


HAMPTON VA

MEMORANDUM

TO: Mary Bunting, City Manager

FROM: Hui-Shan Walker, Emergency Management Coordinator 

DATE: October 29, 2018

SUBJECT: Hazard Mitigation Grant Program Application

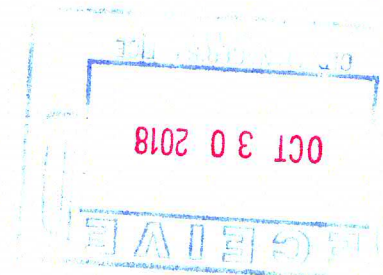
In response to the open application period for Hazard Mitigation Grant Program (HMGP) funding under 2018 Flood Mitigation Assistance (FMA) Program, the Office of Emergency Management has reviewed files and identified a residential property that meets the benefit-to-cost requirements for mitigation grant funding.

The proposed project will elevate one residential structure in the Greater Wythe District. If awarded, FEMA will pay 100% of the approved project cost. As the grant programs are 100% voluntary, homeowners have the option of withdrawing.

I have reviewed the documents and have submitted through to Budget and the City Attorney's Office for review. The application is due to VDEM on November 2, 2018. Please let me know if you have any questions.

/SMS

Cc: Steven Bond, Assistant City Manager



HAMPTON VA

Grant Routing Sheet [Form #2]

PLEASE NOTE:

Completed "GRANT PROPOSAL OVERVIEW" Form **must** accompany Grant Routing Sheet.

Date Routing Initiated: 10/30/18 Application Due Date: 11/02/18
Originating Department: Emergency Management Department No. 325
Submitter's Name: Hui-Shan Walker Direct Telephone No. (757) 727-6067
E-mail Address: hui-shan.walker@hampton.gov
Grant Title: 2018 Flood Mitigation Assistance (FMA)
Other Participating Departments: _____

BEFORE COMPLETING AN APPLICATION:

1. READ THE GENERAL INSTRUCTIONS. HW (Submitter's Initials)
2. COMPLETE GRANT PROPOSAL OVERVIEW. HW (Submitter's Initials)
3. DEPARTMENT HEAD ORIGINATING DEPT. Hui-Shan Walker [Signature] 10/30/18
Print Name Signature Date
4. ASSISTANT CITY MANAGER Steven Bond [Signature] 10/30/18
Print Name Signature Date

BEFORE SUBMITTING AN APPLICATION TO THE AWARDING AGENCY:

5. PREPARE INITIAL DOCUMENTATION PACKAGE FOR REVIEW; TO INCLUDE (EITHER HARD COPY OR ELECTRONIC) OF ALL DOCUMENTS RELATED TO THE GRANT, INCLUDING, BUT NOT LIMITED TO, INSTRUCTIONS, ATTACHMENTS, EXHIBITS, GRANT DOCUMENTS, PRIMARY GRANT (IF SUBAWARDEE). [Signature] (Submitter's Initials)
6. COMPLETE APPLICATION **EXCEPT** NECESSARY SIGNATURES. sms (Submitter's Initials)
7. BUDGET DIVISION Lori Green [Signature] 10/30/18
Print Name Signature Date
8. FINANCE DEPARTMENT Karl Daughtrey [Signature] 10/30/18
Print Name Signature Date
9. CITY ATTORNEY Lola Perkins [Signature] 10/30/18
Print Name Signature Date
10. SIGN & SUBMIT APPLICATION. [Signature] (Submitter's Initials)

Walker, Hui-Shan

From: Pointer, Gwen
Sent: Tuesday, October 30, 2018 3:31 PM
To: Perkins, Lola; Walker, Hui-Shan
Cc: Snowden, Sara
Subject: RE: RE: Sign-offs for 2018 FMA Application Status

I called VDEM for clarification and they said that we have to submit the form or they cannot include us in their application to FEMA. However, they have no issue if we list the name of the lobbying firm, or if we mark the boxes as N/A.

...Gwen

From: Perkins, Lola
Sent: Tuesday, October 30, 2018 3:26 PM
To: Walker, Hui-Shan <hui-shan.walker@hampton.gov>
Cc: Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: RE: Sign-offs for 2018 FMA Application Status
Importance: High

Folks,

Talked earlier with Gwen about the "Disclosure of Lobbying Activities" form that is included with the package for Mary's signature. My feeling was that we needed to list the lobbying firm the City uses for federal and state lobbying but, I wanted to read over the US code cited on the form. That Code section, 31 USC 1352, provides that the form has to be filled out in connection with a federal grant with: *"The name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on behalf of the person **with respect to that** Federal contract, **grant**, loan, or cooperative agreement; and (B) a certification that the person making the declaration has not made, and will not make, any payment prohibited by subsection (a)."* (emphasis added)

I assume that we haven't had our lobbyists reach out to anyone about this grant so, as I see it, this form is not applicable. On the Summary Sheet for Assurances and Certification, it specifically states that the disclosure is "if applicable". Based on my conversation with Gwen, we have routinely included this form with our submission. I don't want to raise any red flags by not including it this time BUT, I really do not think it applies to us. What I am going to do is in the #10 (a) and (b) boxes on the form, write in "N/A".

Please let me know if you have any concerns with this approach.

Thanks,
Lola

HAMPTON VA

*Lola Rodriguez Perkins
Senior Deputy City Attorney
City of Hampton
22 Lincoln Street*

Hampton, VA 23669
P: (757) 727-6127
F: (757) 727-6788

CONFIDENTIALITY NOTICE: This email message, including any attachments, is for the sole use of the intended recipient(s) and may contain information that is legally privileged, confidential and/or otherwise legally exempt from disclosure. If you are not an intended recipient, you are not authorized to read, print, use, copy, disclose or disseminate this message or any part of the information contained in this message. If you have received this communication in error, please notify the sender immediately by email and destroy all copies of this message and any attachments. Unintended transmission shall not constitute waiver of the attorney-client or any other privilege.

From: Walker, Hui-Shan
Sent: Tuesday, October 30, 2018 2:51 PM
To: Perkins, Lola; Bond, Steven
Cc: Pointer, Gwen; Snowden, Sara
Subject: RE: RE: Sign-offs for 2018 FMA Application Status

Lola,
Thank you again for expediting!!! Hui-Shan

From: Perkins, Lola
Sent: Tuesday, October 30, 2018 2:51 PM
To: Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Bond, Steven <sbond@hampton.gov>
Cc: Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: RE: Sign-offs for 2018 FMA Application Status

Making one handwritten addition per my conversation with Gwen and I will walk it over this afternoon.

Thanks,
Lola

From: Walker, Hui-Shan
Sent: Tuesday, October 30, 2018 2:42 PM
To: Bond, Steven; Perkins, Lola
Cc: Pointer, Gwen; Snowden, Sara
Subject: RE: RE: Sign-offs for 2018 FMA Application Status

Thanks Steven!!!

From: Bond, Steven
Sent: Tuesday, October 30, 2018 2:40 PM
To: Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Perkins, Lola <lrperkins@hampton.gov>
Cc: Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: RE: Sign-offs for 2018 FMA Application Status

I approve. Sorry, for the delayed response.

Steven D. Bond, Esq.
Assistant City Manager
City of Hampton, VA

Phone: 757-727-6392

Fax: 757-728-3037

From: Walker, Hui-Shan

Sent: Tuesday, October 30, 2018 2:25 PM

To: Perkins, Lola <lrperkins@hampton.gov>

Cc: Bond, Steven <sbond@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>

Subject: RE: Sign-offs for 2018 FMA Application Status

Good afternoon Lola,

Lori Green and Veronica Kmetz have signed off on the grant routing sheet to submit the application. Steven is aware of our submission and supported it, but is most likely tied up with the community planning event this evening to review and sign-off on. As soon as I hear from him I will let you know, but will let you determine what the best course of action is on whether to sign-off from legal today or tomorrow.

Thanks so much for your help!

Hui-Shan Walker, CEM

EM Coordinator

City of Hampton, VA

757-727-1208

hui-shan.walker@hampton.gov

Walker, Hui-Shan

From: Walker, Hui-Shan
Sent: Tuesday, October 30, 2018 2:44 PM
To: Perkins, Lola
Cc: Pointer, Gwen; Snowden, Sara
Subject: FW: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Importance: High

Lola,
This was the last sign-off email for the application. Please move forward after your review and sign-off for Mary's signature.

Thank you so much for your help in expediting this!
Hui-Shan

From: Bond, Steven
Sent: Tuesday, October 30, 2018 2:41 PM
To: Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Green, Jacky <jgreen@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori <lgreen@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Perkins, Lola <lperkins@hampton.gov>
Cc: Daughtrey, Karl <kdaughtrey@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Please let this email serve as my approval.

Steven D. Bond, Esq.
Assistant City Manager
City of Hampton, VA
Phone: 757-727-6392
Fax: 757-728-3037

From: Walker, Hui-Shan
Sent: Tuesday, October 30, 2018 10:21 AM
To: Bond, Steven <sbond@hampton.gov>; Green, Jacky <jgreen@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori <lgreen@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Perkins, Lola <lperkins@hampton.gov>
Cc: Daughtrey, Karl <kdaughtrey@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd
Importance: High

Good morning,

I apologize for the short turnaround request for review and approval to submit this application. Deadline to the state is this Friday, November 2, 2018. We have briefed the City Manager on this and she is aware and supports us submitting this application.

Please find attached the 2018 Flood Mitigation Assistance Grant (FMA) application for your review and approval for submission. This grant is 100% funded. It is an elevation project for 205 Harbor Drive. This home is currently in 2015 FMA, however, we did not budget for helical piles which raises the budget close to another \$100K. We have just elevated the home next door which required them and had another deep bore soil sample done on this property to confirm that they are definitely needed. With FMA funds there are no additional funds that will be given, therefore, we are submitting this property again with the increase in grant funds requested.

If you have any questions please don't hesitate to contact Gwen Pointer at gwen.pointer@hampton.gov or me.

Thanks,
Hui-Shan Walker, CEM
EM Coordinator
City of Hampton, VA
757-727-1208
hui-shan.walker@hampton.gov

Walker, Hui-Shan

From: Green, Lori
Sent: Tuesday, October 30, 2018 1:27 PM
To: Walker, Hui-Shan; Bond, Steven; Green, Jacky; Kmetz, Veronica; DeProfio, Brian; Perkins, Lola
Cc: Daughtrey, Karl; Pointer, Gwen; Snowden, Sara; Green, Lori
Subject: RE: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Okay, thanks Hui-Shan, I was just going by the name of the attachments ☺

The Budget Division approves of Emergency Management's 2018 Flood Mitigation Assistance Grant Application as there is no grant/cash match required.

Thanks-!
Lori Green

From: Walker, Hui-Shan
Sent: Tuesday, October 30, 2018 1:24 PM
To: Green, Lori <lgreen@hampton.gov>; Bond, Steven <sbond@hampton.gov>; Green, Jacky <jgreen@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Perkins, Lola <lrperkins@hampton.gov>
Cc: Daughtrey, Karl <kdaughtrey@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>
Subject: RE: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Good afternoon Lori,
The Grant Proposal Overview is part of the Grant Routing form document attached. I didn't separate them.

No matching funds required. 100% funded.

Thanks for your quick review!
Hui-Shan

From: Green, Lori
Sent: Tuesday, October 30, 2018 1:21 PM
To: Walker, Hui-Shan <hui-shan.walker@hampton.gov>; Bond, Steven <sbond@hampton.gov>; Green, Jacky <jgreen@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Perkins, Lola <lrperkins@hampton.gov>
Cc: Daughtrey, Karl <kdaughtrey@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>

Subject: RE: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Hello Hui-Shan,

Please do not forget to have the Grant Proposal Overview form completed.

To provide the approval needed for the 11/2 deadline, are there any matching funds required?

Thanks-! Lori

From: Walker, Hui-Shan

Sent: Tuesday, October 30, 2018 10:21 AM

To: Bond, Steven <sbond@hampton.gov>; Green, Jacky <jgreen@hampton.gov>; Kmetz, Veronica <veronica.kmetz@hampton.gov>; Green, Lori <lgreen@hampton.gov>; DeProfio, Brian <bdeprofio@hampton.gov>; Perkins, Lola <lperkins@hampton.gov>

Cc: Daughtrey, Karl <kdaughtrey@hampton.gov>; Pointer, Gwen <gwen.pointer@hampton.gov>; Snowden, Sara <sara.snowden@hampton.gov>

Subject: RE: 2018 Flood Mitigation Assistance Grant - Application Review and Approval Requested - Submission Deadline November 2nd

Importance: High

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If you have any questions please don't hesitate to contact Gwen Pointer at gwen.pointer@hampton.gov or me.

Thanks,

Hui-Shan Walker, CEM

EM Coordinator

City of Hampton, VA

757-727-1208

hui-shan.walker@hampton.gov

Grant awarded 2/10/2020 under
 new grant renewal process
 JH H

AFTER GRANT AWARDED:

11. ADD AWARD LETTER TO DOCUMENTATION. _____ (Submitter's Initials)

12. ORIGINATING DEPT. _____
 (Approval as to Content) Print Name Signature Date

13. RISK MANAGEMENT _____
 Print Name Signature Date

14. HUMAN RESOURCES _____
 Print Name Signature Date

15. BUDGET DIVISION _____
 Print Name Signature Date

16. FINANCE DEPARTMENT _____
 Print Name Signature Date

17. CITY ATTORNEY _____
 Print Name Signature Date

18. CITY COUNCIL **GRANICUS FILE NO.** 20-0106

CREATE GRANICUS FILE Tram Hanger J Hanger 3/5/2020
 Print Name Signature Date

ATTACH GRANT DOCUMENTS Tram Hanger J Hanger 3/5/2020
 Print Name Signature Date

ROUTE FOR APPROVAL Tram Hanger J Hanger 3/12/2020
 Print Name Signature Date

19. ADD SIGNED RESOLUTION TO DOCUMENTATION. _____ (Submitter's Initials)

20. OBTAIN SIGNATURES _____
 Print Name Signature Date

21. ORIGINATING DEPARTMENT TO RETAIN ORIGINAL DOCUMENTATION. _____ (Submitter's Initials)

22. DISSMINATE ELECTRONIC COPIES TO (INITIAL WHEN DISSEMINATED):

CITY ATTORNEY: _____ (Submitter's Initials)

FINANCE: _____ (Submitter's Initials)

OTHER PARTICIPATING DEPARTMENTS (LIST):
 _____ (Submitter's Initials)
 _____ (Submitter's Initials)



Grant Proposal Overview [Form #1]

PLEASE NOTE:

Completed "GRANT PROPOSAL OVERVIEW" Form **must** accompany Grant Routing Sheet.

Grant Title: 2018 Flood Mitigation Assistance (FMA)

- 1. PRIMARY OR SUB-AWARD:** Application will be submitted to () the agency that is the primary source of funding (City = Primary Awardee); (✓) to an agency that has received the funds from another awarding agency (City = Sub-Awardee).

If the City is a Sub-Awardee, the agreement between the Primary Awardee and the agency to which the City is making application must be attached to this Overview.

- 2. GRANT AWARD PERIOD:** If awarded, funds are expected to be received:
() in the current fiscal year only; (✓) in the current fiscal year and the future fiscal year(s) of FY2020 _____ or () in the future fiscal year(s) of _____.

- 3. PREVIOUS APPLICATIONS:** (Not including the current application) This grant was previously applied for during FMA2013, FMA2015, FMA2016 fiscal year(s); and was previously awarded during FMA2013, FMA2015, FMA2016 fiscal year(s).

If previously awarded, provide all prior agenda items numbers and dates of Council approval.

Resolution 14-0434- November 12, 2014

Resolution 16-0251- July 13, 2016

Resolution 17-0347- November 8, 2017

- 4. BACKGROUND/PURPOSE:** Flood Mitigation Assistance (FMA) grant to elevate one (1) home. 100% federally funded.

- 5. TYPE OF GRANT EXPECTED TO BE AWARDED:**

Cash Amount \$ 547,000

Non-Cash (Describe): _____

6. FINANCIAL OBLIGATIONS:

a. **Current Financial Obligations:** This grant will () will not (✓) **require** matching funds/contributions. If so, please indicate in the space below the amount and whether the match is cash or in-kind, or both.

Required Match – CASH

Required Match – IN KIND

Amount: Cash \$ 0

*Value of In-Kind \$ 0

* Description: Elevation of one (1) home. 100% federally funded.

b. **Future Financial Obligations:** This proposal will () will not (✓) incur commitments or financial obligations for the City beyond the grant period. If it will, an authority memorandum from the City Manager's Office-Budget Division estimating future matching requirements and the time period must be attached to this Overview.

c. **Resource Obligations:** This proposal will () will not (✓) require special facilities, equipment and/or services provided by the City. If it will, summarize arrangements in a separate memorandum and attach to this Overview.

7. Sources of Grant and Matching Funds:

Please identify the funding source of your grant and any required or non-required matches.

- For Federal grants, please provide the Federal Catalog Number (CFDA) and the grant number.
- For State grants, the grant number must be supplied.
- All grant matches must be supplied by the submitting department, unless they have historically received a contribution/match from the City's Matching Funds Pool or a special arrangement has been made with the City Manager's Office-Budget Division.
- If another City department, other than the submitting department, will be providing a funding or in-kind match, documentation to that effect must be submitted along with this grant packet.

Federal \$ 547,000
Pass Through \$ 547,000
State \$ _____
Foundation \$ _____
Private \$ _____

Federal Catalog No. 97-029
Federal Grant No. 97-029
State Grant No. _____

b. **Source of Matching Funds*** (Please check all that apply.)

Department: _____
Budget Line-Item: _____ **Amount:** _____
Budget Line-Item: _____ **Amount:** _____
Budget Line-Item: _____ **Amount:** _____

**If you are listing a department funding source other than your department, the Budget Division will need written authorization of agreement to withdraw these funds.*

Commonwealth of Virginia Grant Application



Virginia Department of Emergency Management

Grants Management Office

Phone: 804-897-6500

10501 Trade Court

Fax: 804-897-6613

Richmond, VA 23236-3713

Federal

Program and Flood Mitigation Assistance Program

Grant Year: 2018

CFDA #: 97.029

Sub-Grantee	Organization Type (Check Applicable Box)
<p>Subgrantee (Jurisdiction Legal Name): _____ City of Hampton _____</p> <p>Sub-recipient (Agency Name): _____</p> <p>Legal Address: 22 Lincoln Street Hampton, VA 23669 _____ _____</p> <p>EIN #: <u>54-6001336</u> DUNS #: <u>066019902</u></p>	<p>State Agency <input type="checkbox"/></p> <p>City/County <input checked="" type="checkbox"/></p> <p>College <input type="checkbox"/></p> <p>Airport <input type="checkbox"/></p> <p>Authority <input type="checkbox"/></p> <p>Planning District <input type="checkbox"/></p> <p>Other: (Please specify) <input type="checkbox"/></p>

Project Title: City of Hampton Elevation of Residence

Total Dollar Amount Requested: \$ 547,000

Please Provide all Signatures (as applicable)

By signing this application, I certify (1) to the statements contained in the list of certifications; (2) that the statements herein are true, complete and accurate to the best of my knowledge; and (3) that if awarded I will not undergo any obligations until I have received approval or clearance for any Environmental Historical Preservation (EHP) requirements. (4) that the below representatives are authorized to submit grant management requirements both paper and electronic to VDEM and VDEM's grant management system. I also provide the required assurances and agree to comply with any resulting terms if I obtain an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

* City Manager, County Administrator, State Agency Head, Executive Director,

Contact Information	Chief Administrative Officer *		Project Manager		Financial Officer	
			Primary Contact	Secondary Contact	Primary Contact	Secondary Contact
Choose one						
Name:	Mary B. Bunting		Hui-Shan Walker		Karl Daughtrey	
Title:	City Manager		Coordinator		Director	
Organization:	City of Hampton		Emergency Management		Department of Finance	
E-mail:	mbunting@hampton.gov		hui-shan.walker@hampton.gov		kdaughtrey@hampton.gov	
Telephone:	(757)727-6370		(757)727-1208		(757)727-6314	
Fax:	(757)728-3037		(757)727-1351		(757)727-6872	
Address:	22 Lincoln Street Hampton, VA 23669		1300 Thomas Street Hampton, VA 23669		22 Lincoln Street Hampton, VA 23669	

 * Chief Administrative Officer

City Manager 10/30/18
 Title Date

**CITY OF HAMPTON
 OFFICE OF THE CITY ATTORNEY**

Approved as to form and legal sufficiency
 Date: 10/30/18

 Sr. Dep. City Attorney

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED 43405	Applicant Identifier _____
	3. DATE RECEIVED BY STATE _____	State Application Identifier _____
	4. DATE RECEIVED BY FEDERAL AGENCY _____	Federal Identifier _____

5. APPLICANT INFORMATION

Legal Name: <u>City of Hampton, Virginia</u>	Organizational Unit: <u>Office of Emergency Management</u>
Address (give city, county, state, and zip code): <u>22 Lincoln Street, Hampton, VA. 23669</u>	Name and telephone number of person to be contacted on matters involving this application (give area code) <u>Gwen Pointer, EM Deputy Coordinator (757) 727-1208</u>

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 54 - 60001336	6.a. DUNS NUMBER 066019902	7. TYPE OF APPLICANT: (enter appropriate letter in box) C
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Decrease Duration D. Decrease Duration Other (specify): _____		A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____

9. NAME OF FEDERAL AGENCY:
Federal Emergency Management Agency


10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE: <u>Flood Mitigation Assistance Program</u>	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: <u>City of Hampton- Elevation of Residence</u>
--	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):

13. PROPOSED PROJECT: Start Date: _____ Ending Date: _____	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: <u>City of Hampton</u> b. Project: <u>Elevate 1 Severe Repetitive Flood Loss Property</u>
--	--

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal: \$ <u>547,000.00</u> b. Applicant: \$ _____ c. State: \$ _____ d. Local: \$ _____ e. Other: \$ _____ f. Program Income: \$ _____ g. TOTAL: \$ <u>547,000.00</u>	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE: _____ b. NO. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative <u>Mary B. Bunting</u>	b. Title <u>City Manager</u>	c. Telephone Number <u>(757) 727-6370</u>
d. Signature of Authorized Representative 		e. Date Signed <u>10/30/18</u>

**CITY OF HAMPTON
OFFICE OF THE CITY ATTORNEY**
 Approved as to form and legal sufficiency
 Date: 10/30/18
Jane Ruth Peters

FEDERAL EMERGENCY MANAGEMENT AGENCY
SUMMARY SHEET FOR ASSURANCES AND CERTIFICATIONS

O.M.B. No. 3067-0206
Expires February 29, 2004

FOR
FY
2018

CA FOR (Name of Applicant)

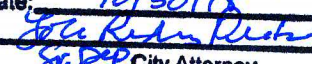
City of Hampton, Virginia

This summary sheet includes Assurances and Certifications that must be read, signed, and submitted as a part of the Application for Federal Assistance.

An applicant must check each item that they are certifying to:

- Part I FEMA Form 20-16A, Assurances-Nonconstruction Programs
- Part II FEMA Form 20-16B, Assurances-Construction Programs
- Part III FEMA Form 20-16C, Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements
- Part IV SF LLL, Disclosure of Lobbying Activities (If applicable)

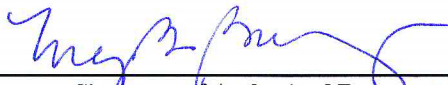
As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the identified attached assurances and certifications.

CITY OF HAMPTON
OFFICE OF THE CITY ATTORNEY
Approved as to form and legal sufficiency
Date: 10/30/18

Sr. Dep. City Attorney
City Manager

Mary B. Bunting

Typed Name of Authorized Representative

Title



Signature of Authorized Representative

10/30/18

Date Signed

NOTE: By signing the certification regarding debarment, suspension, and other responsibility matters for primary covered transaction, the applicant agrees that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by FEMA entering into this transaction.

The applicant further agrees by submitting this application that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the FEMA Regional Office entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. (Refer to 44 CFR Part 17.)

Paperwork Burden Disclosure Notice

"Public reporting burden for this form is estimated to average 1.7 hours per response. Burden means the time, effort and financial resources expended by persons to generate, maintain, retain, disclose, or to provide information to us. You may send comments regarding the burden estimate or any aspect of the form, including suggestions for reducing the burden to: Information Collections Management, Federal Emergency Management Agency, 500 C Street, SW, Washington, DC 20472, Paperwork Reduction Project (3067-0206). You are not required to respond to this collection of information unless a valid OMB control number appears in the upper right corner of this form. Please do not send your completed form to the above address.

FEDERAL EMERGENCY MANAGEMENT AGENCY
ASSURANCES-CONSTRUCTION PROGRAMS

NOTE: Certain of these assurances may not be applicable to your project or program. If you have any questions, please contact the awarding agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or state.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. Sections 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. Sections 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. Sections 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. Section 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. Sections 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) Sections 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Acts of 1968 (42 U.S.C. Section 3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provision in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.
11. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or Federally assisted programs. These requirements apply to all interest in real property acquired for project purposes regardless of Federal participation in purchase.
12. Will comply with provisions of the Hatch Act (5 U.S.C. Sections 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. Sections 276a to 276a-7), the Copeland Act (40 U.S.C. Section 276c and 18 U.S.C. Section 874), the Contract Work Hours and Safety Standards Act (40 U.S.C. Sections 327-333) regarding labor standards for federally assisted construction subagreements.

14. Will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. Section 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. Section 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. Section 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and preservation of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.

19. Will comply with all applicable requirements of all other Federal laws, Executive Orders, regulations and policies governing this program.

20. It will comply with the minimum wage and maximum hours provisions of the Federal Fair Labor Standards Act (29 U.S.C. 201), as they apply to employees of institutions of higher education, hospitals, and other non-profit organizations.

21. It will obtain approval by the appropriate Federal agency of the final working drawings and specifications before the project is advertised or placed on the market for bidding; that it will construct the project, or cause it to be constructed, to final completion in accordance with the application and approved plans and specifications; that it will submit to the appropriate Federal agency for prior approval changes that alter the cost of the project, use of space, or functional layout, that it will not enter into a construction contract(s) for the project or undertake other activities until the conditions of the construction grant program(s) have been met.

22. It will operate and maintain the facility in accordance with the minimum standards as may be required or prescribed by the applicable Federal, State, and local agencies for the maintenance and operation of such facilities.

23. It will require the facility to be designed to comply with the "American Standard Specifications for Making Buildings and Facilities Accessible to, and Usable by, the Physically Handicapped," Number A117. - 1961, as modified (41 CFR 101-17.703). The applicant will be responsible for conducting inspections to ensure compliance with these specifications by the contractor.

24. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the applicant, this assurance shall obligate the applicant, or in the case of any transfer of such property, any transfer, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits.

25. In making subgrants with nonprofit institutions under this Comprehensive Cooperative Agreement, it agrees that such grants will be subject to OMB Circular A-122, "Cost Principles for Non-profit Organizations" included in Vol. 49, Federal Register, pages 18260 through 18277 (April 27, 1984).


Signature

10/30/18
Date

CITY OF HAMPTON
OFFICE OF THE CITY ATTORNEY

Approved as to form and legal sufficiency

Date: 10/30/18


FEDERAL EMERGENCY MANAGEMENT AGENCY
**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND
OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature on this form provides for compliance with certification requirements under 44 CFR Part 18, "New Restrictions on Lobbying; and 28 CFR Part 17, "Government-wide Debarment and suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Federal Emergency Management Agency (FEMA) determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

A. As required by section 1352, Title 31 of the U.S. Code, and implemented at 44 CFR Part 18, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 44 CFR Part 18, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any other funds than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or an employee of Congress, or employee of a member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontract(s) and that all subrecipients shall certify and disclose accordingly.

Standard Form LLL, "Disclosure of Lobbying Activities" attached.
(This form must be attached to certification if nonappropriated funds are to be used to influence activities.)

**2. DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS
(DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 44 CFR Part 67, for prospective participants in primary covered transactions, as defined at 44 CFR Part 17, Section 17.510-A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civilian judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or perform a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or shall shall attached an explanation to this application.

**3. DRUG-FREE WORKPLACE
(GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 44 CFR Part 17, Subpart F, for grantees, as defined at 44 CFR Part 17, Sections 17.615 and 17.620:

A. The applicant certifies that it will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug free awareness program to inform employees about:

- (1) The dangers of drug abuse in the workplace;
- (2) The grantee's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant to be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

(1) Abide by the terms of the statement; and

(2) Notify the employee in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to the applicable FEMA awarding office, i.e., regional office or FEMA office.

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.

(g) Making a good faith effort to continue to maintain a drug free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

8. the grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, City, County, State, Zip code)

205 Harbor Drive

Hampton, VA 23661

Check if there are workplaces on file that are not identified here.

Section 17.630 of the regulations provide that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for FEMA funding. States and State agencies may elect to use a Statewide certification.

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB
0348-0046

(See reverse for public burden disclosure)

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input checked="" type="checkbox"/> Subawardee Tier _____, if known : Congressional District, if known : _____	5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime: Virginia Department of Emergency Management 1501 Trade Court Richmond, VA. 23236	
6. Federal Department/Agency: Federal Emergency Management Agency	7. Federal Program Name/Description: Flood Mitigation Assistance Program CFDA Number, if applicable: _____ 97.029	
8. Federal Action Number, if known : _____	9. Award Amount, if known : _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): N/A	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): N/A	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u>Mary B. Bunting</u> Print Name: <u>Mary B. Bunting</u> Title: <u>City Manager</u> Telephone No.: <u>(757)727-6392</u> Date: <u>10/30/18</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

CITY OF HAMPTON
OFFICE OF THE CITY ATTORNEY
 Approved as to form and legal sufficiency
 Date: 10/30/18
Jolee Risher
 Sr. Dep. City Attorney