Please advise any questions or cocnerns.

Thank you, Carolyn

Carolyn Heaps-Pecaro Resiliency Officer Resilient Hampton | Community Development Department Phone: Direct (757) 728-5221 | Main (757) 727-6140

From: Heaps-Pecaro, Carolyn
Sent: Wednesday, October 5, 2022 6:56 PM
To: O'Neill, Terry <<u>toneill@hampton.gov</u>>; Mitchell, Jason L. <<u>jmitchell@hampton.gov</u>>; DeProfio, Brian
<<u>bdeprofio@hampton.gov</u>>; Kmetz, Veronica <<u>veronica.kmetz@hampton.gov</u>>; Green, Lori <<u>lgreen@hampton.gov</u>>;
King, Angela <<u>angela.king@hampton.gov</u>>; Drewry, Tim <<u>tim.drewry@hampton.gov</u>>;
Cc: Walker, Hui-Shan <<u>hui-shan.walker@hampton.gov</u>>; Pointer, Gwen <<u>gwen.pointer@hampton.gov</u>>; Smith, Scott
<<u>scott.smith@hampton.gov</u>>;
Subject: Request for Approval - Grant Application for Central Newmarket Creek Study

Good evening,

Attached to this email is a draft grant application routing package for the 2022 FEMA Flood Mitigation Assistance program. The purpose of the application is to support a Project Scoping Study for the area of Newmarket Creek around

the Paula Maria Village and neighboring communities, together with the City of Newport News. A letter of support from the Newport News City Manager is enclosed in the package. The application seeks \$810,000 in federal funding, with \$90,000 in required non-federal match, which would be utilized to contract a consulting team in support of this study.

This grant application is **due to VDEM no later than 5pm on October 13<sup>th</sup>**. We kindly request your review and **feedback/approval of the attached document at your soonest convenience**, so that we can proceed with obtaining the City Manager's signature on required forms, should the City decide to proceed with the application.

Thank you, and please let Scott Smith or me know if you have any questions.

Best, Carolyn

**Carolyn Heaps-Pecaro** Resiliency Officer Resilient Hampton | Community Development Department 22 Lincoln St, 5<sup>th</sup> floor, Hampton VA, 23669 Phone: Direct (757) 728-5221 | Main (757) 727-6140

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## Heaps-Pecaro, Carolyn

From:	King, Angela
Sent:	Monday, October 10, 2022 2:08 PM
То:	Heaps-Pecaro, Carolyn
Cc:	O'Neill, Terry; Mitchell, Jason L.; DeProfio, Brian; Kmetz, Veronica; Green, Lori; Drewry,
	Tim; Walker, Hui-Shan; Pointer, Gwen; Smith, Scott
Subject:	Re: Request for Approval - Grant Application for Central Newmarket Creek Study
Attachments:	image001.png; Routing Package_FMA Central Newmarket Creek Study.pdf

Hello All,

I approve for legal (and will work with Carolyn on the anticipated MOU). Have a great Monday!

Thanks, Angela

Sent from my iPhone

On Oct 10, 2022, at 10:29 AM, Heaps-Pecaro, Carolyn <carolyn.heaps-pecaro@hampton.gov> wrote:

Dear all,

I hope you are well today. I am writing to follow-up on this email request for approval of the attached grant application for the FEMA Flood Mitigation Assistance program for a project scoping study of the Central Newmarket Creek area. Based on feedback received to date, I have updated the attached package to: (1) indicate that the geographic area of study covered by the H&H analysis and mitigation projects may be larger than the target study area in which we are aiming to see benefits achieved; (2) indicate in the grant proposal overview form that this application will NOT commit us to incurring future costs beyond the scope of the grant; and (3) enclose the SF-LLL lobbying disclosure form.

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## Please note this application is due to VDEM this Thursday, October 13<sup>th</sup> and must be reviewed and signed by the City Manager before submission.

Please advise any questions or cocnerns.

Thank you, Carolyn

Carolyn Heaps-Pecaro Resiliency Officer From: Heaps-Pecaro, Carolyn
Sent: Wednesday, October 5, 2022 6:56 PM
To: O'Neill, Terry <toneill@hampton.gov>; Mitchell, Jason L. <jmitchell@hampton.gov>; DeProfio, Brian
<bdeprofio@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori
<lgreen@hampton.gov>; King, Angela <angela.king@hampton.gov>; Drewry, Tim
<tim.drewry@hampton.gov>
Cc: Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Smith, Scott <scott.smith@hampton.gov>
Subject: Request for Approval - Grant Application for Central Newmarket Creek Study

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Thank you, and please let Scott Smith or me know if you have any questions.

Best, Carolyn

## Carolyn Heaps-Pecaro

Resiliency Officer Resilient Hampton | Community Development Department 22 Lincoln St, 5<sup>th</sup> floor, Hampton VA, 23669 Phone: Direct (757) 728-5221 | Main (757) 727-6140

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## Heaps-Pecaro, Carolyn

From: Sent: To: Cc: Subject: O'Neill, Terry Tuesday, October 11, 2022 10:41 AM Heaps-Pecaro, Carolyn; Green, Lori Smith, Scott RE: Request for Approval - Grant Application for Central Newmarket Creek Study

Carolyn:

I am fine with this grant application.

Terry O'Neill, Director Community Development Department 22 Lincoln Street Hampton, VA 23669 757 727-6140

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Sent: Tuesday, October 11, 2022 8:39 AM
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Cc: Walker, Hui-Shan <<u>hui-shan.walker@hampton.gov</u>>; Pointer, Gwen <<u>gwen.pointer@hampton.gov</u>>; Smith, Scott
<scott.smith@hampton.gov>

Subject: RE: Request for Approval - Grant Application for Central Newmarket Creek Study

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Thank you, Carolyn

## Carolyn Heaps-Pecaro

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Cc: Walker, Hui-Shan <<u>hui-shan.walker@hampton.gov</u>>; Pointer, Gwen <<u>gwen.pointer@hampton.gov</u>>; Smith, Scott
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Thank you, and please let Scott Smith or me know if you have any questions.

Best, Carolyn

## Carolyn Heaps-Pecaro

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## Heaps-Pecaro, Carolyn

From:	Kmetz, Veronica
Sent:	Wednesday, October 5, 2022 10:59 PM
То:	Heaps-Pecaro, Carolyn; O'Neill, Terry; Mitchell, Jason L.; DeProfio, Brian; Green, Lori;
	King, Angela; Drewry, Tim
Cc:	Walker, Hui-Shan; Pointer, Gwen; Smith, Scott
Subject:	RE: Request for Approval - Grant Application for Central Newmarket Creek Study
-	

#### Finance approves.

Thanks.

Veronica A. Kmetz Finance Department Phone: 757-727-6331 HAMPTON VA

From: Heaps-Pecaro, Carolyn <carolyn.heaps-pecaro@hampton.gov>

Sent: Wednesday, October 5, 2022 6:56 PM

To: O'Neill, Terry <toneill@hampton.gov>; Mitchell, Jason L. <jmitchell@hampton.gov>; DeProfio, Brian

<bdeprofio@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori <lgreen@hampton.gov>; King, Angela <angela.king@hampton.gov>; Drewry, Tim <tim.drewry@hampton.gov>

**Cc:** Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Smith, Scott <scott.smith@hampton.gov>

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Resiliency Officer Resilient Hampton | Community Development Department 22 Lincoln St, 5<sup>th</sup> floor, Hampton VA, 23669 Phone: Direct (757) 728-5221 | Main (757) 727-6140

Visit us on the web: <u>www.hampton.gov</u>



## Heaps-Pecaro, Carolyn

From:	Mitchell, Jason L.
Sent:	Monday, October 10, 2022 4:19 PM
То:	Heaps-Pecaro, Carolyn; O'Neill, Terry; DeProfio, Brian; Kmetz, Veronica; Green, Lori;
	King, Angela; Drewry, Tim
Cc:	Walker, Hui-Shan; Pointer, Gwen; Smith, Scott
Subject:	Grant Application for Central Newmarket Creek Study
Attachments:	Routing Package_FMA Central Newmarket Creek Study.pdf

Carolyn,

I'm fine with the attached grant application. Please let me know if you need anything further from Public Works.

Jason

Jason Mitchell Director of Public Works City of Hampton 22 Lincoln Street Hampton, Virginia 23669 jmitchell@hampton.gov



From: Heaps-Pecaro, Carolyn <carolyn.heaps-pecaro@hampton.gov>

Sent: Monday, October 10, 2022 10:29 AM

**To:** O'Neill, Terry <toneill@hampton.gov>; Mitchell, Jason L. <jmitchell@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori <lgreen@hampton.gov>; King, Angela <angela.king@hampton.gov>; Drewry, Tim <tim.drewry@hampton.gov>

**Cc:** Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Smith, Scott <scott.smith@hampton.gov>

Subject: RE: Request for Approval - Grant Application for Central Newmarket Creek Study

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**Carolyn Heaps-Pecaro** Resiliency Officer Resilient Hampton | Community Development Department Phone: Direct (757) 728-5221 | Main (757) 727-6140

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<<u>bdeprofio@hampton.gov</u>>; Kmetz, Veronica <<u>veronica.kmetz@hampton.gov</u>>; Green, Lori <<u>lgreen@hampton.gov</u>>; King, Angela <<u>angela.king@hampton.gov</u>>; Drewry, Tim <<u>tim.drewry@hampton.gov</u>>; Green, Lori <<u>lgreen@hampton.gov</u>>; King, Angela <<u>angela.king@hampton.gov</u>>; Drewry, Tim <<u>tim.drewry@hampton.gov</u>>; Smith, Scott
<c: Walker, Hui-Shan <<u>hui-shan.walker@hampton.gov</u>>; Pointer, Gwen <<u>gwen.pointer@hampton.gov</u>>; Smith, Scott
<scott.smith@hampton.gov>
Subject: Paguest for Approval. Grant Application for Control Newmarket Crook Study.

Subject: Request for Approval - Grant Application for Central Newmarket Creek Study

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Thank you, and please let Scott Smith or me know if you have any questions.

Best, Carolyn

## **Carolyn Heaps-Pecaro**

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## HAMPTON VA

## **Grant Proposal Overview**

## \*COMPLETED GRANT OVERVIEW PROPOSAL MUST BE ATTACHED TO THE GRANT ROUTING SHEET BEFORE ROUTING IS INITIATED\*

Grant Title: \_\_\_\_\_

**1. PRIMARY OR SUB-AWARD:** Application will be submitted to: 
the agency that is the primary source of funding (City = Primary Awardee);
the agency that has received the funds from another awarding agency (City = Sub-Awardee).

If the City is a Sub-Awardee, the agreement between the Primary Awardee and the agency to which the City is making application must be attached to this Overview.

- 2. GRANT AWARD PERIOD: If awarded, funds are expected to be received: □ in the current fiscal year only; □ in the current fiscal year and the future fiscal year(s) of \_\_\_\_\_\_\_\_ or □ in the future fiscal year(s) of \_\_\_\_\_\_\_.
- 3. **PREVIOUS APPLICATIONS:** (Not including the current application) This grant was previously applied for during\_\_\_\_\_\_\_fiscal year(s); and was previously awarded during\_\_\_\_\_\_\_fiscal year(s).

If previously awarded, provide all prior agenda item numbers and dates of Council approval.

## 4. BACKGROUND/PURPOSE:

5. TYPE OF GRANT EXPECTED TO	BE AWARDED:
Cash Amount \$	Non-Cash(Describe):
-	his grant will — will not — <u>require</u> matching funds/contributions. If below the amount and whether the match is cash or in-kind, or
Required Match – CASH	Required Match – IN KIND
Amount: Cash \$	*Value of In-Kind \$
* Description:	
or request commitments or financial	proposal and/or the submitting department will 🗆 will not 🗆 incur obligations for/from the City beyond the grant period. e elaborate on the future financial obligation(s) for the grant:
Provide the future financial obligatio	n amount(s) for the appropriate expenditure category below:
* Amount: \$ * Amount: \$ * Amount: \$	_ 🗆 Operating Expenses
Provide information on the dur	ation of the obligation and other relevant details below:
* Description:	
* Grants with future financial obligatio	ns must be approved by the City Manager or her designee:
□ Approve □ Disapprove	Signature
	Rev.5.3.2021

If it will not, please provide a description of how activities, programs, or positions funded by the grant will be addressed at the conclusion of the grant period:

\* Description:

C. **Resource Obligations**: This proposal will  $\Box$  will not  $\Box$  require special facilities, equipment and/or services provided by the City. If it will, summarize arrangements in a separate memorandum and attach to this Overview.

Description:

## 7. Sources of Grant and Matching Funds:

Please identify the funding source of your grant and any required or non-required matches.

- For Federal grants, please provide the Federal Catalog Number (CFDA) and the grant number.
- For State grants, the grant number must be supplied.
- All grant matches must be supplied by the submitting department, unless they have historically received a contribution/match from the City's Matching Funds Pool or a special arrangement has been made with the City Manager's Office-Budget Division.
- If another City department, other than the submitting department, will be providing a funding or in-kind match, documentation to that effect must be submitted along with this grantpacket.

## a. Source of Grant Funds (Please check all that apply.)

Federal	\$ Federal Catalog No
Pass Through	\$ Federal Grant No.
State	\$ State Grant No
Foundation	\$
Private	\$

b. Source of Matching Funds\* (Please check all that apply.)

Department:	
Budget Line-Item:	Amount:
Budget Line-Item:	Amount:
Budget Line-Item:	Amount:

\*If you are listing a funding source from a department other than your own, the Budget Division will need written authorization of agreement from the funding department.

## 8. Proposed Budget:

<u>City Department-Match</u>

**Other Matches** 

	Grant Total	Cash	In-Kind	Cash	In-Kind
Personnel Svcs					
Operating Exp.					
Capital Outlay					
Column Totals					

Grand Total: \_\_\_\_\_

## 9. Additional information that will be helpful to reviewers:

### FULL APPLICATION SUBMITTAL DRAFT – CENTRAL NEWMARKET CREEK FLOOD MITIGATION STUDY

### FEMA 2022 Flood Mitigation Assistance Grant Application

**NOTE:** This application will be submitted via an online portal. This Word document summarizes required fields included in the application and the responses that will be submitted in that format.

## INTRODUCTION

State Tax Number:	30-546001336F-001	
Federal Tax Number:	54-6001336	
FEIN:	54-6001336	
FIPS:	650-35000-00	
DUNS:	066019902	
Congressional District:	3 <sup>rd</sup> District, Virginia	
Congressman Name:	Robert C. "Bobby" Scott	
State Senatorial District:	2	
Senator Name:	Mamie E. Locke	
State Legislative District:	92, 95	
Representative Name:	Jeion A. Ward, Marcia S. (Cia) Price	
Planning Requirement		
Date of HMP Approval:	August 10, 2022	
Is the community a member of	f good standing with the National Flood Insurance Program? - Yes	
Date Established:	January 15, 1971	
NFIP Number:	515527	
Property Located in SFHA?	Yes	
Is the community a members of the Community Rating System? – Yes		
Date Established:	May 1, 2011	

#### **PROJECT INFORMATION**

 Current planning regulations require specific information be included in local mitigation plans. The following questions address how your community intends to accomplish some of those aspects. For areas where specific strategies have not been determined, please estimate. List all municipalities (county/city) the proposed plan will address. If not multi-jurisdictional, explain why that decision was made.

City of Hampton, City of Newport News

- 2. Attach letters of agreement for each jurisdiction involved in the plan (multi-jurisdictional planning only).
- 3. List the hazards to be addressed (i.e., flooding, earthquake, ice, etc.).

Flooding, Sea Level Rise and Land Subsidence, Tropical/Coastal Storm

4. The regulations do not specify inclusion of human-caused hazards (i.e., radiological, hazardous materials, terrorism, etc.), but do encourage the integration of such. If human-caused hazards will be addressed, please list the types you will be studying.

N/A

5. Describe the area and population affected by this project, including location. For multijurisdictional planning, specify each jurisdiction's information.

Flooding driven both by precipitation and tidal events is a significant challenge for Hampton and Newport News. As urban, low-lying coastal communities, a significant percentage of the land area in each city is subject to flooding conditions. As climate change progresses over the decades to come, we expect these problems to become more severe, and are already planning ahead for a 20% increase in precipitation, and up to 3 feet of sea level rise for many future large-scale flood mitigation infrastructure projects.

The central reach of Newmarket Creek spans the City line between Newport News and Hampton, Virginia, approximately from the creek's intersection with Roanoke Ave to where it crosses Aberdeen Road. In this area, the creek is narrow, and fed by open ditches that bring runoff from the surrounding neighborhoods. Just upstream, the creek is channelized into hardened infrastructure, and makes ninetydegree turns in its path. Downstream, the creek widens and meets the Back River, ultimately reaching the Chesapeake Bay. In Newmarket Creek's central zone, it has maintained its natural meander, but is bounded in close proximity by residential development. The overall watershed is nearly fully developed, and contains a mix of residential and commercial development.

Flooding impacts to residential properties are particularly severe within an approximately 11-acre section of Newmarket Creek's central zone. This area is approximately bounded by Lassiter Drive to the north, Big Bethel Road to the east, Wildwood Drive to the south and Abraham Court to the West. This area is largely categorized as Zone AE by Flood Insurance Rate Mapping, with a base flood elevation of 9 feet. Some development in this area is located within the floodway of Newmarket Creek.

In this area, there are three affordable living apartment complexes and four single family residential subdivisions that experience flooding from the Creek and its feeder ditches during extreme precipitation and coastal storm events. The single-family neighborhoods surrounding Newmarket Creek in this zone were largely built in the mid-1960s, while multi-family housing complexes were developed in the late 1970s early 1980s. Since the 1990s, challenges with flooding to residential properties in this area have become increasingly pronounced.

The City Line Apartment complex is an affordable living community in Newport News. It contains 200 units and was built in 1977. The complex contains two-story buildings with one- and two-bedroom apartments. The complex is identified as a Section 8 housing community. The City Line Apartments are appraised at \$13,346,000.

The Meadowview subdivision is west of City Line Apartments in Newport News and consists of 52 single family homes on 0.15 acres lots. The subdivision was constructed in 1991 with a mix of one and two-story homes. The average assessed home value in the community is \$231,800. The neighborhood total assessed value is approximately \$12,053,600.

Within the City of Hampton, there are two affordable living apartment complexes in this geographic area. Paula Maria Village Apartments serves senior and disabled individuals. It was built in 1980 and contains 198 one- and two-bedroom apartments. The property has an assessed value of \$16,845,100. Directly north of Paula Maria Village are the Century Plaza Apartments. This complex contains 120, one-, two- and three-bedroom units. It was constructed in 1971 and is assessed value of \$9,698,800.

There are also three residential subdivisions within the Hampton portion of the watershed area. Briarwood Terrace, south of Newmarket Creek, was constructed in the latter half of the 1960s. The neighborhood consists of 131 residential lots, with a mix of one- and two-story homes on 0.2-acres. The average assessed home value is \$189,200, and the neighborhood total assessed value is approximately \$24,785,200. North of Newmarket Creek is the Douglas Park subdivision, constructed in 1965. It contains primarily one-story homes, 54 in total, on 0.15-acre lots. The average assessed home value is \$147,900 and the total neighborhood assessed value is \$7,986,600. Also north of the creek is Morris Manor. The subdivision was built in 1963. It contains 58 homes on 0.15-acre residential lots, originally constructed as single-story homes. The homes have an averaged assessed value of \$148,500, and the neighborhood total assessed value is approximately \$8,611,600.

Within this area there is a high concentration of repetitive loss (RL) and severe repetitive loss (SRL) properties. Data from 2022 for the City of Hampton records 25 unmitigated SRL properties and 46 unmitigated RL properties (72 total properties) in this area, while Newport News contains 43 unmitigated RL properties, 3 of which are SRL properties (46 total properties). Since Hurricane Floyd in September 1999, the Cities of Hampton and Newport News have documented at least 13 events in which residents of the City Line and Paula Maria apartment complexes were evacuated due to severe flooding conditions. Residents were prevented from returning to their apartments for extended periods following the events. The financial impact of flooding in this area is substantial, totaling more than \$20.3 million in flood insurance claims submitted to NFIP as reported in the Cities' repetitive loss data. The City of Newport News reports 131 claims with a value of \$12,534,139, The City of Hampton reports 326 claims with a value of \$7,802,789. Additionally, City services involved in supporting evacuations from this area result in high financial impacts to both municipalities.

Residents of this area generally have a high level of social vulnerability. This zone of the watershed includes three US Census Tracts: City of Newport News Tract 313, and City of Hampton Tracts 104 (north of Newmarket Creek) and 105.3 (south of Newmarket Creek). The CDC's social vulnerability index score using 2018 data for tract 313 is 0.8031, tract 104 is 0.7646, and tract 105.1 (now 105.3) is 0.8026. This gives an average score of 0.79 for the area. Compared to the cities as a whole, these neighborhoods have lower median household incomes, higher unemployment rates, older populations, a greater percentage of disabled residents, and a higher percentage of individuals identifying as persons of color.

6. Describe how the community will ensure the planning process will include public involvement and/or comment.

As a central component of the project scoping process, we will conduct ongoing outreach and engage the local communities to ensure they are informed of the ongoing work and provide input on future direction for implementation. We anticipate hosting recurring meetings throughout the Project Scoping activity, and will exploring the feasibility of establishing a steering committee to direct this work. A critical component of this effort will be to involve representatives from each of the seven residential communities within the project area. We will seek to (1) document the resident experience with flooding and other hazards within the study area, including by understand past flooding and mitigation experiences, as well as challenges related to evacuation and preparing for/responding to flooding; (2) collaboratively explore options for mitigating the challenges identified, seeking to combine technical expertise with the lived experience of residents; (3) seek feedback on identified mitigation strategies for feasibility and tolerance.

To strengthen outreach efforts, we will seek to establish relationships with organizations and individuals known and trusted by residents. We will collaborate with social services, non-profit organizations, and civic groups to build relationships, as well as to identify strategies to strengthen the social framework. This will require working with the cities' social services departments and experienced facilitators to organize and conduct this outreach effort. Importantly, we recognize that the communities targeted by this project have been historically under-served, and may face barriers to participation in traditional government processes. We will take an approach of meeting this communities where they are, including by hosting meetings in a time and location conducive to facilitating participation from residents. If necessary, we will offer materials in multiple languages to ensure participation.

The planning team may also use tools such as social media, mailers, email newsletters, reverse 311 notifications, door hangers, among others to ensure public knowledge of the plan and opportunities to provide feedback are broadly known.

7. Describe how the community will ensure the planning process will include neighboring communities, local and regional agencies involved in hazard mitigation activities, and agencies that have the authority to regulate development, as well as business, academia and other private and non-profit interests involvement.

This activity will be jointly implemented by the Cities of Hampton and Newport News. Both municipalities will engage with the various organizations that maintain interests in this geographic area. Early in the planning process, the planning team from both municipalities will create a stakeholder map. This map will identify the various organizations that should be engaged in the planning effort, and organize them according to their degree of interest and power in the flood and storm mitigation activities impacting the study area.

Stakeholders with high interest and power, for example, the respective leadership teams of each municipality, will be engaged and consulted throughout the planning process. These groups will be organized into a key stakeholder group, and key points of consultation will be built into the planning process to ensure that the group can serve as an advisor to the plan process.

Conversely, stakeholders identified to have low interest and power will be informed of process on the planning effort and invited to public meetings. We will establish a contact list for regular communication through which we will provide generalized updates on project progress.

8. Describe how the community will ensure the planning process will include a hazard mitigation planning team that incorporates a broad range of expertise/knowledge of the area(s) involved which will serve an integral part in the plan development phases of risk assessment, vulnerability analysis, mitigation strategy and plan maintenance.

The City of Hampton and the City of Newport News will develop a Request for Proposals to solicit bidders to apply for a contract to complete the scoping activity, as described in this application. The City of Hampton will publicize and manage this consultant directly, with input and support from Newport News as described below. Hampton will work with Newport News to select a successful bidder based, in part, on the bidder's demonstrated ability to provide a suite of expertise and knowledge relevant to the scoping activity, either through their own capabilities, and/or by partnering with sub-contractors. Expertise represented must include:

- Desk reviews of existing plans and data to identify data gaps;
- Surveying natural and built infrastructure;
- H&H modeling incorporating present and future conditions influenced by climate change;
- Equitable community outreach & engagement, including expertise with facilitation in difficult environments, to gather meaningful public participation in data collection and mitigation measure development;
- Risk assessment and vulnerability analysis using both geotechnical data analysis and social data analysis;
- Engineering design expertise incorporating a mix of gray and green infrastructure solutions for flood and storm risk mitigation;
- Emergency management and response expertise, specifically in identifying appropriate practices to build social resilience;
- Expertise in communication with broad audiences on hazard mitigation projects, including with visual, written and oral communication tools; and
- Cost-benefit analysis expertise.

The City of Hampton will provide a project manager from the City's Public Works engineering division to serve as the primary project manager. This individual will be responsible for providing direct oversight to the selected consultant team. S/he will collaborate closely with a selected counterpart from the City of Newport News to ensure project deliverables are provided on time, and the work is completed within the specified budget. S/he will also be responsible for adhering to grant reporting deadlines and will serve as the primary point of contact for the Virginia Department of Emergency Management regarding implementation of this grant.

These project managers will engage a broader team of municipal staff which will review and provide guidance and direction to project deliverables. This staff will include individuals in the urban planning, emergency management, community engagement, housing, social services, public information, and civil engineering disciplines. Additional support from the City Attorney's Office, Information Technology, Finance and Budget teams will be provided as needed to facilitate the project's successful

implementation. The City of Hampton has an established Resilient Hampton Team which will be an asset in organizing regular communications and updates with relevant parties involved with the planning effort.

9. Describe how the community will ensure the planning process will include review and incorporation, if appropriate, of existing plans, studies, reports, and technical information.

As part of the selected consultant team's scope of work, the Cities will build in a requirement to conduct a review of all prior studies and analyses of the project area. The purpose of this effort will be to document all existing known challenges on site, all required data of relevance and its date of last update, and any formerly explored mitigation measures in the project area. In so doing, we will reduce duplication of modeling efforts, and identify specific gaps in data and knowledge to provide a precise recommendation for the study area. The project managers will review the desk review produced as part of this task prior to providing approval to the consultant team to begin with the next task under the scope of work.

10. Describe the Scope of Work (SoW). (Upload supporting documentation via the "Add Document" button in the footer section).

Given the substantial impacts of flooding in this area, and the lack of projects identified to mitigate existing and anticipated challenges, the City of Hampton – in partnership with the City of Newport News – is seeking Flood Mitigation Assistance Capability & Capacity Building support for a Project Scoping activity in the central zone of Newmarket Creek. The project area where we will aim to mitigate flooding is narrowly directed to the floodplain of Newmarket Creek, bounded by Lassiter Drive to the north, Big Bethel Road to the east, Wildwood Drive to the south and Abraham Court to the West. The primary output of this project will be a report containing proposed flood mitigation and adaptation strategies, and their potential costs and benefits in the form of a benefit-cost analysis (BCA). The primary goal of the project is to identify implementable projects and activities to mitigate repeat flooding impacts to private residences, and in turn minimize NFIP claims. Of particular concern is understanding and planning for the impacts of climate change that are anticipated to result in worsening flooding in this zone. We anticipate that the project scoping outputs will result in a Localized Flood Risk Reduction Project and/or Individual Flood Mitigation Project(s).

The project scoping activity will include a local hydrologic and hydraulic (H&H) study of the area in and around the study area to determine the specific source and extent flooding under present conditions, as well as under future conditions of climate change through the end of the century. We will study the anticipated flooding conditions impacting the study area resulting from more frequent and intense precipitation events, as well as from sea level rise. Prior to initiating the H&H study, we will review all prior studies and analyses of the surrounding area to reduce duplication of modeling efforts, and identify specific gaps in data and knowledge to provide a precise recommendation for the study area. Where it is needed, we will identify the location and status of all local stormwater infrastructure, and will thoroughly inspect the system, cleaning where necessary to gain accurate information. There are an estimated 200 stormwater structures, and 20,000 linear feet of stormwater piping within the study area proximity. We will survey approximately 6,500 feet of Newmarket Creek, and, using this information will determine overall system capacity. Additional studies will be conducted, as needed, to understand the local environmental and historical conditions, and any potential impacts that should be minimized during project implementation.

As a central component of the project scoping process, we will conduct ongoing outreach and engage the local communities to ensure they are informed of the ongoing work and provide input on future direction for implementation. More information regarding our proposed approach to public involvement is noted above. Information gathered through outreach and engagement will directly inform the vulnerability assessment, risk analysis, and proposed mitigation measures identified.

Mitigation measures will likely include a combination of gray and green infrastructure interventions, and non-structural interventions. Measures considered will include floodwater storage and diversion; stormwater management improvements; floodplain and riverine restoration; property acquisition and land use changes; elevation of structures; and retrofits to flood-proof structures. We anticipate that some of these measures will be proposed in the study area, however, it is possible that our findings will indicate the need for mitigation projects outside of the study area to resolve local issues most cost effectively and efficiently. Nevertheless, each of these measures will specifically target reducing flood impacts to NFIP-insured properties in the project area to minimize future NFIP claims. We will complete a comprehensive benefit-cost analysis to ensure that proposed mitigation measures will result in a netpositive outcome for investments made.

In addition, we will create a culture of preparedness for flooding by documenting and proposing solutions for social resilience. Specifically, we will investigate emergency response solutions during extreme events, including measures such as early warning systems, evacuation strategies, and temporary housing resources.

The estimated period of performance for this activity is 36 months, including surveying and data collection, H&H modeling and reporting, community outreach and engagement, compliance and reporting activities, benefit-cost analysis, and project scope development.

This project is directly supported by the Regional Hazard Mitigation Plan for the Hampton Roads region, and specifically the following mitigation actions identified by the cities of Hampton and Newport News for the next five years.

11. Describe previous hazard mitigation planning and implementation activities in the community.

Flooding has long been documented as a challenge along Newmarket Creek in both Hampton and Newport News. For nearly 20 years, the City of Hampton has been actively pursuing solutions to reduce flooding along Newmarket Creek, primarily through increasing storage capacity in upstream areas, and engaging with citizens to understand the extent of flooding challenges. The recently adopted Hazard Mitigation Plan (HMP) for the Hampton Roads Region includes specific and relevant mitigation actions for both Newport News and Hampton that point to a need for comprehensive solutions to reduce flooding impacts.

Three of the City of Hampton's Mitigation Action Items identified in the regional HMP support this scoping project: (1) Action #2 – Acquire, elevate, relocate, retrofit or floodproof structures in flood prone areas. This action includes acquisition/demolition of repetitive and severe repetitive losses from trustee sales/tax sales; (2) Action #9 – Conduct repetitive loss area analyses of repetitive flood loss areas, partnering with HRPDC and VDEM where relevant. Include outreach to homeowners regarding potential mitigation options; (3) Action #16 – Implement structural and nature-based flood control

projects in flood prone areas, such as tide gates, berms, constructed wetlands, roadway elevations, etc. This action includes projects identified by the Resilient Hampton Initiative plans.

Two of the City of Newport News' Mitigation Action Items identified in the regional HMP support this scoping project: (1) Action #2 – Acquire, elevate, relocate, retrofit or floodproof structures in flood prone areas Flood protection may include small structural flood control projects, such as tide gates, or backflow preventers. This action includes Mitigation Reconstruction projects; (2) Action #6 – Provide various watershed and flood warning improvements to reduce danger to lives and property from flooding along Newmarket Creek. This action may include Mitigation Reconstruction projects.

In 2013, the City of Hampton requested support from the US Army Corps of Engineers to conduct a feasibility study to determine the need for and feasibility of a small flood control project along the Newmarket Creek. The resulting Flood Risk Management Feasibility Study for Newmarket Creek (under the Continuing Authorities Program Section 205) was begun in 2015, and is still underway. Although it is expected to be completed in the first half of 2023, due to the financial limitations of the CAP Section 205 program, the study will not include a comprehensive H&H study for this concentrated area of RL and SRL properties in the geographic area described. Further, the study will only present non-structural flood mitigation projects for a limited proportion of properties within the entire Newmarket Creek watershed.

In addition to this study, the City of Hampton completed a Newmarket Creek Water Plan in 2021 that documented the extent of the flooding challenge in the entire watershed and identified stormwater storage projects to minimize the impact of flooding. One project, the Big Bethel Blueway, is adjacent to the project area and is expected to begin construction next year. However, H&H studies completed through the project's design suggest that once constructed, the project will be insufficient to store the volume of water needed to prevent future flood losses without additional mitigation actions. To date, the cities have not identified an implementable solution to minimize flooding impacts to RL and SRL properties, and reduce risk to vulnerable residents in this area.

The City of Newport News is creating three separate, but inter-dependent master plans for citywide stormwater management, floodplain management, and climate change management. This planning effort is ongoing and will continue for the next several years. As both this project scoping activity and the master planning effort proceed, staff will ensure coordination and alignment between the two resulting documents. Where relevant, the City will provide data collected that will benefit this project scoping activity and reduce redundancy in data collection and analysis activities.

12. Enter any additional comments related to the proposed planning effort, if desired.

N/A

## COSTS

## **Cost Line Items**

Please specify any project costs that aren't worksheet or site-specific (since those costs will be specified in the individual worksheets). In the Description field please type in a brief (1-3 words) classification of the line item (example: Project Management).

### **Strategic Funds Management Initiative**

Does this project qualify for SFM? - No

#### **Funding Sources**

Estimated FEMA Share: \$810,000

Estimated State Share: \$0

Estimated Non-Federal Share: \$90,000

Total Allocated: \$900,000

Justification of Cost Line break-down (Provide a detailed justification on each item where funding is requested, explaining its purpose for plan development or update.):

System surveillance / Data Collection (25%) - \$225,000

Hydrologic and Hydraulic Modeling / Risk Assessment and Vulnerability Analysis (30%) - \$270,000

Report Development (10%) - \$90,000

Project Management (10%) - \$90,000

Community outreach and engagement / Mitigation Measure Identification (20%) - \$180,000

Compliance and reporting (5%) - \$45,000

Total - \$900,000

#### Task One: Project Start-Up

This task will include soliciting bids and selecting a consultant team to accomplish the scope of work, developing a project oversight team from each City, conducting stakeholder mapping and carrying out initial stakeholder meetings, and hosting the project kick-off to initiate the work.

Project Management - \$15,000 Community outreach & engagement - \$40,000 Compliance & reporting - \$9,000 Subtotal - \$64,000

#### Task Two: Data Collection

This task will include conducting a desk review of existing analyses and data relevant to the study area, surveying the stormwater infrastructure system, surveying the natural system and particularly Newmarket Creek, and conducting community and stakeholder engagement to facilitate data collection.

Project Management - \$25,000 Cleaning & surveying of the system - \$225,000 Community outreach & engagement - \$50,000 Hydrologic & Hydraulic Modeling - \$50,000 Compliance & reporting - \$9,000 Subtotal - \$359,000

Task Three: Risk Assessment and Vulnerability Analysis

This task will include completing the H&H study, analyzing social conditions and demographics of the neighborhood, surveying existing emergency preparedness and response capacities of residents and external support resources, and presenting a holistic report documenting level of risk and vulnerability to flooding and storm events.

Project Management - \$25,000 Community outreach & engagement - \$50,000 Hydrologic & Hydraulic Modeling - \$150,000 Report Development - \$20,000 Compliance & reporting - \$9,000 Subtotal - \$254,000

Task Four: Mitigation Measure Identification

This task will include community and stakeholder engagement to begin testing and identifying potential project alternatives to mitigate risk, reviewing and comparing mitigation project alternatives together with the project oversight team, reviewing and identifying appropriate activities to build local social resilience, and conducting a cost-benefit analysis to facilitate selection of preferred mitigation measures.

Project Management - \$15,000 Community outreach & engagement - \$40,000 Hydrologic & Hydraulic Modeling - \$50,000 Report Development - \$20,000 Compliance & reporting- \$9,000 Subtotal -\$134,000

## Task Five: Report Development

This task will include selecting the preferred mitigation projects and social resilience building interventions, advancing technical designs for selected projects, and compiling all relevant materials into a comprehensive project scoping document, including cost estimates and permitting/compliance information to be used to pursue project implementation.

Project Management - \$10,000

Hydrologic & Hydraulic Modeling - \$20,000

Report Development - \$50,000

Compliance & reporting - \$9,000

Subtotal - \$89,000

Additional Comments: Enter any additional comments related to the proposed project's funding if desired.

Detailed cost estimates will be required from bidders responding to the Request for Proposals that the City of Hampton issues for consultant support to accomplish this project. The cost line break-down and justification is based on recent experience conducting similar work with consulting firms in the City of Hampton.

## TIMELINE

## **Project Milestones**

Total # of weeks for entire project: 156 weeks

## Timeline

Insert the proposed work schedule in phases, i.e., data gathering, risk assessment, etc. (in # of days) This timeline will used as a measurement tool for progress in the plan development and will be reported on quarterly. It will be the basis used to justify delays or extensions, if necessary, and should be estimated carefully.

```
Task One: Project Start-Up – 3 months (13 weeks / 91 days)
```

This task will include bidding and selected a consultant team to accomplish the scope of work, developing a project oversight team from each City, conducting stakeholder mapping and carrying out initial stakeholder meetings, and hosting the project kick-off to initiate the work.

Task Two: Data Collection – 9 months (39 weeks / 273 days)

This task will include conducting a desk review of existing analyses and data relevant to the study area, surveying the stormwater infrastructure system, surveying the natural system and

particularly Newmarket Creek, and conducting community and stakeholder engagement to facilitate data collection.

Task Three: Risk Assessment and Vulnerability Analysis – 12 months (52 weeks / 365 days)

This task will include completing the H&H study, analyzing social conditions and demographics of the neighborhood, surveying existing emergency preparedness and response capacities of residents and external support resources, and presenting a holistic report documenting level of risk and vulnerability to flooding and storm events.

Task Four: Mitigation Measure Identification – 6 months (26 weeks / 182 days)

This task will include community and stakeholder engagement to begin testing and identifying potential project alternatives to mitigate risk, reviewing and comparing mitigation project alternatives together with the project oversight team, reviewing and identifying appropriate activities to build local social resilience, conducting a cost-benefit analysis to facilitate selection of preferred mitigation measures.

Task Five: Report Development - 6 months (26 weeks / 182 days)

This task will include selecting the preferred mitigation projects and social resilience building interventions, advancing technical designs for selected projects, and compiling all relevant materials into a comprehensive project scoping document, including cost estimates and permitting/compliance information, to be used to pursue project implementation.

How were the estimated start/completion dates determined?

The estimated timelines described in this application were determine based on prior experience conducting similar planning efforts. Using these prior experiences as guidelines, we factored in multiple complexities involved with this scoping project that may result in longer-than-normal timeframes. Specifically, building the relationships with local residents and other stakeholder groups that will be key to ensuring equitable outcomes and building social resilience may require that we move more slowly than would otherwise be possible as an independent scoping document. Additionally, working between two municipal jurisdictions, and ensuring that buy-in is gained from both City Councils and staff leadership teams will require more time than a single municipality operating alone. Finally, we recognize that finding equitable and cost-effective solutions to mitigating flooding challenges in this area of Newmarket Creek will not be simple. The consulting team and municipal staff will need ample time to reach solutions that are based in the challenges these neighborhoods currently experience, while also weighing factors external to the community, in order to ultimately come to an integrated planning solution oriented to the benefit of multiple parties.

## Maintenance

The following questions are to give assurance on the plan's maintenance. Please answer each question and give a brief explanation.

Will the plan be maintained on a regular basis? If so, who will provide the maintenance and what is the annual cost to maintain?

The resulting project scoping document will provide specific recommendations to be implemented in the near- to mid-term to mitigate the impacts of floods and storms in the project area. Progress toward accomplishing the project(s) identified in the scoping document will be tracked by the City of Hampton and City of Newport News Public Works and Engineering teams. However, the document will not be a plan that is regularly updated and maintained into the future.

## **ACTS & EXECUTIVE ORDERS**

## National Historic Preservation Act - Historic Buildings and Structures

1. Does your project affect or is it in close proximity to any buildings or structures 50 years or more in age? – No

2. To help FEMA evaluate the impact of the project, please indicate below any other information you are providing:

- Information gathered about potential historic properties in the project area, including any
  evidence indicating the age of the building or structure and presence of buildings or structures
  that are listed or eligible for listing on the National Register of Historic Places or within or near a
  National Register listed or eligible historic district. Sources for this information may include the
  State Historic Preservation Officer (SHPO/THPO), your local planning office, historic preservation
  organization, or historical society. No
- Consideration of how the project design will minimize adverse effects on known or potential historic buildings or structures, and any alternatives considered or implemented to avoid or minimize effects on historic buildings or structures. Please address and note associated costs in your project budget. – No
- For acquisition/demolition projects affecting historic buildings or structures, any data regarding the consideration and feasibility of elevation, relocation, or floodproofing as alternatives to demolition. – No

Attached materials or additional comments.

## National Historic Preservation Act - Archaeological Resources

1. Does your project involve disturbance of ground? – No

## Endangered Species Act and Fish and Wildlife Coordination Act

- 1. Are Federally listed threatened or endangered species or their critical habitat present in the area affected by the project No
- 2. 2. Does your project remove or affect vegetation? No
- 3. 3. Is your project in, near (within 200 feet), or likely to affect any type of waterway or body of water? No

## Clean Water Act, Rivers and Harbors Act, and Executive Order 11990 (Protection of Wetlands)

Will the project involve dredging or disposal of dredged material, excavation, adding fill material or result in any modification to water bodies or wetlands designated as "waters of the U.S" as identified by the US Army Corps of Engineers or on the National Wetland Inventory? – No

## **Executive Order 11988 (Floodplain Management)**

1. Does a Flood Insurance Rate Map (FIRM), Flood Hazard Boundary Map (FHBM), hydrologic study, or some other source indicate that the project is located in or will affect a 100 year floodplain, a 500 year floodplain if a critical facility, an identified regulatory floodway, or an area prone to flooding? – No

2. Does the project alter a watercourse, water flow patterns, or a drainage way, regardless of its floodplain designation? – No

## **Farmland Protection Policy Act**

1. Will the project convert more than 5 acres of "prime or unique" farmland outside city limits to a non-agricultural use? – No

## **RCRA and CERCLA (Hazardous and Toxic Materials)**

1. Is there a reason to suspect there are contaminants from a current or past use on the property associated with the proposed project? – No

2. Are there any studies, investigations, or enforcement actions related to any of the properties associated with the proposed project? – No

3. Do any project construction or operation activities involve the use of hazardous or toxic materials? – No

4. Do you know if any of the current or past land uses of the property affected by the proposed project or of the adjacent properties are associated with hazardous or toxic materials? – No

## Executive Order 12898, Environmental Justice for Low Income and Minority Populations

- 1. Are there low income or minority populations in the project's area of effect or adjacent to the project area? Yes
  - a. Please provide the following:
    - i. Description of any disproportionate and adverse effects to these populations.

This project is narrowly targeted to specifically and intentionally engage lowincome and minority populations who have historically been disproportionately impacted by flooding. The purpose of the project is to identify effective, equitable solutions for flood mitigation that reduce risk in this neighborhood and to these target populations, while also supporting these groups' social resilience.

ii. Description of the population affected and the portion of the population that would be disproportionately and adversely affected. Please include specific efforts to address the adverse impacts in your proposal narrative and budget.

We will avoid adverse impacts of any potential future projects by incorporating evaluation for equity into the project scoping activity at all stages as a critical element of determining a feasible path forward for flood mitigation. A robust neighborhood outreach and stakeholder engagement process will help to ensure we understand the realities of residents, and empower them to provide guidance on how we can best improve flood conditions while meeting other social resilience needs.

iii. Attached materials or additional comments.

None

### **Other Environmental/Historic Preservation Laws or Issues**

1. Are there other environmental/historic preservation requirements associated with this project that you are aware of? – No

2. Are there controversial issues associated with this project? - No

3. Have you conducted any public meeting or solicited public input or comments on your specific proposed mitigation project? – No

### **Summary and Cost of Potential Impacts**

1. Having answered the questions above, have you identified any aspects of your proposed project that have the potential to impact environmental resources or historic properties? – No

### AGREEMENTS

A. Maintenance Agreement

All applicants whose proposed project involves the retrofit or modification of existing public property or whose proposed project would result in the public ownership or management of property, structures, or facilities, must first sign and attach the following agreement prior to submitting their application to FEMA.

NOTE: those applicants whose project only involves the retrofitting, elevation, or other modification to private property where the ownership will remain private after project completion DO NOT have to complete this form.)

N/A

### **B.** Applicant Certifications

Each applicant whose proposed project involves elevation of one or more residential structures or relocation or acquisition and demolition of such structures must sign and attach the following certifications.

N/A

### C. Substitution Properties

Substitution Properties: N/A

### ATTACHMENTS

- SR-424, Application for Federal Assistance
- Grants.gov lobbying form, certification regarding lobbying
- SF-424A, Budget Information (Non-Construction)
- SF-424B, Standard Assurances (Non-Construction)
- SF-LLL, Disclosure of Lobbying Activities
- Map and associated geospatial file(s) (e.g., Shapefile, KML/KMZ, Geodatabase, or other GIS enabled document) delineating the estimated area benefitting from the project.

# Central Newmarket Creek Study Area



0 0.05 0.1 0.2 Miles

N

## Legend





October 5, 2022

Virginia Department of Emergency Management Region 5 PO Box 1239 Matthews, Virginia 23109

RE: FEMA 2022 Flood Mitigation Assistance Grant Authorization to Request Funding - City of Newport News, Virginia

Dear VDEM:

This letter serves to provide certification that I, as the City Manager for the City of Newport News, Virginia, have provided authorization for submission of the Central Newmarket Creek Flood Mitigation Study grant funding application, in accordance with the FEMA Flood Mitigation Assistance (FMA) Grant Manual.

The City of Newport News has experienced repeated, severe flooding along Newmarket Creek near the boundary with the City of Hampton. Of special concern is a low income community, which is frequently impacted by both tidal and heavy rainfall events. Due to the location, a study to evaluate a comprehensive project across both localities will provide a solution most likely to be successful. Newport News intends on entering into a formal Memorandum of Understanding agreement for implementation and cost-sharing with the City of Hampton, should the grant be awarded.

Furthermore, I certify that the City has dedicated sufficient funding to cover the required matching funds, with the understanding that awards granted under the FMA will be disbursed in accordance with the FMA Grant Manual. The City will utilize Stormwater Utility Service Charge collections to fund the project. Stormwater Utility Services are authorized under Chapter 37.1, Article 2 of the City's Code of Ordinances.

Please direct any additional questions to Kathie Angle, PE at (757) 926-8655 or anglekk@nnva.gov.

Sincerely,

Cynthia D. Rohlf City Manager

CDR:KKA:wjw

### **Virginia Department of Emergency Management** Summary Sheet for Application Assurances and Certifications

Name of Applica	ant: <u>City of H</u>	ampton
Project Title:	Central Newma	rket Creek Study - FEMA Flood Mitigation Assistance 2022
Applicant's Desi	gnated Agent:	Mary Bunting, City Manager

This summary sheet references Assurances and Certifications that must be read, signed and submitted as part of each application for hazard mitigation funding. The Applicant's Designated Agent must indicate each item included in the application package. Failure to submit this required information will result in the delay or possible removal of the application from hazard mitigation funding consideration.

The complete application package includes submittal of the following items:

Χ	Application for Pre-Disaster Mitigation funding			
	Signed Maintenance Agreement			
Χ	Signed FEMA Form 20-16 which includes the following:			
	X	FEMA Form 20-16A- Assurances- Non-Construction Programs (if applicable to project type)		
		FEMA Form 20-16B- Assurances- Construction Programs (if applicable to project type)		
	X Completed FEMA Form 20-16C- Certifications Regarding Lobbying: Debarment, Suspension, Responsibility Matters: and Drug Free Workplace Requirements			
	Χ	Completed and Signed SF LLL- Disclosure of Lobbying Activities		

The undersigned certifies that the checked items have been read and signed.

Mary B. Bunting	City Manager

Name of Designated Agent

Title

Signature of Designated Agent

Date

	N FOR				OMB Approval No. 0348-0043	
FEDERAL AS	SSISTANCE		2. DATE SUBMITTED		Applicant Identifier	
			13-	Oct-22		
Application Construction	Preapp	lication Instruction n-Construction	3. DATE RECEIVED BY STA		State Application Identifier Federal Identifier	
X Non-Construct		n-Construction				
5. APPLICANT INFORMAT						
	of Hampton, Vir				mpton Public Works / Community Dev.	
Address (give city, coun	nty, state, and zip coo	je):		ame and telephone number of his application (give area code	person to be contacted on matters involving	
22 Lincoln Street,	Hampton, VA. 2	3669			81 or Carolyn Heaps-Pecaro (757) 728-522	
6. EMPLOYER IDENTIFICA	ATION NUMBER (EIN):	6.a. DUNS NUMBER	2	TYPE OF APPLICANT: (enter ap	propriate letter in box)	
				A. State	H. Independent School Dist.	
8. TYPE OF APPLICATION			_	B. County	I. State Controlled Institution of Higher Learning	
L	X New	Continuation	Revision	C. Municipal J. Private University		
lf Revision, enter appro	priata lattar(a) in hay	(00)		D. Township E. Interstate	K. Indian Tribe L. Individual	
i Revision, enter approp	phate letter(s) in box			F. Intermunicipal	M. Profit Organization	
A. Increase Award	B. Deci	rease Award C. Decrease Duration		G. Special District	N. Other (Specify)	
D. Decrease Durati	tion Other (	specify):				
			9.	NAME OF FEDERAL AGENCY:		
				Federal Emergency Management Agency		
10. CATALOG OF FEDERA	AL DOMESTIC ASSIST	ANCE NUMBER:		1. DESCRIPTIVE TITLE OF APPLI	CANT'S PROJECT:	
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12. AREAS AFFECTED BY				Central Newmarket Cree	k Sludy	
City of Hampton, C	City of Newport N	lews				
13. PROPOSED PROJECT	_					
		14. CONGRESSIONAL DISTRICTS OF:				
Start Date	r: Ending Date	14. CONGRESSIONAL DISTRICTS OF: a. Applicant		b. Project	ning to identify flood mitigation projects for	
Start Date				Project sc	oping to identify flood mitigation projects for	
	Ending Date	a. Applicant		Project sc Central Ne	wmarket Creek area.	
5. ESTIMATED FUNDING	Ending Date	a. Applicant		Project sc Central Ne		
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su	O.M.B. No. 3067-0206 Expires February 29, 2004				
OR CA FOR (Name of Applicant)					
FY 2023		City of Hampton			
-	This summary sheet includes Assurances and Certifications that must be read, signed, and submitted as a part of the Application for Federal Assistance.				
An applicant must (	An applicant must check each item that they are certifying to:				
Part I       X       FEMA Form 20-16A, Assurances-Nonconstruction Programs					
Part II	Part II FEMA Form 20-16B, Assurances-Construction Programs				
Part IIIXFEMA Form 20-16C, Certifications Regarding Lobbying;Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements					
Part IV X	SF LLL, Disclosure of Lobbying Activities (If app	olicable)			
As the duly authoriz attached assurances					
Typed	Mary B. Bunting		Manager Title		
	ture of Authorized Representative	Dat	te Signed		
NOTE: By signing the certification regarding debarment, suspension, and other responsibility matters for primary covered transaction, the applicant agrees that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by FEMA entering into this transaction. The applicant further agrees by submitting this application that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the FEMA Regional Office entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. (Refer to 44 CFR Part 17.)					
	Paperwork Burden D	isclosure Notice			
financial resources send comments reg to: Information Coll Paperwork Reductio	urden for this form is estimated to average 1.7 hours p expended by persons to generate, maintain, retain, di jarding the burden estimate or any aspect of the form, lections Management, Federal Emergency Manageme on Project (3067-0206). You are not required to respon er appears in the upper right corner of this form. Plea	isclose, or to provide informati , including suggestions for red ent Agency, 500 C Street, SW, W nd to this collection of informa	on to us. You may lucing the burden Vashington, DC 20472, tion unless a valid		

FEMA Form 20-16, FEB 01

### FEDERAL EMERGENCY MANAGEMENT AGENCY ASSURANCES-NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have any questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal gain.

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Section 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration) 5 C.F.R. 900, Subpart F).

6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Section 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the **Comprehensive Alcohol Abuse and Alcoholism Prevention**, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of

alcohol abuse or alcoholism; (g) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290-dd-3 and 290-ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Acts of 1968 (42 U.S.C. Section 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interest in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Sections 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Sections 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more. 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. Section 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. Section 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Section 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.). 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Section 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

19. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

Signature

Date

### FEDERAL EMERGENCY MANAGEMENT AGENCY CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying; and 28 CFR Part 17, "Government-wide Debarment and suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Federal Emergency Management Agency (FEMA) determines to award the covered transaction, grant, or cooperative agreement.

### 1. LOBBYING

A. As required by section 1352, Title 31 of the U.S. Code, and implemented at 44 CFR Part 18, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 44 CFR Part 18, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or an employee of Congress, or employee of a member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontract(s) and that all subrecipients shall certify and disclose accordingly.

Standard Form LLL, "Disclosure of Lobbying Activities" attached. (This form must be attached to certification if nonappropriated funds are to be used to influence activities.)

### 2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 44 CFR Part 67, for prospective participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17.510-A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

FEMA Form 20-16C, JUN 94

(b) Have not within a three-year period preceding this application been convicted of ar had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or perform a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public t ransactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or shall shall attached an explanation to this application.

### 3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 44 CFR Part 17, Subpart F, for grantees, as defined at 44 CFR Part 17, Sections 17.615 and 17.620:

A. The applicant certifies that it will continue to privide a drugfree workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions tht will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug free awareness program to inform empoyees about:

- (1) The dangers of drug abuse in the workplace;
- (2) The grantee's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and

employee assistance programs; and

(4) the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace; (c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

(1) Abide by the terms of the statement; and

(2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to the applicable FEMA awarding office, i.e., regional office or FEMA office.

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

8. the grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, City, County, State, Zip code)

### 22 Lincoln Street, Hampton, VA 23666

#### 2400 Washington Ave, Newport News, VA 23607

Check if

if there are workplaces on file that are not identified here.

Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

Prime X Subawardee   Tier , if known:   Virginia Department of Emergency Management   9711 Farrar Court, Suite 200   North Chesterfield, VA. 23236   Congressional District, if known:   6th   6. Federal Department/Agency:   7. Federal Program Name/Description:   Federal Emergency Management Agency   Federal Emergency Management Agency	Complete this form to disclose	0348-0046				
<ul> <li>a. contract</li> <li>b. grant</li> <li>c. cooperative agreement</li> <li>d. loan</li> <li>o. loan guarantee</li> <li>t. loan insurance</li> </ul> <ul> <li>a. baidriffer/application</li> <li>b. initial award</li> <li>c. post-award</li> <li>d. post-award</li> <li>post-award</li> <li>d. post-award</li> <li>post-award</li> <li>d. post-award</li> <li>d. post-award</li> <li>d. post-award</li> <li>d. post-award</li> <li>d. f. freqorting Entity:</li> <li>post-award</li> <li>d. f. freqorting Entity:</li> <li>post-award</li> <li>post-award<th>(See reverse</th><th>e for public burden disclosure)</th><th></th><th></th><th></th></li></ul>	(See reverse	e for public burden disclosure)				
b. grant       b. initial award       b. material change         c. cooperative agreement       c. post-award       b. material Change Only:         year       guarter         f. loan insurance       guarter         d. loan       guarter         d. loan insurance       guarter         d. Name and Address of Reporting Entity:       guarter         grant       grant         f. Name and Address of Reporting Entity:       grant         grant       grant         grant       grant         grant       grant         grant       grant         grant       grant         f. Name and Address of Reporting Entity:       grant         grant       grant	1. Type of Federal Action: 2	2. Status of Federal Action:	3. Report T	уре:		
c. cooperative agreement       c. post-award       For Material Change Only:         gear	b a. contract	a a. bid/offer/application	a a. ir	nitial filing		
d. loan       year       quarter         d. loan is unance       i. loan guarantee       guarantee         f. loan is unance       i. Si loan guarantee       guarantee         f. loan is unance       i. Si loan guarantee       guarantee         d. Name and Address of Reporting Entity:       i. If Reporting Entity in No. 4 is Subawardee, Enter Name         Degressional District, if known :       En       S. If Reporting Entity in No. 4 is Subawardee, Enter Name         Congressional District, if known :       En       Congressional District, if known :         6. Federal Department/Agency:       7. Federal Program Name/Description:         Federal Emergency Management Agency       Flood Mitigation Assistance         CFDA Number, if applicable:       97.029         8. Federal Action Number, if known:       9. Award Amount, if known:         10. a. Name and Address of Lobbying Registrant       b. Individuals Performing Services (including address if different from No. 10b) (isst name, first name, Mi):         N/A       N/A         N/A       N/A         N/A       N/A         N/A       Signature:         Print Name:       Mary B. Bunting         u. S.C. section 1352. This information       Signature:         Print Name:       Mary B. Bunting         disclosure is required pursuant	b. grant	b. initial award	b. r	naterial change		
e. loan guarantee date of last report   date of last report     date of last report	c. cooperative agreement	c. post-award	For Mat	For Material Change Only:		
e. loan guarantee       date of last report         d. Name and Address of Reporting Entity:	d. Ioan		yea	ar (	quarter	
4. Name and Address of Reporting Entity:       Subawardee         Image: Prime       Image: Subawardee         Tier       Subawardee         Tier       Subawardee         Tier       Subawardee         Tier       Subawardee         Tier       Subawardee         <	e. Ioan guarantee		-			
Image: Subawardee Tier , if known:       and Address of Prime:       Virginia Department of Emergency Management 9711 Farrar Court, Suite 200 North Chesterfield, VA. 23236         Congressional District, if known:       6th       Congressional District, if known:         6. Federal Department/Agency:       7. Federal Program Name/Description:         Federal Emergency Management Agency       Flood Mitigation Assistance         CFDA Number, if applicable:       97.029         8. Federal Action Number, if known:       9. Award Amount, if known:         10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):       b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):         N/A       N/A         11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which relaince was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure is into subject to a cill penalty of not less than \$10,000 and not more than \$100,000 for each such failure.       Signature:       Print Name: (757) 727-6370       Date:         Federal Use Only:       Muthorized for Local Reproduction       Muthorized for Local Reproduction	f. loan insurance			-		
Tier       , if known:       Virginia Department of Emergency Management         9711 Farrar Court, Suite 200       North Chesterfield, VA. 23236         Congressional District, if known:       6th         6. Federal Department/Agency:       7. Federal Program Name/Description:         Federal Emergency Management Agency       Flood Mitigation Assistance CFDA Number, if applicable:       97.029         8. Federal Action Number, if known:       9. Award Amount, if known:       9. Award Amount, if known:         10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):       b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):         N/A       N/A         11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a citly penalty of not less than \$10,000 and not more than \$100,000 for each such failure.       Signature: (f57) 727-6370       Date: 	4. Name and Address of Reporting Entity:		5. If Reporting Entity in No. 4 is Subawardee, Enter Name			
9711 Farrar Court, Suite 200         North Chesterfield, VA. 23236         Congressional District, <i>if known</i> :         6. Federal Department/Agency:         7. Federal Program Name/Description:         Federal Emergency Management Agency         Federal Action Number, <i>if applicable</i> :         97.029         8. Federal Action Number, <i>if known</i> :         9. Award Amount, <i>if known</i> :         10. a. Name and Address of Lobbying Registrant ( <i>if individual, last name, first name, MI</i> ):         N/A         N/A         11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the fler above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.         Federal Use Only:       Authorized for Local Reproduction	Prime X S	Subawardee	and Address of Prim	ie:		
North Chesterfield, VA. 23236         Congressional District, <i>if known</i> :         6. Federal Department/Agency:         7. Federal Program Name/Description:         Federal Emergency Management Agency         Federal Emergency Management Agency         8. Federal Action Number, <i>if known</i> :         97.029         8. Federal Action Number, <i>if known</i> :         9. Award Amount, <i>if known</i> :         10. a. Name and Address of Lobbying Registrant ( <i>if individual, last name, first name, MI</i> ):         N/A         N/A         N/A         N/A         N/A         N/A         N/A         The congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure is nall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.         Federal Use Only:	т	ier, <i>if known</i> :	Virginia Department	of Emergency Ma	inagement	
Congressional District, if known:       6th       Congressional District, if known:         6. Federal Department/Agency:       7. Federal Program Name/Description:         Federal Emergency Management Agency       Flood Mitigation Assistance CFDA Number, if applicable:       97.029         8. Federal Action Number, if known:       9. Award Amount, if known:       97.029         10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):       b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):         N/A       N/A         11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.       Signature: (757) 727-6370       Date: 			9711 Farrar Court, St	uite 200		
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Federal Use Only: Authorized for Local Reproduction		relephone No	(151) 121-0310			
	than \$10,000 and not more than \$100,000 for each such	Tallure.				
Standard Form LLL (Rev. 7-97)	Federal Use Only:		Authorized for Local	Reproduction		
			Standard Form LLL (	Rev. 7-97)		

**DISCLOSURE OF LOBBYING ACTIVITIES** 

Approved by OMB